

EXHIBIT NO. 129

[The following text is extremely faint and largely illegible. It appears to be a list or index of items, possibly including names and dates. Some faint words are visible, such as "List", "No.", and "Date".]

List

No.

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[i]

SECRET

NAVY DEPARTMENT,  
OFFICE OF THE CHIEF OF NAVAL OPERATIONS,  
Washington, May 26, 1941.

Op-12B-5-McC  
(SC)A16(R-5)  
Serial 060512

From: The Chief of Naval Operations.

To: Distribution List for WPL-46.

Subject: Promulgation of Navy Basic War Plan—Rainbow No. 5 (WPL-46).

Enclosures:

(A) Pages for WPL-46, Registered No. 92, including List of Effective Pages.

(B) Receipt form in duplicate.

1. Navy Basic War Plan—Rainbow No. 5 (WPL-46) is promulgated herewith.
2. Report receipt, and check of contents, on the form provided as enclosure (B).
3. The highest priority in the preparation of war plans is assigned to plans required by WPL-46.

4. It is desired that the preparation and distribution of these plans be accomplished with the least possible delay. To this end, all planning based upon the directives of WPL-13, WPL-14, WPL-42, and WPL-44 will be discontinued until plans based upon WPL-46 are completed.

5. Appendix II, Chapter IX, prescribing the composition of the Naval Transportation Service will be issued as a change to this plan. If this plan is executed prior to the issue of Chapter IX, specific directives will be issued to provide for the initial sea transportation requirements of the plan.

6. The extreme importance of the security of this Navy Basic War Plan—Rainbow No. 5, cannot be over-emphasized. In this respect, attention is invited to the instructions contained in "The System of War Planning", and in the "Registered Publication Manual".

7. Plans and estimates of requirements for the preparation for war service of vessels to be taken over from private sources, as indicated in the tables of Appendix II, will be classified as confidential. Attention is invited to paragraph 1105 of WPL-8.

[ii] 8. This plan shall not be carried in aircraft except by authority of the Chief of Naval Operations, and when not in use shall be kept in Class "A" stowage as prescribed in the "Registered Publication Manual".

9. IT IS FORBIDDEN TO MAKE EXTRACTS FROM OR COPY PORTIONS OF THIS PUBLICATION WITHOUT SPECIFIC AUTHORITY FROM THE CHIEF OF NAVAL OPERATIONS, EXCEPT IN SUBORDINATE PLANS BASED UPON THIS PUBLICATION.

H. R. STARK.

[iii]

*Navy Basic War Plan—Rainbow No. 5.*

LIST OF EFFECTIVE PAGES

Subject Matter	Page or Sheet No. <sup>1</sup>	Change in Effect
Letter of Promulgation, CNO Secret Serial 060512, (SC)A16(R-5) of May 26, 1941.	i, ii.....	Original
List of Effective Pages.....	iii.....	Original
Table of Corrections.....	iv.....	Original
Distribution List.....	v, vi.....	Original
Title Page.....	1.....	Original
Table of Contents.....	2 to 4 inc.....	Original
Introduction.....	5 to 8 inc.....	Original
Part I.....	9, 10.....	Original
Chart.....	11.....	Original
Part I (Cont'd).....	12.....	Original
Part II.....	13, 14.....	Original
Part III.....	15 to 60 inc.....	Original
Part IV.....	61 to 80 inc.....	Original
Part V.....	81, 82.....	Original
Appendix I.....	1 to 51 inc.....	Original

<sup>1</sup> Pages referred to are indicated by italic figures enclosed by brackets and represent pages of original exhibit.

## Navy Basic War Plan—Rainbow No. 5—Continued

## LIST OF EFFECTIVE PAGES—Continued

Subject Matter	Page or Sheet No. 1	Change in Effect
Appendix II:		
Title Page.....	1.....	Original
Chapter I.....	2, 3.....	Original
Chapter II.....	4, 5.....	Original
Table ATF-1.....	1 to 3 inc.....	Original
Chapter III.....	6.....	Original
Table PAF-1.....	1 to 3 inc.....	Original
Table PAF-2.....	1.....	Original
Chapter IV:		
Table SEP-1.....	1.....	Original
Chapter V:		
Table ASF-1.....	1, 2.....	Original
Chapter VI.....	7.....	Original
Table NE-1.....	1.....	Original
Table NE-2.....	1.....	Original
Chapter VII:		
Table CNO-1.....	1.....	Original
Chapter VIII.....	8 to 10 inc.....	Original
Table NACF.....	1 to 5 inc.....	Original
Table SCF.....	1 to 4 inc.....	Original
Table CACF.....	1.....	Original
Table PACF.....	1.....	Original
Table PSCF.....	1 to 3 inc.....	Original
Table PNCF.....	1.....	Original
Table HCF.....	1.....	Original
Table PbCF.....	1.....	Original
Chapter IX.....	11.....	Original

<sup>1</sup> Pages referred to are indicated by italic figures enclosed by brackets and represent pages of original exhibit.

[iv]

## TABLE OF CORRECTIONS

R. P. M. or Change No.	Date of entry	Signature and rank of officer entering change.
1.....	26-7-44	Marion L. Monsen Ens. U. S. N. R.

NAVY DEPARTMENT,  
OFFICE OF THE CHIEF OF NAVAL OPERATIONS,  
Washington, June 3, 1941.

Op-12B-5-McC  
(SC)A16(R-5)  
Serial 064112

Secret

From: The Chief of Naval Operations.  
To: The Distribution List for WPL-46.  
Subject: Change No. 1, WPL-46.

1. Make the following pen and ink corrections to WPL-46:

(a) *On Page 45*

Paragraph 3511.a.2.(f), first line—Change 13,400 to 6,400.

Paragraph 3511.a.2.(g), first line—Change 23,600 to 12,600.

Paragraph 3511.a.2.(i), first line—Change 44,000 to 23,000.

(b) *On Page 80*

Paragraph 4601, first line,—after “will be” insert “prepared as”.

(c) *On Page 30 of Appendix I*

Paragraph 51.a.(13), first line—Change 13,400 to 6,400.

Paragraph 51.a.(14), first line—Change 23,600 to 12,600.

(d) *On Page 31 of Appendix I*

Paragraph 51.a.(16), first line—Change 44,000 to 23,000.

2. Insert this letter in the front of WPL-46.

3. The urgency of delivery of this document is such that it will not reach the addressees in time by the next available officer courier. The originator therefore authorizes the transmission of this document by registered mail within the continental limits of the United States.

R. E. INGERSOLL, *Acting.*

[V]

## DISTRIBUTION LIST

<i>Official to whom issued</i>	<i>Registered Nos</i>
Commander in Chief, U. S. Pacific Fleet.....	1
Commander, Battle Force.....	2
Commander, Battleships, Battle Force.....	3
Commander, Battleship Division One (issue withheld).....	4
Commander, Battleship Division Two (issue withheld).....	5
Commander, Battleship Division Three.....	6
Commander, Battleship Division Five.....	7
Commander, Cruisers, Battle Force.....	8
Commander, Cruiser Division Three, Battle Force.....	9
Commander, Destroyers, Battle Force.....	10
Commander, Aircraft, Battle Force.....	11
Commander, Minecraft, Battle Force.....	12
Commander, Scouting Force.....	13
Commander, Cruisers, Scouting Force.....	14
Commander, Aircraft, Scouting Force.....	15
Commander, Submarines, Scouting Force.....	16
Commander, Base Force, U. S. Pacific Fleet.....	17
Commander in Chief, U. S. Atlantic Fleet.....	18
Commander, Cruisers, Atlantic Fleet.....	19
Commander, Cruiser Division Two, Atlantic Fleet.....	20
Commander, Destroyers, Atlantic Fleet.....	21
Commander, Aircraft, Atlantic Fleet.....	22
Commander, Submarines, Atlantic Fleet.....	23
Commander, Support Force, Atlantic Fleet.....	24
Commander, Train, Atlantic Fleet.....	25
Commander in Chief, U. S. Asiatic Fleet.....	26
Commanding General, Fleet Marine Division.....	27
Commanding General, Second Marine Division.....	28
Operations—Director, War Plans Division.....	29, 30, 31
—Director, Naval Intelligence Division.....	32
—Director, Naval Communications Division.....	33
—Director, Fleet Maintenance Division.....	34
—Director, Ship Movements Division.....	35
—Director, Naval Districts Division.....	36
—Director, Naval Transportation Service (Issued to Director, Ship Movements Division).....	37
Chief of Bureau of Navigation.....	38, 39
Chief of Bureau of Ordnance.....	40
Chief of Bureau of Ships.....	41
Chief of Bureau of Yards and Docks.....	42
Chief of Bureau of Aeronautics.....	43
Chief of Bureau of Supplies and Accounts.....	44, 45
Chief of Bureau of Medicine and Surgery.....	46
[VI] Judge Advocate General, U. S. Navy.....	47
Major General Commandant, U. S. Marine Corps.....	48
Director, Shore Establishment Division (Office of Assistant Secretary of the Navy).....	49
War Plans Division, General Staff, War Department.....	50
President, Naval War College.....	51
Commandant, First Naval District.....	52, 53
Commandant, Naval Operating Base, Newfoundland.....	54
Commandant, Navy Yard, Portsmouth, N. H.....	55
Commandant, Naval Operating Base, Newport, R. I.....	56
Commandant, Third Naval District.....	57, 58
Commandant, Fourth Naval District.....	59, 60
Commandant, Fifth Naval District.....	61, 62
Commandant, Naval Operating Base, Bermuda.....	63
Commandant, Sixth Naval District.....	64, 65
Commandant, Seventh Naval District.....	66
Commandant, Eighth Naval District.....	67, 68
Commandant, Ninth Naval District.....	69
Commandant, Tenth Naval District.....	70
Commandant, Naval Operating Base, Guantanamo, Cuba.....	71
Commandant, Naval Operating Base, Trinidad.....	72
Commandant, Eleventh Naval District.....	73, 74
Commandant, Twelfth Naval District.....	75, 76
Commandant, Thirteenth Naval District.....	77, 78
Commandant, Fourteenth Naval District.....	79
Commandant, Fifteenth Naval District.....	80
Commandant, Sixteenth Naval District.....	81
Commanding General, Department of Pacific, U. S. Marine Corps, San Francisco, California.....	82
Commanding General, Marine Barracks, Quantico, Va.....	83
Commanding General, Marine Corps Base, San Diego, Calif.....	84
Commandant, Naval Station, Tutuila, Samoa.....	85
United States Military Mission in London.....	86, 87
United States Naval Attache, Ottawa, Canada.....	88
British Military Mission in Washington.....	89
U. S. Naval Attache, Melbourne, Australia.....	90
Registered Publication Section, —Working Copy.....	91
Registered Publication Section, —Library Copy.....	92
Registered Publication Section, —Reserve Copies.....	93,

94, 95, 96, 97, 98, 99, 100, 101, 102, 103, 104, 105, 106, 107

Op-12B-McC  
(SC)A16(R-5)  
Serial 071912

NAVY DEPARTMENT,  
OFFICER OF THE CHIEF OF NAVAL OPERATIONS,  
Washington, July 1, 1941.

Secret

From: The Chief of Naval Operations.  
To: The Distribution List for WPL-46.  
Subject: The establishment of Naval Coastal Frontiers.  
Reference:

- (a) GO No. 142.
- (b) GO No. 143.
- (c) WPL-46.

1. The Naval Coastal Frontiers prescribed in paragraphs 3122, 3232 and 3312 of WPL-46 are hereby established.

2. The boundaries of the Naval Coastal Frontiers are as prescribed in Annex I, Appendix I, WPL-46.

3. The command relations prescribed in Part III, Chapter I, Section 3, and Part III, Chapter II, Section 4, of WPL-46, are hereby made effective and, in accordance with the provisions of these sections, the conflicting provisions of General Order No. 142 are suspended.

4. For the present, Naval Coastal Frontier Forces as prescribed in General Order No. 143 will not be formed. Vessels assigned to Naval Districts and Naval Stations will continue in these assignments, and, until further orders, new assignments of vessels will be made to Naval Districts or Naval Stations, rather than to Naval Coastal Frontier Forces, Naval Coastal Forces, or Naval Local Defense Forces.

5. The Bureau of Navigation will issue orders assigning officers to additional duties as Commanders, Naval Coastal Frontiers as indicated:

Commandant, 3rd Naval District—Commander, North Atlantic Naval Coastal Frontier;

Commandant, 6th Naval District—Commander, Southern Naval Coastal Frontier;

Commandant, 10th Naval District—Commander, Caribbean Naval Coastal Frontier;

Commandant, 15th Naval District—Commander, Panama Naval Coastal Frontier;

Commandant, 12th Naval District—Commander, Pacific Southern Naval Frontier;

Commandant, 13th Naval District—Commander, Pacific Northern Naval Frontier;

Commandant, 14th Naval District—Commander, Hawaiian Naval Coastal Frontier;

Commandant, 16th Naval District—Commander, Philippine Naval Coastal Frontier.

6. The establishment of the Naval Coastal Frontiers, and the orders to the commanders thereof, is assigned a *RESTRICTED* classification. The limits of the Naval Coastal Frontiers remains in a *SECRET* classification. Correspondence relating to Naval Coastal Frontiers will be classified according to its nature.

7. Transmission of this document by registered mail within the continental limits of the United States is authorized.

/s/ H. R. STARK.

[1] W. P. L.—46

## NAVY BASIC WAR PLAN—RAINBOW NO. 5, UNITED STATES

### NAVY

[2] TABLE OF CONTENTS

	Subject	Page <sup>1</sup>
Introduction:		
Chapter I. Origin, Basis, and Scope of this Plan	.....	5
Chapter II. Execution of this Plan	.....	6
Section 1. Execution of the Entire Plan	.....	6
Section 2. Execution of a part of this Plan	.....	7
Chapter III. Agreements with Associated Powers other than the British Commonwealth	.....	8
Part I. Task Organization, Information and Assumptions:		
Chapter I. Task Organization	.....	9
Chart Areas of Responsibility of the Associated Powers	.....	11
Chapter II. Information and Assumptions	.....	12

<sup>1</sup> Pages referred to are indicated by italic figures enclosed by brackets and represent pages of original exhibit.

## TABLE OF CONTENTS—Continued

Subject	Page <sup>1</sup>
Part II. Outline of Tasks:	
Chapter I. Concept of the War	13
Chapter II. The General Task	14
Part III. Assignment of Tasks:	
Chapter I. Forces in the Western Atlantic Area	15
Section 1. The U. S. Atlantic Fleet	15
Section 2. The Naval Coastal Frontier Forces	21
Section 3. Command Relations	25
Chapter II. Forces in the Pacific Area	27
Section 1. The U. S. Pacific Fleet	27
Section 2. The Southeast Pacific Force	31
Section 3. The Naval Coastal Frontier Forces	33
Section 4. Command Relations	36
Chapter III. Forces in the Far East Area	38
Section 1. The U. S. Asiatic Fleet and the Philippine Naval Coastal Frontier	38
Chapter IV. Forces in the United Kingdom and British Home Waters Area	42
Section 1. The U. S. Naval Forces, North Europe	42
Chapter V. The Services	44
Section 1. The Naval Transportation Service	44
Section 2. The Naval Communication Service	47
Section 3. The Naval Intelligence Service	48
Chapter VI. The Shore Establishment	49
Chapter VII. Instructions Jointly Applicable to Task Forces	50
Section 1. Forming the Task Forces	50
Section 2. Mobilization	51
[3] Section 3. The Routing and Protection of Shipping	53
Section 4. Rules of Warfare	58
Section 5. Intelligence Liaison between Commanders of Associated Forces in the Field	60
Part IV. Logistics:	
Chapter I. The Shore Establishment	61
Chapter II. General Directives	62
Section 1. Personnel	62
Section 2. Material	63
Section 3. Transportation	64
Section 4. Legal Services	66
Section 5. Augmentation and Maintenance of the Shore Establishment	67
Section 6. Priorities	68
Chapter III. The Operating Forces and Services	69
Section 1. Preparation for War Service	69
Section 2. Maintenance	73
Section 3. Augmentation	77
Chapter IV. Advanced Bases	78
Chapter V. Salvage	79
Chapter VI. Plans to be prepared by the Shore Establishment	80
Part V. Special Provisions:	
Chapter I. Exertion of Financial and Economic Pressure	81
Chapter II. Joint Plans Covering Intelligence Service, Censorship and Publicity, and Mobilization of Resources	82

## APPENDICES

Appendix I. The Joint Army and Navy Basic War Plan—Rainbow No. 5	1-36
Annex I. Coastal Frontiers	37-51
[4] Appendix II. The Composition of Forces	
Title Page	1
Chapter I. Introduction	2
Chapter II. The U. S. Atlantic Fleet	4
Table ATF-1	Sheets 1 to 3
Chapter III. The U. S. Pacific Fleet	6
Table PAF-1	Sheets 1 to 3
Table PAF-2	Sheet 1
Chapter IV. The Southeast Pacific Force	
Table SEP-1	Sheet 1
Chapter V. The U. S. Asiatic Fleet	
Table ASF-1	Sheets 1, 2
Chapter VI. U. S. Naval Forces, North Europe	7
Table NE-1	Sheet 1
Table NE-2	Sheet 1
Chapter VII. Vessels Operating under the Chief of Naval Operations	
Table CNO-1	Sheet 1
Chapter VIII. Naval Coastal Frontier Forces	8
Table NACF	Sheets 1 to 5
Table SCF	Sheets 1 to 4
Table CACF	Sheet 1
Table PACF	Sheet 1
Table PSCF	Sheets 1 to 3
Table PNCF	Sheet 1
Table HCF	Sheet 1
Table PhCF	Sheet 1
Chapter IX. Naval Transportation Service	11

<sup>1</sup> Pages referred to are indicated by italic figures enclosed by brackets and represent pages of original exhibit.

[5]

## INTRODUCTION

## CHAPTER I. ORIGIN, BASIS, AND SCOPE OF THIS PLAN

0101. This Navy Basic War Plan—Rainbow No. 5 was prepared under the direction of the Chief of Naval Operations.

0102. It is based upon the Report of the United States-British Staff Conversations (Short Title ABC-1), the Joint Canada-United States Defense Plan (Short Title ABC-22), and the Joint Army and Navy Basic War Plan—Rainbow No. 5.

0103. The United States-British Staff Conversations (ABC-1) and the Joint Canada-United States Defense Plan (ABC-22) will be given only a limited distribution to holders of this plan. These documents are referred to in this plan by their short titles. Their essential features, so far as concerns war operations, are incorporated in the Joint Army and Navy Basic War Plan—Rainbow No. 5, which is included in this plan as Appendix I.

0104. This plan provides for the initial organization, composition of forces and tasks for the Naval Establishment in a Rainbow No. 5 War.

0105. After the execution of this plan has been directed, no attempt will be made to maintain the tables of Appendix II corrected up to date. Changes in the composition of forces will be made by direction of the Chief of Naval Operations and shown subsequently in the "Assignment of Units in the Organization of the Seagoing Forces of the U. S. Navy," and in the "Assignment of Units to Naval Districts and Naval Stations."

[6]

## CHAPTER II. EXECUTION OF THIS PLAN

*Section 1. EXECUTION OF THE ENTIRE PLAN*

0211. a. Upon the receipt of the following ALNAV despatch, the Naval Establishment will proceed with the execution of this plan in its entirety, including acts of war: "EXECUTE NAVY BASIC WAR PLAN RAINBOW No. 5".

b. The date of the above despatch will be M-day unless it has been otherwise designated.

[7] *Section 2. EXECUTION OF A PART OF THIS PLAN*

0221. A preliminary period of strained relations of uncertain duration is anticipated, during which time certain preliminary steps provided for in this plan may be directed by the Chief of Naval Operations.

0222. Mobilization may be directed prior to directing the execution of this plan or any part thereof. The order to mobilize does not authorize acts of war.

0223. This plan may be executed in part by a despatch indicating the nations to be considered enemy, the tasks to be executed, or excepted, and the preliminary measures to be taken in preparation for the execution of the entire plan or additional tasks thereof.

## [8] CHAPTER III. AGREEMENTS WITH ASSOCIATED POWERS OTHER THAN THE BRITISH COMMONWEALTH

0301. The substance of agreements reached with Associated Powers other than those with the British Commonwealth, including Canada, insofar as they relate to the operation of naval forces, will be made available to the holders of this plan, as soon as made, by revision of this Chapter III of the Introduction.

0302. Brazil, for the purposes of defense of the Western Hemisphere, has agreed to permit United States naval forces to use the ports of RECIFE and BAHIA.

a. There is at present no time limit on the duration of stay in these ports.

b. They are available for refreshment and upkeep, and for the purchase and delivery of fuel, consumable supplies and fresh provisions within the limited capacities of the ports.

c. A United States Naval Observer is stationed at each port.

d. On first entry, two days confidential advance notice of arrival should be given to the United States Naval Observer at the port via the United States Naval Attache, Rio de Janeiro. This notice should include information in regard to communicable diseases and last port visited. Pratique and customs clearance are not required.

e. For repeated entry, incident to extended operations in the vicinity, local arrangements as to notice may be made with the Brazilian Captain of the Port, through the United States Naval Observer.



## [9] PART I. TASK ORGANIZATION. INFORMATION AND ASSUMPTIONS

## CHAPTER I. TASK ORGANIZATION

1101. The task organization, by which this Navy Basic War Plan—Rainbow No. 5 will be executed, under the direction of the Chief of Naval Operations, is prescribed below:

a. *THE OPERATING FORCES*, under command of the Chief of Naval Operations.

1. *THE UNITED STATES ATLANTIC FLEET*, under command of the Commander in Chief, U. S. ATLANTIC FLEET.

2. *THE UNITED STATES PACIFIC FLEET*, under command of the Commander in Chief, U. S. PACIFIC FLEET.

3. *THE UNITED STATES SOUTHEAST PACIFIC FORCE*, under command of the Commander, SOUTHEAST PACIFIC FORCE.

4. *THE UNITED STATES ASIATIC FLEET*, under command of the Commander in Chief, U. S. ASIATIC FLEET.

5. *THE UNITED STATES NAVAL FORCES, NORTH EUROPE*, under command of the Commander in Chief, U. S. NAVAL FORCES, NORTH EUROPE.

6. *THE NAVAL COASTAL FRONTIER FORCES*, under the command of the Commanders, NAVAL COASTAL FRONTIERS, consisting of:

(a) *THE NAVAL COASTAL FORCES*;

(b) *THE NAVAL LOCAL DEFENSE FORCES*.

b. *THE SERVICES*, under command of the Chief of Naval Operations.

1. *THE NAVAL TRANSPORTATION SERVICE*.

2. *THE NAVAL COMMUNICATION SERVICE*.

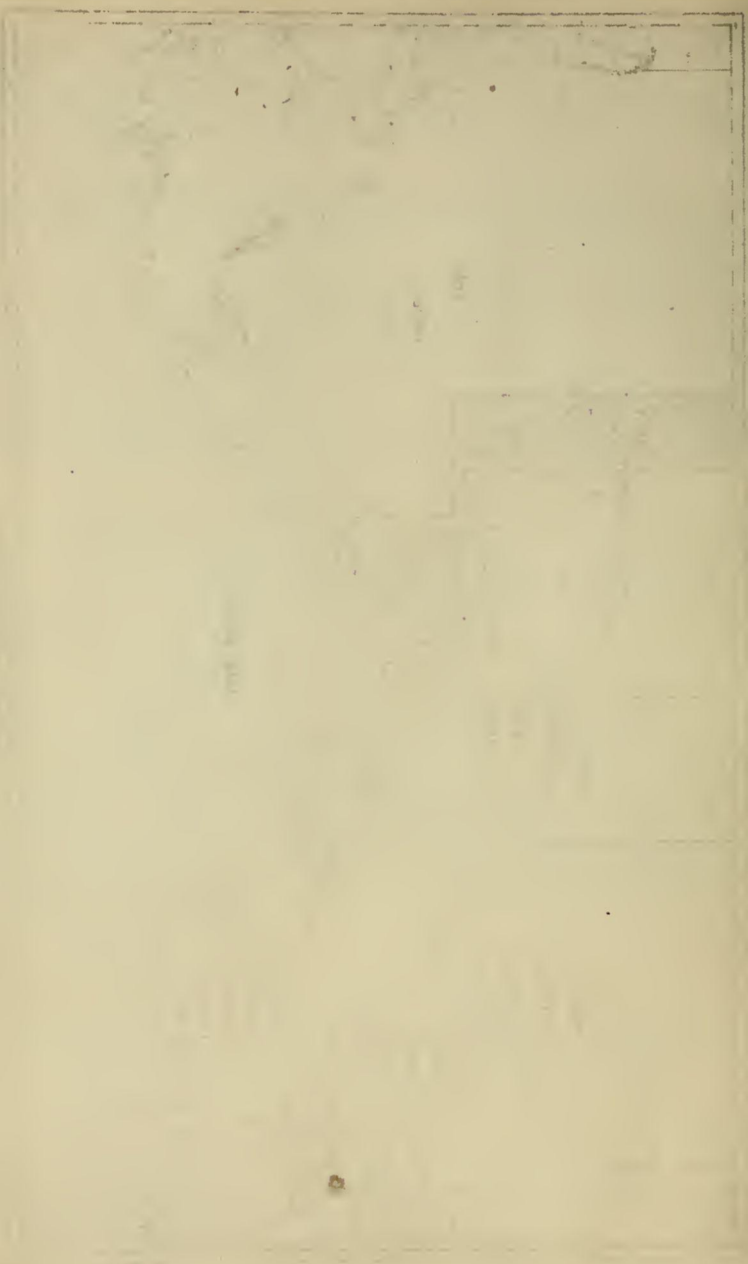
3. *THE NAVAL INTELLIGENCE SERVICE*.

c. *THE SHORE ESTABLISHMENT*, under the direction of the appropriate Chiefs of Bureaus, and Heads of Offices of the Navy Department.

[10] 1102. Major areas of command and instructions concerning responsibility for the strategic direction of military forces therein are set forth in Appendix I, "Section V". In paragraph 3222 of this plan is defined an additional subarea, designated as the "SOUTHEAST PACIFIC SUB-AREA." In Annex I, of Appendix I, are the sub-areas which are included in the Naval Coastal Frontiers.

1103. Command over naval forces in the areas and sub-areas for which the United States has accepted responsibility for the strategic direction of operations will be exercised by the appropriate United States naval commanders listed in paragraph 1101 a. of this plan, subject to the special conditions set forth in Appendix I, "Section V."

SECRET



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## [12] CHAPTER II. INFORMATION AND ASSUMPTIONS

1201. Assumptions are as stated in Appendix I, "Section III."

## [13] PART II. OUTLINE OF TASKS

## CHAPTER I. CONCEPT OF THE WAR

2101. The Concept of the War is as stated in Appendix I, "Section IV."

## [14] CHAPTER II. THE GENERAL TASK

2201. The Joint Army and Navy General Task is set forth in paragraph 24 of Appendix I.

2202. The Navy General Task is as follows:

a. The Naval Establishment, in cooperation with the Army and the forces of the other Associated Powers, will:

1. Destroy Axis sea communications in the WESTERN ATLANTIC AREA, in the PACIFIC AREA east of 180°, and through the MALAY BARRIER in the FAR EAST AREA;

2. Raid Axis forces and sea communications in the PACIFIC and FAR EAST AREAS, and in the EASTERN ATLANTIC and the WESTERN MEDITERRANEAN;

3. Protect the sea communications of the Associated Powers in United States Areas, and support the defense of sea communications in the UNITED KINGDOM AND BRITISH HOME WATERS AREA, in the FAR EAST AREA, and to the eastward of AUSTRALIA;

4. Prevent the extension in the Western Hemisphere of European or Asiatic military power, and support the defense of the territory of the Associated Powers in the FAR EAST AREA; and

5. Prepare to capture the AZORES, CAPE VERDE, MARSHALL, and CAROLINE ISLANDS.

## [15] PART III. ASSIGNMENT OF TASKS

## CHAPTER I. FORCES IN THE WESTERN ATLANTIC AREA

*Section 1. THE U. S. ATLANTIC FLEET*

3111. The U. S. ATLANTIC FLEET (Chapter II, Appendix II) will initially be organized into task forces as follows:

a. OCEAN ESCORT;

b. STRIKING FORCE;

c. SOUTHERN PATROL FORCE;

d. SUBMARINE FORCE ONE;

e. SUBMARINE FORCE TWO;

f. SUBMARINE FORCE THREE;

g. NORTHWEST ESCORT FORCE;

h. U. S. NAVAL OPERATING BASE, BERMUDA;

i. ADDITIONAL TASK FORCES AS DIRECTED BY THE COMMANDER IN CHIEF, U. S. ATLANTIC FLEET.

3112. The U. S. ATLANTIC FLEET is assigned the following tasks within the WESTERN ATLANTIC AREA:

a. TASK

PROTECT THE SEA COMMUNICATIONS OF THE ASSOCIATED POWERS BY ESCORTING, COVERING, AND PATROLLING, AS REQUIRED BY CIRCUMSTANCES, AND BY DESTROYING ENEMY RAIDING FORCES (see Part III, Chapter V, Section 1);

b. TASK

DESTROY AXIS SEA COMMUNICATIONS BY CAPTURING OR DESTROYING VESSELS TRADING DIRECTLY OR INDIRECTLY WITH THE ENEMY;

[16] c. TASK

PROTECT THE TERRITORY OF THE ASSOCIATED POWERS AND PREVENT THE EXTENSION OF ENEMY MILITARY POWER INTO THE WESTERN HEMISPHERE, BY DESTROYING HOSTILE EXPEDITIONARY FORCES AND BY SUPPORTING LAND AND

AIR FORCES IN DENYING THE ENEMY THE USE OF LAND POSITIONS IN THAT HEMISPHERE;

d. *TASK*

IN COOPERATION WITH BRITISH FORCES AND THE U. S. ARMY, DEFEND BERMUDA IN CATEGORY "C";

e. *TASK*

COVER THE OPERATIONS OF THE U. S. NAVAL COASTAL FRONTIER FORCES;

f. *TASK*

PREPARE TO OCCUPY THE AZORES AND THE CAPE VERDE ISLANDS.

3113. a. So far as practicable, the naval forces in the WESTERN ATLANTIC AREA will be covered and supported against attack by superior enemy surface forces, by the naval forces of the Associated Powers which are operating from bases in the UNITED KINGDOM and the EASTERN ATLANTIC.

b. Forces operating normally in the UNITED KINGDOM AND BRITISH HOME WATERS AREA, the NORTH ATLANTIC AREA, and the SOUTH ATLANTIC AREA, which move temporarily into the WESTERN ATLANTIC AREA in pursuance of their assigned tasks, will remain under the strategic direction of the United Kingdom Chief of Naval Staff. They will be supported by the naval forces in the WESTERN ATLANTIC AREA as necessary and practicable.

3114. a. SUBMARINE FORCE TWO will operate under the strategic direction of the Commander in Chief, U. S. ATLANTIC FLEET, until its arrival in the NORTH ATLANTIC AREA.

[17] b. This force will be assigned the following task by the Commander in Chief, U. S. ATLANTIC FLEET:

1. *TASK*

PROCEED FROM BASES IN THE UNITED STATES TO GIBRALTAR, WHEN SO DIRECTED BY THE CHIEF OF NAVAL OPERATIONS.

c. After arrival of SUBMARINE FORCE TWO in the NORTH ATLANTIC AREA this force will execute the following task:

1. *TASK*

RAID ENEMY SHIPPING IN THE MEDITERRANEAN UNDER THE STRATEGIC DIRECTION OF THE BRITISH COMMANDER IN CHIEF, MEDITERRANEAN, ACTING THROUGH THE BRITISH (OR UNITED STATES) FLAG OFFICER COMMANDING NORTH ATLANTIC.

d. SUBMARINE FORCE TWO will remain a part of the U. S. ATLANTIC FLEET for administrative purposes.

3115. a. *THE NORTHWEST ESCORT FORCE* and *SUBMARINE FORCE THREE* will operate under the strategic direction of the Commander in Chief, U. S. ATLANTIC FLEET, until their arrival in the UNITED KINGDOM AND BRITISH HOME WATERS AREA.

b. These forces will each be assigned the following task by the Commander in Chief, U. S. ATLANTIC FLEET:

1. *TASK*

PROCEED FROM BASES IN THE UNITED STATES TO BASES IN THE UNITED KINGDOM AND BRITISH HOME WATERS AREA, WHEN SO DIRECTED BY THE CHIEF OF NAVAL OPERATIONS.

[18] c. Upon arrival in UNITED KINGDOM AND BRITISH HOME WATERS AREA, the NORTHWEST ESCORT FORCE and SUBMARINE FORCE THREE will be detached from the U. S. ATLANTIC FLEET and be assigned to U. S. NAVAL FORCES, NORTH EUROPE. Their tasks thereafter are to be found in Part III, Chapter IV, Section 1.

3116. a. The Commander in Chief, U. S. ATLANTIC FLEET, will arrange for the logistic support for the U. S. ATLANTIC FLEET (see Part IV, Chapter III, Section 2) operating in the WESTERN ATLANTIC AREA from sources designated by the Shore Establishment in the continental United States and outlying possessions and bases in the WESTERN ATLANTIC AREA, and from United States and foreign (outside the British Isles) commercial sources. For

this purpose he will employ the transportation facilities of the U. S. ATLANTIC FLEET, which will be supplemented, as required, by those of the Naval Transportation Service.

b. Logistic support for SUBMARINE FORCE TWO, and other United States forces operating in the NORTH ATLANTIC AREA, will be arranged as indicated herein. Transportation will be provided by the Naval Transportation Service.

1. Fuel and subsistence stores from United States naval auxiliaries, supplemented as may be practicable from British sources available in the NORTH ATLANTIC AREA.

2. Personnel, technical supplies, and ammunition from United States sources.

3. Repair and upkeep facilities from tender and cargo vessels, and temporary shore facilities erected by the United States, supplemented by use of available British facilities.

4. Replacement of British fuel and subsistence stores from United States sources.

[19] c. In emergency circumstances where the transportation facilities of the Naval Transportation Service are inadequate for the logistic support of SUBMARINE FORCE TWO, or of other U. S. Naval forces operating in the NORTH ATLANTIC AREA, the Senior U. S. Naval Officer of forces based in that area is authorized to charter, on a time charter basis, vessels immediately obtainable by him for the purpose of providing his forces with urgent logistic deficiencies. Vessels of United States registry will be employed, if available.

d. The Commander in Chief, U. S. ATLANTIC FLEET, will establish in the office of the Chief of Naval Operations an officer of the staff of the Commander, TRAIN, U. S. ATLANTIC FLEET, who will have liaison duties with respect to the quantities and the transportation of logistic requirements, including personnel, for the U. S. ATLANTIC FLEET.

e. Logistic support for the NORTHWEST ESCORT FORCE and SUBMARINE FORCE THREE, after transfer to the U. S. NAVAL FORCES, NORTH EUROPE, will be provided as directed in Part III, Chapter IV, Section 1.

3117. a. The Commander in Chief, U. S. ATLANTIC FLEET, will require the preparation of the following plans:

1. U. S. ATLANTIC FLEET OPERATING PLAN—RAINBOW No. 5 (Navy Plan 0-3, RAINBOW No. 5);

2. NORTHWEST ESCORT FORCE MOVEMENT PLAN—RAINBOW No. 5 (Navy Plan 0-3-A, RAINBOW No. 5), covering the movement of this force and the first movement of Army troops to ENGLAND, SCOTLAND, and NORTH IRELAND (See paragraph 3511 a. 2. (b));

3. SUBMARINE FORCE THREE MOVEMENT PLAN—RAINBOW No. 5 (Navy Plan 0-3-B, RAINBOW No. 5) covering the movement of this force to the UNITED KINGDOM AND BRITISH HOME WATERS AREA;

4. Such other subordinate task force operating [20] plans as the Commander in Chief, U. S. ATLANTIC FLEET, may direct, including the movement plan for SUBMARINE FORCE TWO. No operating plan for SUBMARINE FORCE TWO, for operations after arrival in the NORTH ATLANTIC AREA, need be prepared.

b. 1. Plans listed under a. 1, 2, 3, and 4, will be reviewed by the Chief of Naval Operations.

2. Plans may be distributed before review and acceptance.

[21] Section 2. THE NAVAL COASTAL FRONTIER FORCES

3121. a. The organization of NAVAL COASTAL FRONTIER FORCES is prescribed in General Order No. 143.

b. The boundaries of Coastal Frontiers, Naval Coastal Frontiers, Coastal Zones, Sectors, and Subsectors, are defined in "Joint Action of the Army and the Navy, 1935", as modified by Annex I of Appendix I.

3122. The Naval Coastal Frontiers in the WESTERN ATLANTIC AREA are:

a. THE NORTH ATLANTIC NAVAL COASTAL FRONTIER;

b. THE SOUTHERN NAVAL COASTAL FRONTIER;

c. THE CARIBBEAN NAVAL COASTAL FRONTIER;

d. THE PANAMA NAVAL COASTAL FRONTIER.

1. All tasks assigned to the PANAMA NAVAL COASTAL FRONTIER are contained in this Section, including those for the PACIFIC SECTOR.

3123. The NAVAL COASTAL FRONTIER FORCES (Chapter VIII, Appendix II) in the WESTERN ATLANTIC AREA are assigned the following tasks:

a. TASK

DEFEND THE NAVAL COASTAL FRONTIER IN CATEGORIES INDICATED BELOW:

CATEGORY B—THE NORTH ATLANTIC NAVAL COASTAL FRONTIER.

—THE SOUTHERN NAVAL COASTAL FRONTIER.

CATEGORY D—THE CARIBBEAN NAVAL COASTAL FRONTIER.

—THE PANAMA NAVAL COASTAL FRONTIER.

[22] b. TASK

PROTECT AND ROUTE SHIPPING IN ACCORDANCE WITH INSTRUCTIONS CONTAINED IN PART III, CHAPTER VII, SECTION 3;

c. TASK

SUPPORT THE U. S. ATLANTIC FLEET;

d. TASK

SUPPORT ARMY AND ASSOCIATED FORCES WITHIN THE COASTAL FRONTIER.

e. In addition, the NAVAL COASTAL FRONTIER FORCES of the PANAMA NAVAL COASTAL FRONTIER are assigned the following task:

1. TASK

SUPPORT THE U. S. SOUTHEAST PACIFIC FORCE.

3124. a. The following plans will be prepared:

1. Local Joint Plans as prescribed in Appendix I, paragraph 48, of this plan;

2. By the Commanders, NORTH ATLANTIC NAVAL COASTAL FRONTIER, and SOUTHERN NAVAL COASTAL FRONTIER:

(a) *Naval Coastal Frontier Operating Plans*—RAINBOW No. 5, including an annex covering the operating plans of the Naval Coastal Force. (Naval Coastal Frontier Plans 0-4, RAINBOW No. 5);

3. By Commanders, CARIBBEAN NAVAL COASTAL FRONTIER, and PANAMA NAVAL COASTAL FRONTIER, and by Commandants, FIRST, THIRD, FOURTH, FIFTH, SIXTH, SEVENTH, AND EIGHTH NAVAL DISTRICTS:

[23] (a) *Naval Local Defense Force Operating Plans*—RAINBOW No. 5 (Naval District Plans 0-5, RAINBOW No. 5);

(b) *Joint Embarkation Plans* as required in Appendix I, paragraph 48;

4. Additional subordinate task force operating plans as directed by Commanders, Naval Coastal Frontiers, and Commandants of Naval Districts.

b. 1. Joint Coastal Frontier Defense Plans, and other plans prepared by the Commanders, Naval Coastal Frontiers, will be reviewed by the Chief of Naval Operations.

2. Operating Plans prepared by the Commandants of Naval Districts will be reviewed by the respective Commanders, Naval Coastal Frontiers.

3. Subordinate Task Force Operating Plans will be reviewed by the respective Commanders, Naval Coastal Frontiers, or Commandants of Naval Districts.

4. (a) Naval Coastal Frontier Force Operating Plans for the NORTH ATLANTIC and SOUTHERN NAVAL COASTAL FRONTIERS, and Naval Local Defense Force Operating Plans for the CARIBBEAN and PANAMA NAVAL COASTAL FRONTIERS will be forwarded to the Commander in Chief, U. S. ATLANTIC FLEET for comment, prior to their review by the Chief of Naval Operations, with a view to their coordination with the Operating Plans of the U. S. ATLANTIC FLEET.

(b) Such portions of Naval Local Defense Force Operating Plans and Naval District Contributory Plans, as relate to the protection of fleet anchorages and to services to the U. S. [24] ATLANTIC FLEET, will be referred to the Commander in Chief, U. S. ATLANTIC FLEET for comment, if he so requests.

5. Plans may be distributed before review and acceptance.

[25] Section 3. COMMAND RELATIONS

3131. In order to provide for unity of command of task groups of the U. S. ATLANTIC FLEET and the NAVAL COASTAL FRONTIER FORCES, in

the execution of tasks requiring mutual support, the following provisions shall apply:

a. On M-day, or sooner if directed by the Chief of Naval Operations, the Commander, NORTH ATLANTIC NAVAL COASTAL FRONTIER, the SOUTHERN NAVAL COASTAL FRONTIER, the CARIBBEAN NAVAL COASTAL FRONTIER, and the Commander, PANAMA NAVAL COASTAL FRONTIER so far as regards operations in the ATLANTIC SECTOR, are assigned a dual status as follows:

1. As commanders of their respective Naval Coastal Frontier Forces operating under the orders of the Chief of Naval Operations;

2. As officers of the U. S. ATLANTIC FLEET, operating under the orders of the Commander in Chief, U. S. ATLANTIC FLEET, in command of task groups of that fleet, when and as directed by the Commander in Chief thereof.

b. The Commander in Chief, U. S. ATLANTIC FLEET, may thereafter require the Commanders, Naval Coastal Frontiers to place under his command, temporarily and for particular purposes, task groups of their Naval Coastal Frontier Forces. The Commander in Chief, U. S. ATLANTIC FLEET, will, when taking temporary command of such task forces, have due regard to the tasks assigned in this plan to the Commanders, Naval Coastal Frontiers.

1. The Commander in Chief, U. S. ATLANTIC FLEET, will not require task groups of the Naval Coastal Frontier Forces to leave the limits of their respective Coastal Zones, except in emergency, or upon the authority of the Chief of Naval Operations.

[26] c. Conflicting provisions of General Order No. 142 are suspended while the provisions of this paragraph are in effect.

3132. The NAVAL OPERATING BASE, BERMUDA, by this plan is assigned as a unit of the U. S. ATLANTIC FLEET, both for administrative and task purposes.

3133. In addition to having general authority over the operation of the Naval Local Defense Forces, the Commander, NORTH ATLANTIC NAVAL COASTAL FRONTIER and the Commander, SOUTHERN NAVAL COASTAL FRONTIER have authority to coordinate the activities of the Commandants of the Naval Districts within their respective Naval Coastal Frontiers, in matters that concern the Naval Communication Service, the Naval Intelligence Service, and the Naval Transportation Service. Due consideration will be given to the requirements of the tasks assigned to these services by the Chief of Naval Operations.

3134. a. Commanders of Naval Coastal Frontiers may reassign temporarily to the Naval Local Defense Forces under their command, vessels and aircraft assigned by the Chief of Naval Operations to the Naval Coastal Force.

b. Except as provided for in the preceding sub-paragraph, Commanders of Naval Coastal Frontiers will not change the assignment of vessels made by the Chief of Naval Operations to Naval Coastal Forces and Naval Local Defense Forces except in emergency or upon the authority of the Chief of Naval Operations.

3135. Command relations between United States and Canadian Forces will be set forth in the Joint Army and Navy Basic War Plan—Rainbow No. 5, Appendix I, after ABC-22 has been approved.

[27] CHAPTER II. FORCES IN THE PACIFIC AREA

Section 1. THE U. S. PACIFIC FLEET

3211. The U. S. PACIFIC FLEET (Chapter III, Appendix II) will be organized into task forces as follows:

a. Task forces as directed by the Commander in Chief, U. S. PACIFIC FLEET;

b. NAVAL STATION, SAMOA;

c. NAVAL STATION, GUAM.

3212. The U. S. PACIFIC FLEET is assigned the following tasks within the PACIFIC AREA:

a. TASK

SUPPORT THE FORCES OF THE ASSOCIATED POWERS IN THE FAR EAST BY DIVERTING ENEMY STRENGTH AWAY FROM THE MALAY BARRIER, THROUGH THE DENIAL AND CAPTURE OF POSITIONS IN THE MARSHALLS, AND THROUGH RAIDS ON ENEMY SEA COMMUNICATIONS AND POSITIONS;

b. *TASK*

PREPARE TO CAPTURE AND ESTABLISH CONTROL OVER THE CAROLINE AND MARSHALL ISLAND AREA, AND TO ESTABLISH AN ADVANCED FLEET BASE IN TRUK;

c. *TASK*

DESTROY AXIS SEA COMMUNICATIONS BY CAPTURING OR DESTROYING VESSELS TRADING DIRECTLY OR INDIRECTLY WITH THE ENEMY;

d. *TASK*

SUPPORT BRITISH NAVAL FORCES IN THE AREA SOUTH OF THE EQUATOR AS FAR WEST AS LONGITUDE 155° EAST;

[28] e. *TASK*

DEFEND SAMOA IN CATEGORY "D";

f. *TASK*

DEFEND GUAM IN CATEGORY "F";

g. *TASK*

PROTECT THE SEA COMMUNICATIONS OF THE ASSOCIATED POWERS BY ESCORTING, COVERING, AND PATROLLING AS REQUIRED BY CIRCUMSTANCES, AND BY DESTROYING ENEMY RAIDING FORCES (See Part III, Chapter V, Section 1);

h. *TASK*

PROTECT THE TERRITORY OF THE ASSOCIATED POWERS IN THE PACIFIC AREA AND PREVENT THE EXTENSION OF ENEMY MILITARY POWER INTO THE WESTERN HEMISPHERE BY DESTROYING HOSTILE EXPEDITIONS AND BY SUPPORTING LAND AND AIR FORCES IN DENYING THE ENEMY THE USE OF LAND POSITIONS IN THAT HEMISPHERE;

i. *TASK*

COVER THE OPERATIONS OF THE NAVAL COASTAL FRONTIER FORCES;

j. *TASK*

ESTABLISH FLEET CONTROL ZONES, DEFINING THEIR LIMITS FROM TIME TO TIME AS CIRCUMSTANCES REQUIRE;

k. *TASK*

ROUTE SHIPPING OF ASSOCIATED POWERS WITHIN THE FLEET CONTROL ZONES.

[29] 3213. a. Units assigned to the ATLANTIC REENFORCEMENT in Chapter III, Appendix II, will be transferred from the U. S. PACIFIC FLEET, to the U. S. ATLANTIC FLEET, when directed by the Chief of Naval Operations.

b. The SOUTHEAST PACIFIC FORCE (Chapter IV, Appendix II), will be established under the immediate command of the Chief of Naval Operations, when so directed by that officer.

c. Until detached, the units assigned to the ATLANTIC REENFORCEMENT and the SOUTHEAST PACIFIC FORCE will be under the command of the Commander in Chief, U. S. PACIFIC FLEET, and may be employed as desired by him, so long as they remain in the PACIFIC AREA. They shall not be sent to such distances from PEARL HARBOR as would prevent their arrival in the CANAL ZONE twenty-one days after the Chief of Naval Operations directs their transfer from the PACIFIC AREA.

3214. a. The Commander in Chief, U. S. PACIFIC FLEET, will arrange for the logistic support of the U. S. PACIFIC FLEET from sources in continental United States and in the FOURTEENTH NAVAL DISTRICT designated by the Shore Establishment, and from United States and foreign commercial sources. (See Part IV, Chapter III, Section 2.) For this purpose he will employ the transportation facilities of the U. S. PACIFIC FLEET, which will be supplemented as required by those of the Naval Transportation Service.

b. To the extent practicable, the services of the Naval Transportation Service will be restricted to supplementing the movement of logistic supplies, including personnel, between the continental United States and OAHU.

c. The Commander in Chief, U. S. PACIFIC FLEET, will establish in the Office of the Commander; PACIFIC SOUTHERN NAVAL COASTAL FRONTIER, an officer of the staff of the Commander, BASE FORCE, U. S. PACIFIC FLEET, who will have liaison duties with respect to the quantities and transportation of logistic requirements, including personnel, to be delivered into the Fleet Control Zones. The Commander in Chief, U. S. PACIFIC FLEET, may,



at his discretion, establish similar liaison officers in the offices of the Commanders of other Naval Coastal Frontiers.

[30] 3215. a. The Commander in Chief, U. S. PACIFIC FLEET, will require the following plans to be prepared:

1. *THE U. S. PACIFIC FLEET OPERATING PLAN—RAINBOW No. 5* (Navy Plan O-1, RAINBOW No. 5);

2. A plan for the execution of TASK b. of paragraph 3212, assuming the availability of approximately 30,000 Army troops in addition to forces of the U. S. PACIFIC FLEET, and assuming that the task will be executed on 180M;

3. *NAVAL STATION, SAMOA, NAVAL LOCAL DEFENSE FORCE OPERATING PLAN—RAINBOW No. 5* (Naval Station Samoa Plan O-5, RAINBOW No. 5);

4. Such other subordinate task force operating plans as the Commander in Chief, U. S. PACIFIC FLEET, may direct.

b. 1. Plans listed under a. 1. and 2, will be reviewed by the Chief of Naval Operations.

2. The NAVAL STATION GUAM Naval Local Defense Force Operating Plan—RAINBOW No. 3 will be applicable, and no additional plan need be prepared.

*NOTE:* The Commandant, Naval Station, GUAM, is not included in the distribution of this Navy Basic War Plan—RAINBOW No. 5.

[31] *Section 2. THE SOUTHEAST PACIFIC FORCE*

3221. The SOUTHEAST PACIFIC FORCE (Chapter IV, Appendix II) will be established under the immediate command of the Chief of Naval Operations upon its arrival in the CANAL ZONE.

3222. This force will base on the Naval Operating Base, BALBOA, or in SOUTH AMERICAN ports as may later be directed, and will operate in the SOUTHEAST PACIFIC SUB-AREA, delimited as that part of the PACIFIC AREA south of the PANAMA NAVAL COASTAL FRONTIER, and between the west coast of South America and approximately Longitude 95° West.

3223. The SOUTHEAST PACIFIC FORCE is assigned the following tasks:

a. *TASK*

DESTROY AXIS SEA COMMUNICATIONS BY CAPTURING OR DESTROYING VESSELS TRADING DIRECTLY OR INDIRECTLY WITH THE ENEMY;

b. *TASK*

PROTECT SEA COMMUNICATIONS OF THE ASSOCIATED POWERS BY ESCORTING, COVERING, OR PATROLLING AS REQUIRED BY CIRCUMSTANCES, AND BY DESTROYING ENEMY RAIDING FORCES;

c. *TASK*

SUPPORT THE OPERATIONS OF THE PANAMA NAVAL COASTAL FRONTIER FORCES IN THE PACIFIC SECTOR;

d. *TASK*

PROMOTE THE INTERESTS OF THE ASSOCIATED POWERS IN THE NATIONS ON THE WEST COAST OF SOUTH AMERICA.

[32] 3224. a. The Commander, SOUTHEAST PACIFIC FORCE, will arrange for the logistic support of the SOUTHEAST PACIFIC FORCE from Shore Establishment sources in the FIFTEENTH NAVAL DISTRICT, and from foreign commercial sources (See Part IV, Chapter III, Section 2). Transportation will be provided by the Naval Transportation Service.

b. In circumstances where transportation facilities provided by the NAVAL TRANSPORTATION SERVICE are inadequate, the Commander, SOUTHEAST PACIFIC FORCE, is authorized to charter on a time charter basis, vessels immediately obtainable by him, for the purpose of providing his forces with urgent logistic deficiencies. Vessels of United States registry will be employed, if available.

3225. a. The Commander, SOUTHEAST PACIFIC FORCE, will require the preparation of the following plans:

1. *U. S. SOUTHEAST PACIFIC FORCE OPERATING PLAN—RAINBOW No. 5* (Navy Plan O-3-C, RAINBOW No. 5);

2. Such subordinate task force operating plans as the Commander, SOUTHEAST PACIFIC FORCE, may direct.

- b. 1. The plan listed under a. 1. will be reviewed by the Chief of Naval Operations.  
2. Plans may be distributed before review and acceptance.

[33] *Section 3. THE NAVAL COASTAL FRONTIER FORCES*

3231. a. The organization of the NAVAL COASTAL FRONTIER FORCES is prescribed in General Order No. 143.

b. The boundaries of Coastal Frontiers, Naval Coastal Frontiers, Coastal Zones, Sectors, and Subsectors, are defined in "Joint Action of the Army and the Navy, 1935," as modified by Annex I of Appendix I.

3232. The Naval Coastal Frontiers in the PACIFIC AREA are:

- a. PACIFIC NORTHERN NAVAL COASTAL FRONTIER;  
b. PACIFIC SOUTHERN NAVAL COASTAL FRONTIER;  
c. HAWAIIAN NAVAL COASTAL FRONTIER.

3233. The NAVAL COASTAL FRONTIER FORCES (Chapter VIII, Appendix II) in the PACIFIC AREA are assigned the following tasks:

a. *TASK*

DEFEND THE NAVAL COASTAL FRONTIERS IN CATEGORIES INDICATED BELOW:

*CATEGORY B*—THE PACIFIC SOUTHERN NAVAL COASTAL FRONTIER.

—THE PACIFIC NORTHERN NAVAL COASTAL FRONTIER, EXCEPT THE ALASKAN SECTOR.

*CATEGORY C*—THE ALASKAN SECTOR OF THE PACIFIC NORTHERN NAVAL COASTAL FRONTIER, EXCEPT UNALASKA.

*CATEGORY D*—UNALASKA.—THE HAWAIIAN NAVAL COASTAL FRONTIER;

[34] b. *TASK*

PROTECT AND ROUTE SHIPPING IN ACCORDANCE WITH INSTRUCTIONS CONTAINED IN PART III, CHAPTER VII, SECTION 3;

c. *TASK*

SUPPORT THE U. S. PACIFIC FLEET;

d. *TASK*

SUPPORT THE ARMY AND ASSOCIATED FORCES WITHIN THE COASTAL FRONTIERS.

3234. a. The following plans will be prepared:

1. Local Joint Plans as prescribed in Appendix I, paragraph 48;

2. By the Commander, PACIFIC SOUTHERN NAVAL COASTAL FRONTIER:

(a) *Naval Coastal Frontier Operating Plan*—RAINBOW No. 5, including an annex covering the operating plan of the Naval Coastal Force (Naval Coastal Frontier Plan 0-4, RAINBOW No. 5);

3. By Commanders, PACIFIC NORTHERN NAVAL COASTAL FRONTIER, HAWAIIAN NAVAL COASTAL FRONTIER, and by the Commandant, ELEVENTH and TWELFTH NAVAL DISTRICTS:

(a) *Naval Local Defense Force Operating Plans*—RAINBOW No. 5 (Naval District Plans 0-5, RAINBOW No. 5);

(b) Joint Embarkation Plans as required in Appendix I, paragraph 48;

[35] 4. Additional subordinate task force operating plans as directed by Commanders, Naval Coastal Frontiers, and Commandants of Naval Districts.

- b. 1. Joint Coastal Frontier Defense Plans and other plans prepared by Commanders, Naval Coastal Frontiers, will be reviewed by the Chief of Naval Operations.

2. Operating plans prepared by Commandants of Naval Districts will be reviewed by the respective Commanders, Naval Coastal Frontiers.

3. (a) Naval Coastal Frontier Operating Plans for the PACIFIC SOUTHERN NAVAL COASTAL FRONTIER, and Naval Local Defense Force Operating Plans for the HAWAIIAN NAVAL COASTAL FRONTIER will be forwarded to the Commander in Chief, U. S. PACIFIC FLEET for comment, prior to their review by the Chief of Naval Operations, with a view to their coordination with the Operating Plans of the U. S. PACIFIC FLEET.
- (b) Such portions of Naval Local Defense Force Operating Plans and Naval District Contributory Plans as relate to the protection of fleet anchorages and to services to the U. S. PACIFIC FLEET, will be referred to the Commander in Chief, U. S. PACIFIC FLEET for comment, if he so requests.
4. Plans may be distributed before review and acceptance.

[36] Section 4. *COMMAND RELATIONS*

3241. In order to provide for unity of command of task groups of the U. S. PACIFIC FLEET and of the PACIFIC NORTHERN and PACIFIC SOUTHERN NAVAL COASTAL FRONTIERS, in the execution of tasks requiring mutual support, the following provisions shall apply (see paragraph 3242):

a. On M-day, or sooner if directed by the Chief of Naval Operations, the Commanders, PACIFIC NORTHERN NAVAL COASTAL FRONTIER and PACIFIC SOUTHERN NAVAL COASTAL FRONTIER will be assigned a dual status as follows:

1. As commanders of their respective Naval Coastal Frontier Forces operating under the orders of the Chief of Naval Operations.

2. As officers of the U. S. PACIFIC FLEET operating under the orders of the Commander in Chief, U. S. PACIFIC FLEET, in command of task groups of that fleet when and as directed by the Commander in Chief thereof.

b. The Commander in Chief, U. S. PACIFIC FLEET, may thereafter require the Commanders, Naval Coastal Frontiers to place under his command, temporarily and for particular purposes, task groups of their Naval Coastal Frontier Forces. The Commander in Chief, U. S. PACIFIC FLEET, when taking temporary command of such task forces, will have due regard for the tasks assigned in this plan to the Commanders, Naval Coastal Frontiers by the Chief of Naval Operations.

1. The Commander in Chief, U. S. PACIFIC FLEET, will not require task groups of the Naval Coastal Frontier Forces to leave the limits of their respective Coastal Zones, except in emergency, or upon authority of the Chief of Naval Operations.

c. Conflicting provisions of General Order No. 142 are suspended while the provisions of this paragraph are in effect.

[37] 3242. The provisions of paragraph 3241 above, apply to the command relations of the Commander in Chief, U. S. PACIFIC FLEET, and the Commander, HAWAIIAN NAVAL COASTAL FRONTIER, except that the circumstances under which its provisions are applicable are not restricted to the execution of tasks requiring mutual support, but apply in all circumstances.

3243. The Chief of Naval Operations will direct the Commander, SOUTHEAST PACIFIC FORCE, to operate under the strategic direction of the Commander in Chief, U. S. PACIFIC FLEET, if coordinated action of that force and the U. S. PACIFIC FLEET becomes necessary. The Chief of Naval Operations will be informed by the Commander in Chief, U. S. PACIFIC FLEET, if this situation arises.

3244. In addition to having general authority over the operation of the Naval Local Defense Forces, the Commander, PACIFIC SOUTHERN NAVAL COASTAL FRONTIER, has authority to coordinate the activities of the Commandants of the Naval Districts within his respective Naval Coastal Frontier in matters that concern the Naval Communication Service, the Naval Intelligence Service, and the Naval Transportation Service. Due consideration will be given to the requirements of the tasks assigned to these services by the Chief of Naval Operations.

3245. a. Commanders of Naval Coastal Frontiers may reassign, temporarily, to the Naval Local Defense Forces under their command, vessels and aircraft assigned by the Chief of Naval Operations to the Naval Coastal Force.

b. Except as provided for in the preceding sub-paragraph, Commanders of Naval Coastal Frontiers will not change the assignment of vessels made by the Chief of Naval Operations to Naval Coastal Forces and Naval Local Defense Forces except in emergency or upon the authority of the Chief of Naval Operations.

3246. Command relations between United States and Canadian Forces will be set forth in the Joint Army and Navy Basic War Plan—Rainbow No. 5, Appendix I, after ABC-22 has been approved.

[38]

CHAPTER III. FORCES IN THE FAR EAST AREA

Section 1. *THE U. S. ASIATIC FLEET AND THE PHILIPPINE NAVAL COASTAL FRONTIER*

3311. The following is quoted from Appendix I, paragraph 16.b.:

*"Far East Area*

"Coordination in the planning and execution of operations by Military forces of the United States, British Commonwealth, and Netherlands East Indies, in the FAR EAST AREA will, subject to the approval of the Dutch authorities, be effected as follows:

"(1) The commanders of the Military forces of the Associated Powers will collaborate in the formulation of strategic plans for operations in that area.

"(2) The defense of the territories of the Associated Powers will be the responsibility of the respective commanders of the Military forces concerned. These commanders will make such arrangements for mutual support as may be practicable and appropriate.

"(3) The responsibility for the strategic direction of the naval forces of the Associated Powers, except of naval forces engaged in supporting the defense of the PHILIPPINES, will be assumed by the British Naval Commander in Chief, CHINA. The Commander in Chief, UNITED STATES ASIATIC FLEET, will be responsible for the direction of naval forces engaged in supporting the defense of the PHILIPPINES."

3312. a. The Commander in Chief, U. S. ASIATIC FLEET, is the immediate superior in command of the Commandant, SIXTEENTH NAVAL DISTRICT, who is also designated as the Commander, PHILIPPINE NAVAL COASTAL FRONTIER (see Chapter V, Appendix II).

b. The organization of Naval Coastal Frontiers is prescribed in General Order No. 143.

[39] c. The boundaries of the PHILIPPINE COASTAL FRONTIER, and the extent of the PHILIPPINE NAVAL COASTAL FRONTIER, are defined in "Joint Action of the Army and Navy, 1935", as modified by Annex I of Appendix I.

d. The Commander, PHILIPPINE NAVAL COASTAL FRONTIER will employ the Naval Local Defense Force in the execution of tasks assigned by the Commander in Chief, U. S. ASIATIC FLEET, and will arrange for its joint tactical and strategical employment in cooperation with the Army, under the direction of the Commander in Chief, U. S. ASIATIC FLEET.

3313. The Commander in Chief, U. S. ASIATIC FLEET is assigned the following tasks:

a. *TASK*

RAID JAPANESE SEA COMMUNICATIONS AND DESTROY AXIS FORCES;

b. *TASK*

SUPPORT THE LAND AND AIR FORCES IN THE DEFENSE OF THE TERRITORIES OF THE ASSOCIATED POWERS. (THE RESPONSIBILITY OF THE COMMANDER IN CHIEF, UNITED STATES ASIATIC FLEET, FOR SUPPORTING THE DEFENSE OF THE PHILIPPINES REMAINS SO LONG AS THAT DEFENSE CONTINUES.);

c. *TASK*

DESTROY AXIS SEA COMMUNICATIONS BY CAPTURING OR DESTROYING VESSELS TRADING DIRECTLY OR INDIRECTLY WITH THE ENEMY;

d. *TASK*

PROTECT SEA COMMUNICATIONS OF THE ASSOCIATED POWERS BY ESCORTING, COVERING, AND PATROLLING, AS REQUIRED BY CIRCUMSTANCES, AND BY DESTROYING ENEMY RAIDING FORCES;

[40] e. *TASK*

IN COOPERATION WITH THE ARMY DEFEND THE PHILIPPINE COASTAL FRONTIER—CATEGORY OF DEFENSE "E";

f. *TASK*

ROUTE UNITED STATES FLAG SHIPPING IN ACCORDANCE WITH AGREEMENTS REACHED WITH THE OTHER ASSOCIATED POWERS IN THE FAR EAST AREA.

3314. The Commander in Chief, U. S. ASIATIC FLEET, will shift base to BRITISH or DUTCH ports at discretion.

3315. a. The Commander in Chief, U. S. ASIATIC FLEET, will arrange for the logistic support of the U. S. ASIATIC FLEET from sources in the SIXTEENTH NAVAL DISTRICT, and in continental United States; from commercial sources in the PHILIPPINE ISLANDS; and from British and Dutch governmental and commercial sources (See Part IV, Chapter III, Section 2.).

b. Logistic requirements other than personnel, ammunition, and technical materials, will be obtained from sources in the FAR EAST AREA or from sources in the adjacent BRITISH AREAS.

c. Personnel, ammunition, and technical materials will be obtained from sources in the United States.

d. Transportation facilities available to the U. S. ASIATIC FLEET will be employed so far as practicable for the movement of logistic supplies. The Naval Transportation Service will provide transportation for shipments from the United States. The first two of these vessels to arrive in the FAR EAST AREA may be retained by the Commander in Chief, U. S. ASIATIC FLEET, for use in that Area.

[41] e. The Commander in Chief, U. S. ASIATIC FLEET, may acquire through the Commandant, SIXTEENTH NAVAL DISTRICT, and in accordance with the provisions of existing law, any vessels of United States' or Philippine registry by requisition, time charter, or bare boat charter, to supplement the transportation facilities of the U. S. ASIATIC FLEET.

f. In circumstances where the transportation facilities of the U. S. ASIATIC FLEET, supplemented as provided for in paragraphs d. and e., are inadequate, the Commander in Chief, U. S. ASIATIC FLEET, is authorized to charter on a time charter basis, vessels immediately obtainable by him for the purpose of providing his forces with urgent logistic deficiencies. Vessels of United States registry will be employed if available.

3316. a. The Commander in Chief, U. S. ASIATIC FLEET, will require the following plans to be prepared:

1. *THE U. S. ASIATIC FLEET OPERATING PLAN—RAINBOW No. 5* (Navy Plan O-2, RAINBOW No. 5);

2. Local Joint Plans required by Appendix I, Paragraph 48;

3. *SIXTEENTH NAVAL DISTRICT NAVAL LOCAL DEFENSE FORCE OPERATING PLAN—RAINBOW No. 5*. (Sixteenth Naval District Plan O-5, RAINBOW No. 5);

4. Such subordinate task force operating plans as the Commander in Chief, U. S. ASIATIC FLEET, may direct.

b. 1. The plan listed under a. 1, will be reviewed by the Chief of Naval Operations.

2. Plans may be distributed before review and acceptance.

[42] CHAPTER IV. FORCES IN THE UNITED KINGDOM AND BRITISH HOME WATERS AREA

*Section 1. THE U. S. NAVAL FORCES, NORTH EUROPE*

3411. a. The Commander in Chief, U. S. NAVAL FORCES, NORTH EUROPE, is also the naval member of the United States Military Mission in London.

b. The U. S. NAVAL FORCES, NORTH EUROPE, will come under the administrative command of the Commander in Chief, U. S. NAVAL FORCES, NORTH EUROPE, upon the arrival of these forces in the UNITED KINGDOM AND BRITISH HOME WATERS AREA.

3412. a. The U. S. NAVAL FORCES, NORTH EUROPE (Chapter VI, Appendix II) will be organized into task forces as follows:

1. *THE NORTHWEST ESCORT FORCE*;
2. *SUBMARINE FORCE THREE*.

b. These task forces will operate under the command of the Commander in Chief, U. S. ATLANTIC FLEET, until their arrival in the UNITED KINGDOM AND BRITISH HOME WATERS AREA.

3413. After their arrival in the UNITED KINGDOM AND BRITISH HOME WATERS AREA, the task forces of the U. S. NAVAL FORCES, NORTH EUROPE, are assigned the following tasks:

- a. *THE NORTHWEST ESCORT FORCE*

1. *TASK*

ESCORT CONVOYS IN THE NORTHWEST APPROACHES, ACTING UNDER THE STRATEGIC DIRECTION OF THE BRITISH COMMANDER IN CHIEF OF THE WESTERN APPROACHES;

- b. *SUBMARINE FORCE THREE*

1. *TASK*

RAID ENEMY SHIPPING IN AN AREA TO BE DESIGNATED, UNDER THE STRATEGIC DIRECTION OF THE BRITISH VICE ADMIRAL, SUBMARINES.

[43] 3414. Logistic support for the U. S. NAVAL FORCES, NORTH EUROPE, will be arranged as indicated herein (see Part IV, Chapter III, Section 2). Transportation will be provided by the Naval Transportation Service, or from vessels assigned to the task forces.

- a. Fuel from United States and British sources.
- b. Personnel, technical supplies, ammunition, and subsistence supplies from United States sources.
- c. Repair and upkeep facilities from tender and cargo vessels and shore facilities assigned to this force, supplemented by a limited use of British facilities.
- d. Replacement of fuel to British storage from United States sources.
- e. In circumstances where the transportation facilities of the U. S. NAVAL FORCES, NORTH EUROPE, and those provided by the NAVAL TRANSPORTATION SERVICE are inadequate, the Commander in Chief, U. S. NAVAL FORCES, NORTH EUROPE, is authorized to charter on a time charter basis, or a bare boat basis, vessels immediately obtainable by him for the purpose of providing his forces with urgent logistic deficiencies. Vessels of United States registry will be employed, if available.

3415. a. Outline operating plans for the employment of the U. S. NAVAL FORCES, NORTH EUROPE, will be prepared by the prospective Commander of the NORTHWEST ESCORT FORCE, and submitted to the prospective Commander in Chief, U. S. NAVAL FORCES, NORTH EUROPE, for review by the British Commander in Chief, WESTERN APPROACHES. After review and acceptance, copies of this plan will be furnished the Chief of Naval Operations.

[44]

CHAPTER V. THE SERVICES

Section 1. *THE NAVAL TRANSPORTATION SERVICE*

3511. The NAVAL TRANSPORTATION SERVICE (Chapter IX, Appendix II) is assigned the following task:

- a. *TASK*

PROVIDE SEA TRANSPORTATION FOR THE INITIAL MOVEMENT AND THE CONTINUED SUPPORT OF ARMY AND NAVY FORCES OVERSEAS, OTHER THAN THOSE WHICH ARE TO BE TRANSPORTED BY THE OPERATING FORCES. MAN AND OPERATE THE ARMY TRANSPORT SERVICE.

1. Deliveries may be made by commercial transportation or by vessels of the Naval Transportation Service as circumstances require.

2. The initial movements of U. S. Army troops under this task are as indicated in this paragraph. Larger movements may be made eventually, as indicated in Appendix I, paragraph 51, but the Navy will make no plans for these later movements until so directed by the Chief of Naval Operations.

(a) *NEW YORK to ICELAND*, 26,500 troops, 73 aircraft. First contingent—10,500 troops embark on 24M. Second contingent—16,000 troops embark on 57M. These two movements will be made by British transports if arrangements can be effected. If not, this plan contemplates use of United States transports.

(b) *NEW YORK to ENGLAND*, 7,000 troops embark on 10M.

*NEW YORK to IRELAND*, 8,000 troops embark on 10M.

(1) These two forces will move in one convoy.

(c) *NEW YORK to BERMUDA*, 3,700 troops, 38 aircraft, embark on 18M. Eight aircraft will fly to destination, 30 aircraft will be [45] transported. Part of this force may be moved before M-day.

(d) *GALVESTON to CURACAO-ARUBA*, 6,000 troops, embark on 15M.

(e) *GALVESTON to TRINIDAD*, 12,500 troops embark on 15M.

(f) *GALVESTON to PANAMA*, 6,400 troops, of which 3,300 embark on 20M. The remainder will be transported progressively as ships become available. Part of this force may be moved before M-day.

(g) *GALVESTON to PUERTO RICO*, 12,600 troops, of 4,000 embark 20M. The remainder will be transported progressively as ships become available. Part of this force may be moved before M-day.

(h) *SEATTLE to ALASKA*, 23,000 troops, of which 1,100 embark on 10M. The remainder will be transported progressively as ships become available. Part or all of these troops may be moved before M-day.

(i) *SAN FRANCISCO to HAWAII*, 23,000 troops, of which 15,000 embark on 10M. The remainder will be transported progressively as ships become available. Part of these troops may be moved before M-day.

3. The supply levels for the support of overseas forces which are to be transported by the NAVAL TRANSPORTATION SERVICE, are indicated in Appendix I, paragraph 57.

3512. Shipping will be routed by the Chief of Naval Operations and the Commanders of the Operating Forces in accordance with instructions contained in Part III, Chapter VII, Section 3.

[46] 3513. The Director, Naval Transportation Service, will prepare the Principal Naval Transportation Service Operating Plan—Rainbow No. 5, and will prescribe therein, the Naval Transportation Service Operating Plans—Rainbow No. 5, which are to be prepared by the Naval Districts, Outlying Naval Stations, and Activities or Task Groups not under the command of the Commandants of Naval Districts.

(47) *Section 2. THE NAVAL COMMUNICATION SERVICE*

3521. The NAVAL COMMUNICATION SERVICE is assigned the following tasks:

a. *TASK*

INSURE THE AVAILABILITY OF COMMUNICATION FACILITIES AND A SYSTEM FOR THEIR EMPLOYMENT ADEQUATE TO THE NEEDS OF THE NAVAL ESTABLISHMENT IN THE EXECUTION OF THIS PLAN;

b. *TASK*

IN COOPERATION, WHERE NECESSARY, WITH OTHER GOVERNMENT DEPARTMENTS AND INDEPENDENT OFFICES, AND SUBJECT TO THE PROVISIONS OF PERTINENT LEGISLATION, PROCLAMATIONS, AND EXECUTIVE ORDERS, PROVIDE FOR THE OPERATION OR SUPPRESSION, CONTROL, OR SUPERVISION, AS NECESSARY, OF NON-MILITARY COMMUNICATION STATIONS IN AREAS UNDER UNITED STATES' CONTROL.

3522. This Service, operating directly under the Chief of Naval Operations (Director of Naval Communications) comprises the following:

- a. Office of the Director, Naval Communications, Navy Department;
- b. The Communication Organization under the command of the Commandants of Naval Districts and Outlying Naval Stations; and under command of commanders of forces afloat, including aircraft.

3523. The Director, Naval Communication Service, will prepare the Principal Naval Communication Service Operating Plan—Rainbow No. 5, and will prescribe therein, the Naval Communication Service Operating Plans—Rainbow No. 5 which are to be prepared by the Naval Districts, Outlying Naval Stations, and Activities or Task Groups not under the command of the Commandants of Naval Districts.

[48] *Section 3. THE NAVAL INTELLIGENCE SERVICE*

3531. The NAVAL INTELLIGENCE SERVICE is assigned the following asks:

a. *TASK*

IN COOPERATION WITH THE ARMY AND ASSOCIATED POWERS, SECURE, AND DISSEMINATE AS ADVISABLE, SUCH INFORMATION, PARTICULARLY CONCERNING THE ENEMY, ENEMY AGENTS AND SYMPATHIZERS, AS WILL ASSIST AND FACILITATE THE EXECUTION OF NAVY BASIC WAR PLAN—RAINBOW No. 5 AND THE PROTECTION OF THE NAVAL ESTABLISHMENT;

b. *TASK*

IN COOPERATION WITH OTHER GOVERNMENT DEPARTMENTS, PREVENT THE TRANSMISSION OF INFORMATION OF MILITARY OR ECONOMIC VALUE TO THE ENEMY.

3532. This Service, operating directly under the Chief of Naval Operations (Director of Naval Intelligence), comprises the following:

a. Office of the Director of Naval Intelligence, Navy Department, including naval attaches, naval observers, and other personnel directly under the Director of Naval Intelligence;

b. The Naval Intelligence organization under the command of the Commandants of Naval Districts, the Navy Yard, Washington, D. C., and Outlying Naval Stations, including the field units of the respective subordinate activities.

3533. The Director, Naval Intelligence Service, will prepare the Principal Naval Intelligence Service Operating Plan—Rainbow No. 5, and will prescribe therein the Naval Intelligence Service Operating Plans—Rainbow No. 5, which are to be prepared by the Naval Districts, Outlying Naval Stations, and Activities or Task Groups not under the command of the Commandants of Naval Districts.

[49] *CHAPTER VI. THE SHORE ESTABLISHMENT*

3601. The task of the SHORE ESTABLISHMENT is prescribed in Part IV

[50] *CHAPTER VII. INSTRUCTIONS JOINTLY APPLICABLE TO TASK FORCES*

*Section 1. FORMING THE TASK FORCES*

3711. Naval Coastal Frontier Forces will be formed on M-day or sooner if directed by the Chief of Naval Operations.

a. Units of the U. S. ATLANTIC FLEET, U. S. PACIFIC FLEET, and U. S. ASIATIC FLEET, designated for assignment to NAVAL COASTAL FRONTIER FORCES, when directed by the respective Commanders in Chief of the Fleets, will report to the Commanders, Naval Coastal Frontier Forces, to which assigned.

b. Vessels of NAVAL DISTRICT CRAFT (See General Order No. 143), designated for assignment to the Naval Coastal Frontier Forces, when directed by the Commandants of the Naval Districts, will report to the commanders of task organizations to which assigned.

c. Vessels to be mobilized, upon completion of mobilization, and when directed by the Commandants of Naval Districts in which they mobilize, will report to the commanders of task organizations to which assigned.

3712. The Chief of Naval Operations will issue special instructions to vessels of the Naval Transportation Service and to vessels operating directly under the Chief of Naval Operations as circumstances require.



3713. a. Coast Guard Districts, including vessels, aircraft and shore establishments within the Districts, upon M-day or sooner if directed by the President, will automatically come under the control of Naval Districts in the manner set forth in the "United States Coast Guard District Manual, 1940."

b. The Commandants of Naval Districts will direct the Coast Guard units coming under their command to report to the commanders of the task organizations as indicated in Appendix II of this plan.

[51] *Section 2. MOBILIZATION*

3721. a. Mobilization comprises two steps, viz:

1. Timely assembly at assigned Mobilization Districts of the forces to be mobilized preparatory to 2;

2. Preparation for war service. This is a function of the Shore Establishment assisted to the extent practicable by the forces being mobilized, and is provided for in Part IV of this plan.

b. Under this plan the term "mobilization" is applied only to the Operating Forces and the Services, including their units ashore. The Shore Establishment does not mobilize, but, as stipulated in Part IV, increases its personnel and facilities as required to perform its assigned task.

c. Mobilization is thus not a process confined exclusively to the initial days of the war but continues as long as there are additional forces to be mobilized. During and subsequent to mobilization, vessels and units are supported through the operation of the maintenance provisions of Part IV.

3722. Most of the Naval Forces listed in the current Operating Force Plan have already been mobilized at the time of issue of this plan. Vessels so listed, even if not completely mobilized on M-day, will be considered available for immediate war service within the limits of their capabilities. They will complete their mobilization progressively as opportunity permits, and as directed by their superiors in command. Exceptions may be made by direction of the Chief of Naval Operations.

3723. In view of the provisions of paragraph 3722, mobilization in this plan applies principally to vessels assigned to the Naval Transportation Service, to the Naval Coastal Frontier Forces, and to Naval District Craft which are to be taken over from private sources or other government departments.

[52] 3724. Instructions for the assembly at Mobilization Districts of vessels assigned to the Naval Transportation Service will be issued by the Chief of Naval Operations.

3725. Instructions for the assembly at Mobilization Districts of vessels assigned to the Naval Coastal Frontier Forces are contained in Chapter VIII, Appendix II.

[53] *Section 3. THE ROUTING AND PROTECTION OF SHIPPING*

3731. The following is quoted from Appendix I, "Section V";

a. "20. The British authorities will issue directions for the control and protection of shipping of the Associated Powers within the areas in which British authorities assume responsibility for the strategic direction of Military Forces. United States authorities will issue directions for the control and protection of shipping of the Associated Powers within the areas in which the United States authorities assume responsibility for the strategic direction of Military forces.

"21. United States and British shipping scheduled to pass from an area assigned to one Power into an area assigned to the other Power, will be controlled and protected by agreement between the respective naval authorities. The British Admiralty is the supreme authority in the control of shipping in the North Atlantic bound to and from the United Kingdom.

"22. The British Naval Control Service Organization will continue in the exercise of its present functions and methods in all regions pending establishment of effective United States Agencies in United States areas. The Chief of Naval Operations, immediately on entry of the United States into the war, will arrange for the control and protection of shipping of United States registry or charter within United States areas. Requests from the British Naval Control Service Organization for protection by United States forces within United States areas will be made to the Chief of Naval Operations."

b. The term "control of shipping" as used in Appendix I, "Section V", includes all matters relating to the movement of non-combatant vessels on the high seas, except protection.

*Definitions*

3732. a. *ROUTING*. The term "routing of shipping" as employed in this plan relates to the sea routes to be followed; [54] the time of departure from port; whether or not ships will move singly or in convoy; the timing at meeting points (rendezvous) and along the sea route; and the delivery of instructions for routing. Instructions in regard to the assembly of vessels for convoys, the scheduling of ports of call or destination, and loading are not considered as a part of routing.

b. *INTRA-DISTRICT SHIPPING*. That shipping of the Associated Powers proceeding from one port to another within the limits of a Naval District.

c. *INTRA-FRONTIER SHIPPING*. That shipping of the Associated Powers proceeding from one Naval District to another within the same Naval Coastal Frontier.

d. *INTER-FRONTIER SHIPPING*. That shipping of the Associated Powers, not overseas shipping, proceeding from a port in one Naval Coastal Frontier to, or through the waters of, another Naval Coastal Frontier.

e. *FLEET CONTROL ZONE SHIPPING*. All shipping of the Associated Powers while within the Fleet Control Zone.

f. *OVERSEAS SHIPPING* is that shipping of the Associated Powers whose route, in whole or in part, lies outside the coastal zone of a Naval Coastal Frontier; except that shipping passing between the CARIBBEAN NAVAL COASTAL FRONTIER and the ATLANTIC COAST ports of the United States or Canada is considered INTER-FRONTIER SHIPPING.

*Instructions for routing shipping*

3733. *INTRA-DISTRICT INTRA-FRONTIER, and INTER-FRONTIER SHIPPING*.

a. The Chief of Naval Operations will issue general instructions to Naval Coastal Frontier Commanders for the routing of Intra-District, Intra-Frontier, and Inter-Frontier Shipping. Commanders of Naval Coastal Frontiers and Commandants of Naval Districts will keep the Chief of Naval Operations and interested Commanders in Chief informed as to routing instructions issued by them.

[55] b. Commanders of Naval Coastal Frontiers will route Intra-Frontier and Inter-Frontier Shipping.

c. Intra-District shipping will be routed by the Commandant of the Naval District under the general direction of the Commander, Naval Coastal Frontier.

3734. *OVERSEAS SHIPPING*.

a. Overseas shipping is divided into two categories, referred to hereafter as Class A and Class B Overseas Shipping:

1. *CLASS A*. Overseas shipping between two points in the areas of strategic responsibility of the United States;

2. *CLASS B*. Overseas shipping between one point in the areas of strategic responsibility of the United States, and one point in the areas of strategic responsibility of the United Kingdom.

b. *WESTERN ATLANTIC AREA*.

1. The Chief of Naval Operations, in consultation with the United Kingdom Chief of Naval Staff, will arrange the routing details of Class B Overseas Shipping which passes between the WESTERN ATLANTIC AREA and UNITED KINGDOM AREAS to the east or south.

2. The Chief of Naval Operations will route all Class A and Class B Overseas Shipping while it is within the WESTERN ATLANTIC AREA. In the case of overseas shipping moving in convoy, he will issue the routing instructions to the convoy commanders, via the Commandants of the Districts in which are the ports of assembly of the convoys, with copies to the Commander in Chief, U. S. ATLANTIC FLEET, appropriate Naval Coastal Frontier Commanders, and Commandants of other Naval Districts affected. In the case of overseas shipping moving singly, the [56] Chief of Naval Operations will issue general routing instructions to the Naval Coastal Frontier Commanders, with copies to the Commander in Chief, U. S. ATLANTIC FLEET, and to Commandants of Naval Districts affected. Under the general supervision of the Commanders of Naval Coastal Frontiers, Commandants of Naval Districts will issue routing instructions to commanders of vessels.

c. *PACIFIC AREA.*

1. Under the general direction of the Chief of Naval Operations, the Commander of the PACIFIC SOUTHERN NAVAL COASTAL FRONTIER will perform, in the PACIFIC AREA, all the routing duties performed by the Chief of Naval Operations in the WESTERN ATLANTIC AREA, with the following exceptions:

(a) The Commander in Chief, U. S. PACIFIC FLEET, will route shipping in the PACIFIC FLEET CONTROL ZONES;

(b) The Commander, PANAMA NAVAL COASTAL FRONTIER, will route shipping in the SOUTHEAST PACIFIC SUB-AREA;

(c) Routing details of overseas shipping bound to or from the AUSTRALIAN AND NEW ZEALAND AREA will be arranged directly between the Commander, PACIFIC SOUTHERN NAVAL COASTAL FRONTIER, and the Chief of the Australian Naval Staff. The Chief of Naval Operations will make arrangements with the United Kingdom Chief of Naval Staff in case action is required by that officer.

*Instructions for the protection of shipping*

3735. a. Tasks providing for the protection of shipping are assigned to the Operating Forces.

[57] b. Protection of shipping may be provided by sea or air escort, by covering operations, by patrol, by dispersal, by shifting of routes, or by a combination of these methods.

c. The shipping of the Associated Powers operating in the areas of strategic responsibility of the United States will be protected by the responsible Commanders in Chief, Commanders of Sub-Areas, and Naval Coastal Frontiers, and by the Commandants of Naval Districts, to the extent required by the existing situation, and as may be practicable by the use of available forces. These officers will keep each other informed, as may be appropriate, as to the strength of naval forces, and the methods being employed, in the protection of shipping.

d. The protection of embarked military personnel and valuable cargoes will be viewed as having an especial importance.

[58] *Section 4. RULES OF WARFARE*

3741. In the conduct of the war the Naval Establishment will be guided by the current "Instructions for the Navy of the United States Governing Maritime Warfare".

3742. Except under extraordinary circumstances (as when no prize crews are available or great distances are involved, and it is impracticable for the capturing ship to leave her station), prizes should be sent promptly to a port within the jurisdiction of the United States, or to an allied port in which a United States prize court is sitting, or to an allied port where arrangements have previously been made by the commander in the Area for prizes captured by the United States to be received into custody of local officials until an opportunity presents itself of sending them to United States prize courts. When the State Department shall have made arrangements with other Associated Powers to permit United States prize courts within their jurisdiction, the forces afloat will be promptly notified.

3743. Do not use poison gas except in retaliation for similar use by the enemy.

3744. The Commander in Chief, U. S. ATLANTIC FLEET, within the WESTERN ATLANTIC AREA, and the Commander in Chief, U. S. PACIFIC FLEET, within the PACIFIC AREA, are authorized to declare such "Strategical Areas" as in their opinion are *vital*. They must give wide publicity to the exact boundaries of the areas involved and, at the earliest opportunity, notify the Chief of Naval Operations of these actions. A "Strategical Area", as here used, means an area from which it is necessary to exclude merchant ships and merchant aircraft to prevent damage to such ships or aircraft, or to prevent such ships or aircraft from obtaining information, which, if transmitted to the enemy, would be detrimental to our own forces.

[59] 3745. Should the Commander in Chief, U. S. ATLANTIC FLEET, or the Commander in Chief, U. S. PACIFIC FLEET, desire to lay mines outside the territorial waters of the enemy, or of the United States or other Associated Powers, or outside of proclaimed Strategical Areas, they should make recom-

mentations to the Chief of Naval Operations concerning the areas proposed to be mined and the time when the mines are to be laid. The Chief of Naval Operations will take the necessary steps to declare the mined areas and to notify shipping and foreign governments. In an emergency, mines may be so laid, before communicating with the Chief of Naval Operations, but in such cases appropriate local notification should be made by the Commander in Chief concerned, and the Chief of Naval Operations should be informed.

[60] *Section 5. INTELLIGENCE LIAISON BETWEEN COMMANDERS OF ASSOCIATED FORCES IN THE FIELD*

3751. The commanders of the Operating Forces and their subordinate task force commanders will, on their own initiative, exchange liaison officers with task force commanders of the Associated Powers for the purpose of coordinating matters which directly affect their operations. (See Appendix I, paragraph 17. f.).

[61] **PART IV. LOGISTICS**

**CHAPTER I. THE SHORE ESTABLISHMENT**

4101. The SHORE ESTABLISHMENT is assigned the following tasks:

a. *TASK*

PREPARE FOR WAR SERVICE, MAINTAIN, AND AUGMENT THE OPERATING FORCES AND THE SERVICES;

b. *TASK*

PROVIDE PERSONNEL AND MATERIAL REQUIRED FOR ESTABLISHING AND MAINTAINING ADVANCED BASES;

c. *TASK*

PROVIDE SALVAGE SERVICE IN THE ATLANTIC AND PACIFIC OCEANS, THE GULF OF MEXICO, AND THE CARIBBEAN SEA, WITHIN APPROXIMATELY 500 MILES OF CONTINENTAL UNITED STATES, ALASKA, PANAMA CANAL ZONE, AND OF OUTLYING UNITED STATES POSSESSIONS AND LEASED TERRITORY IN THE ATLANTIC OCEAN AND THE CARIBBEAN SEA.

4102. Each Chief of Bureau or Head of an Office of the Navy Department, and each Commandant of a Naval District or an Outlying Naval Station will execute such parts of the tasks assigned to the Shore Establishment as fall under his cognizance by law or regulation, unless otherwise stipulated in Part IV.

[62] **CHAPTER II. GENERAL DIRECTIVES**

*Section 1. PERSONNEL*

4211. The Shore Establishment will supply the trained personnel required for:

a. Preparing for war service, maintaining, and augmenting the Operating Forces and the Services;

b. Augmenting and maintaining the Shore Establishment Activities;

c. Establishing and maintaining Advanced Bases;

d. Augmenting and maintaining Salvage Service.

4212. The following is quoted from Appendix I, paragraph 54.

"The Army and Navy requirements for increased personnel will be met by the operation of the Selective Training and Service Act of 1940".

4213. a. Personnel will be supplied in accordance with the Basic Priorities established in Section 6 (paragraph 4261).

b. Where the requirements for personnel for the Operating Forces and the Services cannot be supplied from other sources, naval personnel assigned to Naval District Craft (see General Order No. 143) will be replaced with civilian personnel for such period of time as found to be necessary.

[63] *Section 2. MATERIAL*

4221. The Shore Establishment will supply material required for:

a. Preparing for war service, maintaining, and augmenting the Operating Forces and the Services;

b. Augmenting and maintaining the Shore Establishment Activities;

c. Establishing and maintaining Advanced Bases;

d. Augmenting and maintaining Salvage Service.

4222. The material to support this Plan will come from existing reserves of the Navy and from production sources developed under the approved Industrial Mobilization Plan, and Navy Procurement Plans. The procurement of material will be regulated and controlled by existing laws and regulations, Executive Orders, and in accordance with the instructions contained in the Joint Army and Navy Basic War Plan—RAINBOW No. 5 (Appendix I, paragraphs 56 and 58).

4223. Bureaus having technical cognizance of material being procured for the Navy will take appropriate measures to insure that contractors safeguard such material from exposure to sabotage and from damage by sabotage or other means.

4224. Material will be supplied in accordance with the Basic Priorities established in Section 6 (paragraph 4261).

[64] *Section 3. TRANSPORTATION*

4231. a. Sea transportation will be provided by:

1. THE OPERATING FORCES;

2. THE NAVAL TRANSPORTATION SERVICE.

b. The Naval Transportation Service will arrange for delivery of personnel and material by commercial transportation facilities wherever practicable.

4232. a. Bureaus will provide material at loading ports ready for loading.

b. The Shore Establishment will furnish the Chief of Naval Operations and the District Commandants concerned with the necessary information regarding material and personnel to be loaded at loading ports in order that sea transportation may be provided.

c. The Shore Establishment will load material and embark personnel in vessels designated by the Chief of Naval Operations.

4233. a. The Army will furnish to the Chief of Naval Operations, or the District Commandants, information regarding the numbers of troops and quantities of material to be transported overseas (see Appendix I, paragraphs 51 and 57).

b. The Army will move Army material and troops to ports of embarkation, and load Army material and embark Army troops in vessels designated by the Chief of Naval Operations, subject to supervision by the Navy in matters regarding the safety of vessels.

c. The Navy will furnish subsistence and medical supplies for Army personnel while embarked on transports operated by the Navy (including time-chartered vessels); the Army will provide subsistence and medical supplies for all animals embarked on such transports. Army medical and Army commissary personnel embarked will be available to perform their normal duties in relation to Army personnel.

(65) 4234. The Commander in Chief, U. S. ATLANTIC FLEET, will establish in the Office of the Chief of Naval Operations and the Commander in Chief, U. S. PACIFIC FLEET, will establish in the Office of the Commander, PACIFIC SOUTHERN NAVAL COASTAL FRONTIER, officers having liaison duties in regard to coordinating the transportation of material and personnel by fleet transportation facilities and the Naval Transportation Service.

[66] *Section 4. LEGAL SERVICES*

4241. The Shore Establishment (Office of the Judge Advocate General of the Navy) will provide the legal services, charged to it by law and regulation, necessary for the execution of this plan by the Naval Establishment.

4242. These services will include:

a. The supervision of the administration of law throughout the Naval Establishment;

b. Securing the enactment of such legislation and the promulgation of such Presidential Proclamations and Executive Orders as may be required by the Naval Establishment in the execution of this plan;

c. In conjunction with the War Department, securing the enactment of legislation and the promulgation of such Presidential Proclamations and Executive Orders affecting both the Army and the Navy as are deemed necessary for the execution of the Joint Army and Navy Basic War Plan—RAINBOW No. 5 (Appendix I, paragraph 59).

[67] *Section 5. AUGMENTATION AND MAINTENANCE OF THE SHORE ESTABLISHMENT*

4251. The Shore Establishment will augment and maintain its activities by providing personnel and material necessary for the accomplishment of its assigned tasks.

4252. Requirements for Naval District Craft (see General Order No. 143) in excess of those provided for in the current Operating Force Plan, will be met locally by the Commandants of Naval Districts. This may be done by taking over suitable craft from private owners, or by contracting with private owners for the operation of such craft in a pool under navy control, to meet both government and private requirements.

[68] *Section 6. PRIORITIES*

4261. Priority in matters of supply, delivery, and services will be in accordance with the basic priorities stipulated below. All supporting efforts of the SERVICES and the SHORE ESTABLISHMENT will fall respectively under the priorities established by this general formula. For planning purposes, the several items listed under the same basic priority shall be considered of equal importance.

a. *PRIORITY ONE*

1. The transportation of Army troops and material in the initial movements to the UNITED KINGDOM, BERMUDA, CURACAO-ARUBA, TRINIDAD, PANAMA, PUERTO RICO, ALASKA, and HAWAII.

2. The requirements of the NORTHWEST ESCORT FORCE, U. S. NAVAL FORCES, NORTH EUROPE, and SUBMARINE FORCE THREE, U. S. NAVAL FORCES, NORTH EUROPE.

3. The requirements of the U. S. ASIATIC FLEET.

b. *PRIORITY TWO*

1. Initial movements to ICELAND.

2. The requirements of the U. S. ATLANTIC FLEET and the U. S. PACIFIC FLEET.

3. The requirements of the NAVAL TRANSPORTATION SERVICE not specified under PRIORITY ONE.

c. *PRIORITY THREE*

1. The requirements of the NAVAL COASTAL FRONTIER FORCES.

2. The transportation of Army troops and material not specified under PRIORITIES ONE and TWO.

d. *PRIORITY FOUR*

1. New Construction.

[69] CHAPTER III. THE OPERATING FORCES AND SERVICES

*Section 1. PREPARATION FOR WAR SERVICE*

4311. Commencing on M-day, and before if directed, the SHORE ESTABLISHMENT will prepare for war services those vessels and units of the OPERATING FORCES and SERVICES listed in Appendix II, which are not then in condition of readiness for war service, by placing them in material condition and providing personnel to perform their war tasks.

4312. The desired condition of readiness for war service as regards personnel, repairs and alterations, and supplies, is the STANDARD CONDITION prescribed by the Bureaus and Offices of the Navy Department concerned and approved by the Chief of Naval Operations.

4313. *Vessels assigned to the Operating Forces and the Services listed in the current Operating Force Plan.*

a. Vessels assigned to the Operating Forces and the Services appearing in the current Operating Force Plan are not assigned to Mobilization Districts, as most of those vessels have already been mobilized at the time of issue of this plan. Vessels not completely mobilized on M-day will be considered available for immediate war service within the limitations of their capabilities. They will complete their mobilization progressively as opportunity permits, and as directed by their superiors in command. Exceptions may be made by direction of the Chief of Naval Operations.

4314. *Vessels assigned to the Operating Forces and the Services NOT listed in the current Operating Force Plan.*

a. Vessels not appearing in the current Operating Force Plan, assigned in Appendix II to the Operating Forces and the Services, are assigned to Mobilization Districts for preparation for war service (mobilization). Commandants are responsible for preparing for war service all vessels assigned to their districts for mobilization.

b. In cases where Appendix II indicates the day of arrival at the Mobilization District and the day required to be ready for service, the Commandant will employ the intervening period in the preparation of the vessel for war service.

[70] If essential items of conversion can not be completed by the "Day Ready" indicated in Appendix II, the Commandant will inform the Chief of Naval Operations and the Commander of the Operating Force concerned, as far in advance as practicable.

c. In cases where the day of arrival at the Mobilization District and the "Day Ready" are not indicated in Appendix II, the Commandant will complete the mobilization as promptly as possible in accordance with the priorities established and other related instructions.

d. Vessels assigned to the Operating Forces, other than those assigned to the Naval Coastal Frontier Forces, will be degaussed, armed, and manned with navy personnel before being considered ready for war service.

e. Vessels assigned to Naval Coastal Frontier Forces will be placed in STANDARD CONDITION before being considered ready for war service, unless the Commanders, Naval Coastal Frontiers, direct otherwise, in which case placing them in STANDARD CONDITION will be deferred until opportunity permits.

f. Vessels assigned to the Naval Transportation Service will be placed in STANDARD CONDITION before being considered ready for war service, except as follows:

1. Transports to be commissioned in the Navy will be considered ready for war service when degaussed, provided with fresh water, commissary, sanitary, medical, berthing, and other facilities essential for the initial scheduled voyage;

2. Transports to be operated on a time charter basis will be considered ready for war service when provided with fresh water, commissary, sanitary, medical, berthing, and other facilities essential for the initial scheduled voyage, and provided with a liaison group consisting of a communication group and such additional personnel (supply and medical) as may be required;

[71] 3. All other classes commissioned in the Navy scheduled for voyages outside of the WESTERN HEMISPHERE will be considered ready for war service when degaussed and prepared for the particular service for which scheduled;

4. All other classes operated on a time charter basis will be considered ready for war service when degaussed and prepared for the particular service for which scheduled, and provided with a liaison group consisting of a communication group and such additional personnel (supply and medical) as may be required;

5. Vessels of the Naval Transportation Service will not be delayed for the installation of batteries and magazines.

g. Time chartered merchant vessels of the Naval Transportation Service to be taken over and commissioned will be placed in STANDARD CONDITION after their initial voyage, and when opportunity permits.

h. Instructions for the mobilization of vessels assigned to the Naval Coastal Frontier Forces are contained in Chapter VIII, Appendix II.

4315. a. The crews of all combat loaded transports and other vessels scheduled to unload at a destination having no stevedores available, will include competent stevedore personnel. These may be supplied from trained naval personnel, or by contract if suitable naval personnel is not available. This provision applies to vessels commissioned in the Navy and to time chartered vessels.

b. Provision will be made for furnishing prize crews consisting of a suitable number of officers and men as follows:

1. To the U. S. ATLANTIC FLEET.....	6;
[72] 2. To the U. S. PACIFIC FLEET.....	8;
3. To the SOUTHEAST PACIFIC FORCE.....	8;
4. To the U. S. ASIATIC FLEET.....	6.

[73] *Section 2. MAINTENANCE*

4321. The Shore Establishment will maintain the Operating Forces and the Services in condition of readiness for war by:

- a. Replacement of personnel and material;
- b. Repairs to units made available at Shore Establishment activities;
- c. Hospitalization of personnel;
- d. Provisions of facilities at Shore Establishment activities for recreation and welfare of personnel.

*Replacements*

4322. a. In order to provide for replacements of personnel and material for the Operating Forces and the Services, the Bureaus and Offices of the Navy Department concerned will establish standard monthly replacement rates based upon estimated expenditures, plus a small excess for building up a reserve. These rates will be used by the Shore Establishment as a basis for procuring personnel and material to meet the replacement requirements of the Operating Forces and the Services. The estimates should be based on probable operations of each type of the Task Organization in each of the Areas and Sub-Areas listed in paragraph 1102 of this plan.

b. These standard monthly replacement rates will be revised from time to time so as to accord with the requirements of the Operating Forces and the Services, as determined by war experience.

c. In procuring personnel and material at the standard monthly replacement rates, no deduction will be made for probable losses in the forces to be supplied. A 10% surplus over the standard monthly replacements will be maintained available for shipment to provide for probable losses during sea transportation to destination.

d. Should the established monthly replacement rates prove to be inadequate to supply the requirements, personnel [74] and material allotted to low priority units will be reassigned to higher priority units, as required, until deficiencies can be replaced under revised replacement rates.

e. The Bureaus and Offices of the Navy Department who provide replacements of personnel and material will designate the activities of the Shore Establishment to which the Operating Forces and the Units of the Naval Transportation Service will submit their requests for replacements.

f. The rate of flow of replacements will be controlled by the timely submission of requests for replacements, stating the desired time and place of delivery.

g. Requests for replacements will be submitted as follows:

1. For the U. S. ATLANTIC FLEET, U. S. PACIFIC FLEET, U. S. ASIATIC FLEET, and SOUTHEAST PACIFIC FORCE, and U. S. NAVAL FORCES, NORTH EUROPE by the commanders thereof, or by officers designated by them;

2. For the NAVAL COASTAL FRONTIER FORCES, by the Commandants of Naval Districts upon which the forces are based;

3. For units of the NAVAL TRANSPORTATION SERVICE by the commanders thereof, through the appropriate local naval authorities where delivery is desired;

4. For units ashore by the commanders thereof, through the Commandants of Naval Districts or Commanders of Outlying Naval Stations in which these units are established.

h. Where Shore Establishment facilities are not readily available, units of the Operating Forces and of the Naval Transportation Service will obtain material replacements from local sources. (See par. 3116, 3214, 3224, 3315, 3414.) Replacements obtained in this manner will not be included in requests for replacements made to Shore Establishment activities.

[75] 4323. Delivery of replacements to the Operating Forces the the Services will be effected, insofar as practicable, at the times and places requested.

*Repairs*

4324. a. The Shore Establishment will repair such units of the Operating Forces and Services as may be made available therefor at Shore Establishment activities.

b. The assignment of availability of such units to an activity of the Shore Establishment for overhaul and repairs will be governed by the following:

1. The geographic disposition of the various forces;

2. The facilities available at certain activities for accomplishing the work required;

3. The degree of urgency of the work required;

4. The distribution of the work load among the various activities;

5. The needs for repairs by units of the Associated Powers.

4325. The Chief of Naval Operations will designate the shore activity to which a vessel will be assigned for overhaul and repairs and will fix the availability dates.



*Hospitalization and evacuation*

4326. a. The Operating Forces will provide hospitalization for sick and wounded personnel within the capacity of the hospital facilities available in hospital ships, in Advanced Base Hospitals, and in Mobile Medical Units.

[76] b. The Shore Establishment will provide hospitalization for sick or wounded naval and marine corps personnel which may be evacuated to Shore Establishment activities.

4327. The sick and wounded personnel evacuated to Shore Establishment activities will be transported in evacuation transports, hospital ships, and other available vessels having adequate medical facilities.

4328. a. Army forces overseas will provide their own hospitalization, but will be evacuated to home territory in the same manner as naval personnel.

b. Army forces embarked on naval vessels will be provided hospitalization by the Navy until such time as the sick and wounded can be evacuated to Army hospitals or field medical units.

*Recreation and welfare*

4329. a. The Shore Establishment will provide and maintain recreation and welfare facilities at Shore Establishment activities for naval and marine corps personnel.

b. Provisions for these activities will include:

1. Augmentation and maintenance of recreational facilities at Shore Establishment activities where units of the Operating Forces and Services are concentrated, and at Training Stations;

2. Augmentation and maintenance of religious and welfare facilities at the above activities, including cooperation with national and local welfare agencies and religious groups, operating for the welfare of naval personnel.

[77] *Section 3. AUGMENTATION*

4331. The Shore Establishment will augment the Operating Forces and the Services by:

a. New construction of vessels and aircraft;

b. Acquisition from the Maritime Commission and from private owners of vessels and aircraft designated by the Chief of Naval Operations (Naval Supply and Transportation Service Section), and by their preparation for war service;

c. Preparation for war service of vessels and aircraft transferred to the Navy from other Government Departments;

d. Acquisition of material.

4332. In preparing plans for the acquisition of small vessels, Commandants of Naval Districts will provide for consultation and cooperation between local representatives of the Army, Navy, and Maritime Commission.

[78] *CHAPTER IV. ADVANCED BASES*

4401. The Shore Establishment will provide personnel and material required for establishing and maintaining ADVANCED BASES in accordance with instructions issued in separate directives.

[79] *CHAPTER V. SALVAGE*

4501. a. The Shore Establishment will provide salvage units and render salvage service to vessels, both private and public, of all nationalities, in the areas prescribed in paragraph 4101.c.

b. The Operating Forces, assisted by such facilities as can be made available by the Shore Establishment, will render salvage service to vessels of their own forces and to other vessels where practicable, in the waters of the outlying United States possessions in the Pacific Ocean, of the Philippine Islands and of Advanced Bases, and in the open sea outside of the areas mentioned in paragraph 4101.c.

4502. The Shore Establishment will cooperate with and assist the Army or other agencies responsible for clearing harbor channels of stranded vessels within the waters of the United States.

4503. a. On M-day, or sooner if directed by the President, the Navy will acquire the following vessels to be converted and equipped as salvage vessels:

1. From the COAST AND GEODETIC SURVEY  
PIONEER,  
GUIDE,  
DISCOVER;
2. From the COAST GUARD  
REDWING.

b. These vessels will be manned and operated as directed by the Bureau of Ships, and two will be stationed on the Atlantic Coast of the United States and two on the Pacific Coast of the United States.

[80] CHAPTER VI. PLANS TO BE PREPARED BY THE SHORE ESTABLISHMENT

4601. Contributory Plans, Rainbow No. 5, will be prepared as prescribed in Part V, WPL-8, with particular reference to paragraphs 5126, 5127, and 5128.

4602. The Principal Contributory Plans, Rainbow No. 5, will prescribe the estimates of requirements, if any, to be made by the subordinate planning agencies.

[81] PART V. SPECIAL PROVISIONS

CHAPTER I. EXERTION OF FINANCIAL AND ECONOMIC PRESSURE

5101. The following is quoted from Appendix I, paragraph 60:

"The Administrator of Export Control, jointly with the War and Navy Departments, is to prepare plans and programs for the application of economic pressure such as may be obtained through control of commodities, transportation, communication, financial relationships, and all related means."

5102. The Chief of Naval Operations will cooperate in the preparation of joint plans for the Exertion of Financial and Economic Pressure.

[82] CHAPTER II. JOINT PLANS COVERING INTELLIGENCE SERVICE, CENSORSHIP AND PUBLICITY, AND MOBILIZATION OF RESOURCES

5201. The following is quoted from Appendix I, paragraph 61:

*"Cooperation of Other Departments of the Government*

"The War and Navy Departments, jointly with other departments of the Government, shall have prepared plans or programs covering the following subjects:

- a. Intelligence Service;
- b. Censorship and Publicity;
- c. Mobilization of Resources."

5202. a. The Chief of Naval Operations (Director of Naval Intelligence) will act for the Navy Department in the preparation of joint plans or programs for the Intelligence Service.

b. The Secretary of the Navy (Director of the Office of Public Relations) and the Chief of Naval Operations (Director of Naval Intelligence) will jointly act for the Navy Department in the preparation of joint plans or programs for Censorship and Publicity.

c. The Under Secretary of the Navy, acting through the Navy Members of the Joint Army and Navy Munitions Board, will represent the Navy Department in the preparation of joint plans or programs for the Mobilization of Resources.

[1] APPENDIX I. TO WPL-46, THE JOINT ARMY AND NAVY BASIC WAR PLAN—RAINBOW No. 5

[2] SECTION I. DIRECTIVE

1. The directive for Joint Army and Navy Basic War Plan—RAINBOW No. 5, contained in J. P. 325 (Serial 642-1), Section 1, paragraph 3e, approved October 14, 1939, and revised April 10, 1940, is superseded by the directive contained in paragraph 2 of this paper.

2. The Joint Board directs The Joint Planning Committee to submit Joint Army and Navy Basic War Plan—RAINBOW No. 5 based upon the Report of

United States-British Staff Conversations, dated March 27, 1941 (ABC-1), and upon Joint United States-Canada War Plan No. 2 (ABC-22), now in process of drafting.

[3]

#### SECTION II. DEFINITIONS

3. The term "Associated Powers" means the United States and the British Commonwealth, and, when appropriate, includes the Associates and Allies of either Power.

4. The term "Axis Powers" means Germany and Italy, and, if Japan and other Powers are at war against the Associated Powers, is to be understood as including all such Powers.

5. "Malaysia" includes the Philippines, the Malay States, the Straits Settlements, Borneo, and the Netherlands East Indies. The "Malay Barrier" includes the Malay Peninsula, Sumatra, Java, and the chain of islands extending in an easterly direction from Java to Bathurst Island, Australia.

6. The term "United States naval forces" as used herein will be construed as including United States naval aviation. The term "air forces" will be construed as including only the United States Army Air Corps and the Royal Air Force.

[4]

#### SECTION III. GENERAL ASSUMPTIONS

7. That the Associated Powers, comprising initially the United States, the British Commonwealth (less Eire), the Netherlands East Indies, Greece, Yugoslavia, the Governments in Exile, China, and the "Free French" are at war against the Axis Powers, comprising either:

a. Germany, Italy, Roumania, Hungary, Bulgaria, or

b. Germany, Italy, Japan, Roumania, Hungary, Bulgaria, and Thailand.

8. That the Associated Powers will conduct the war in accord with ABC-1 and ABC-22.

9. That even if Japan and Thailand are not initially in the war, the possibility of their intervention must be taken into account.

10. That United States forces which might base in the Far East Area will be able to fill logistic requirements, other than personnel, ammunition, and technical materials, from sources in that general region.

11. That Latin American Republics will take measures to control subversive elements, but will remain in a nonbelligerent status unless subjected to direct attack; in general, the territorial waters and land bases of these Republics will be available for use by United States forces for purposes of Hemisphere Defense.

[5]

#### SECTION IV. CONCEPT OF THE WAR

12. The Concept of the War as set forth in paragraphs 10, 11, 12, and 13 of ABC-1 is quoted below, except that paragraph 13 (h) is quoted as modified by the Chief of Naval Operations' and the Chief of Staff's secret letter Serial 039412 of April 5, 1941.

"10. The broad strategic objectives of the Associated Powers will be the defeat of Germany and her Allies.

"11. The principles of United States and British national strategic defense policies of which the Military forces of the Associated Powers must take account are:

(a) *United States*

The paramount territorial interests of the United States are in the Western Hemisphere. The United States must, in all eventualities, maintain such dispositions as will prevent the extension in the Western Hemisphere of European or Asiatic political or Military power.

(b) *British Commonwealth*

The security of the United Kingdom must be maintained in all circumstances. Similarly, the United Kingdom, the Dominions, and India must maintain dispositions which, in all eventualities, will provide for the ultimate security of the British Commonwealth of Nations. A cardinal feature of British strategic policy is the retention of a position in the Far East such as will ensure the cohesion and security of the British Commonwealth and the maintenance of its war effort.

(c) *Sea Communications*

The security of the sea communications of the Associated Powers is essential to the continuance of their war effort.

[6] "12. The strategic concept includes the following as the principal offensive policies against the Axis Powers:

(a) Application of economic pressure by naval, land, and air forces and all other means, including the control of commodities at their source by diplomatic and financial measures.

(b) A sustained air offensive against German Military power, supplemented by air offensives against other regions under enemy control which contribute to that power.

(c) The early elimination of Italy as an active partner in the Axis.

(d) The employment of the air, land, and naval forces of the Associated Powers, at every opportunity, in raids and minor offensives against Axis Military strength.

(e) The support of neutrals, and of Allies of the United Kingdom, Associates of the United States, and populations in Axis-occupied territory in resistance to the Axis Powers.

(f) The building up of the necessary forces for an eventual offensive against Germany.

(g) The capture of positions from which to launch the eventual offensive.

"13. Plans for the Military operations of the Associated Powers will likewise be governed by the following:

[7] (a) Since Germany is the predominant member of the Axis Powers, the Atlantic and European area is considered to be the decisive theatre. The principal United States Military effort will be exerted in that theatre, and operations of United States forces in other theatres will be conducted in such a manner as to facilitate that effort.

(b) Owing to the threat to the sea communications of the United Kingdom, the principal task of the United States naval forces in the Atlantic will be the protection of shipping of the Associated Powers, the center of gravity of the United States effort being concentrated in the Northwestern approaches to the United Kingdom. Under this conception, the United States naval effort in the Mediterranean will initially be considered of secondary importance.

(c) It will be of great importance to maintain the present British and Allied Military position in and near the Mediterranean basins, and to prevent the spread of Axis control in North Africa.

(d) Even if Japan were not initially to enter the war on the side of the Axis Powers, it would still be necessary for the Associated Powers to deploy their forces in a manner to guard against Japanese intervention. If Japan does enter the war, the Military strategy in the Far East will be defensive. The United States does not intend to add to its present Military strength in the Far East but will employ the United States Pacific Fleet offensively in the manner best calculated to weaken Japanese economic power, and to support the defense of the Malay barrier by diverting Japanese strength away from Malaysia. The United States intends so to augment its forces in the Atlantic and Mediterranean areas that the British Commonwealth will be in a position to release the necessary forces for the Far East.

[8] (e) The details of the deployment of the forces of the Associated Powers at any one time will be decided with regard to the Military situation in all theatres.

(f) The principal defensive roles of the land forces of the Associated Powers will be to hold the British Isles against invasion; to defend the Western Hemisphere; and to protect outlying Military base areas and islands of strategic importance against land, air, or sea-borne attack.

(g) United States land forces will support United States naval and air forces maintaining the security of the Western Hemisphere or operating in the areas bordering on the Atlantic. Subject to the availability of trained and equipped organizations, United States land forces will, as a general rule, provide ground and anti-aircraft defenses of naval and air bases used primarily by United States forces.

(h) Subject to the requirements of the security of the United States, the British Isles and their sea communications, the air policy of the Associated Powers will require that associated effort in the air will be directed toward providing the necessary naval and land air components for the accomplishment of naval tasks, for the support of land operations, and for independent air operations against the sources of Axis military power.

(i) United States Army Air Forces will support the United States land and naval forces maintaining the security of the Western Hemisphere or operating in the areas bordering on the Atlantic. Subject to the availability of trained and equipped organizations, they will undertake the air defense of those general areas in which naval bases used primarily by United States forces are located, and subsequently, [9] of such other areas as may be agreed upon. United States Army air bombardment units will operate offensively in collaboration with the Royal Air Force, primarily against German Military power at its source.

(j) United States forces will, so far as practicable, draw their logistic support (supply and maintenance) from sources outside the British Isles. Subject to this principle, however, the military bases, repair facilities, and supplies of either nation will be at the disposal of the Military forces of the other as required for the successful prosecution of the war."

13. In addition, plans for the Military operations of United States forces will be governed by the following:

(a) Under this War Plan the scale of hostile attack to be expected within the Western Atlantic Area is limited to raids by air forces and naval surface and submarine forces.

(b) The building up of large land and air forces for major offensive operations against the Axis Powers will be the primary immediate effort of the United States Army. The initial tasks of United States land and air forces will be limited to such operations as will not materially delay this effort.

[10] SECTION V. TERMS OF AGREEMENT WITH THE UNITED KINGDOM  
RELATING TO WAR OPERATIONS

14. Agreements have been reached between the United States and the United Kingdom relating to war operations.

In this Section certain of these agreements are set forth (See ABC-1 and ABC-22).

15. *Principles of Command of the Forces of the United States and the United Kingdom.* a. As a general rule, the forces of the United States and those of the United Kingdom should operate under their own commanders in the areas of responsibility of their own Power.

b. The assignment of an area to one Power shall not be construed as restricting the forces of the other Power from temporarily extending appropriate operations into that area, as may be required by particular circumstances.

c. The forces of either Power which are employed normally under the strategic direction of an established commander of the other, will, with due regard to their type, be employed as task (organized) forces charged with the execution of specific strategic tasks. These task (organized) forces will operate under their own commanders and will not be distributed into small bodies attached to the forces of the other Power. Only exceptional Military circumstances will justify the temporary suspension of the normal strategic tasks.

d. When units of both Powers cooperate tactically, command will be exercised by that officer of either Power who is the senior in rank, or if of equal rank, of time in grade.

e. United States naval aviation forces employed in British Areas will operate under United States naval command, and will remain an integral part of United States naval task forces. Arrangements will be made for coordination of their operations with those of the appropriate Coastal Command groups.

f. Special command relationships pertaining to particular areas are set forth in paragraph 16.

[11] 16. *Responsibility for the Strategic Direction of Military Forces.* a. *United States Areas.* Upon entering the war, the United States will assume responsibility for the strategic direction of its own and British Military forces in the following areas:

(1) The Atlantic Ocean Area, together with islands and contiguous continental land areas, north of Latitude 25° South and west of Longitude 30° West, except:

(a) The area between Latitude 20° North and Latitude 43° North which lies east of Longitude 40° West.

(b) The waters and territories in which Canada assumes responsibility for the strategic direction of Military forces, as may be defined in United States-Canada Joint Agreements.

(2) The Pacific Ocean Area, together with islands and contiguous continental land areas, as follows:

(a) North of Latitude 30° North and west of Longitude 140° East;

(b) North of the equator and east of Longitude 140° East;

(c) South of the equator and east of Longitude 180° to the South American coast and Longitude 74° West; except for the waters and territories in which Canada assumes responsibility for the strategic direction of Military forces, as may be defined in United States-Canada Joint Agreements. The United States will afford support to British naval forces in the regions south of the equator, as far west as Longitude 155° East.

[12] *b. The Far East Area* Coordination in the planning and execution of operations by Military forces of the United States, British Commonwealth, and Netherlands East Indies in the Far East Area will, subject to the approval of the Dutch authorities, be effected as follows:

(1) The commanders of the Military forces of the Associated Powers will collaborate in the formulation of strategic plans for operations in that area.

(2) The defense of the territories of the Associated Powers will be the responsibility of the respective commanders of the Military forces concerned. These commanders will make such arrangements for mutual support as may be practicable and appropriate.

(3) The responsibility for the strategic direction of the naval forces of the Associated Powers, except of naval forces engaged in supporting the defense of the Philippines will be assumed by the British naval Commander-in-Chief, China. The Commander-in-Chief, United States Asiatic Fleet, will be responsible for the direction of naval forces engaged in supporting the defense of the Philippines.

(4) For the above purposes, the Far East Area is defined as the area from the coast of China in Latitude 30° North, east to Longitude 140° East, thence south to the equator, thence east to Longitude 141° East, thence south to the boundary of Dutch New Guinea on the south coast, thence westward to Latitude 11° South, Longitude 120° East, thence south to Latitude 13° South, thence west to Longitude 92° East, thence north to Latitude 20° North, thence to the boundary between India and Burma.

[13] *c. Joint Land Offensives.* Responsibility for the strategic direction of the Military forces engaged in joint offensive action on land will be in accordance with joint agreements to be entered upon at the proper time. In these circumstances unity of command in the theatre of operations should be established.

*d. British Commonwealth Areas.* The British Commonwealth will assume responsibility for the strategic direction of associated Military forces in all other areas not described in sub-paragraphs *a*, *b*, and *c* next above. These areas as initially delimited are:

(1) *The AUSTRALIA AND NEW ZEALAND AREA* comprises the Australian and New Zealand British Naval Stations west of Longitude 180° and south of the equator. The British Naval Commander-in-Chief, China, is responsible for the strategic direction of the naval forces of the Associated Powers operating in the Australian and New Zealand Area.

(2) *The UNITED KINGDOM AND BRITISH HOME WATERS AREA* comprises the waters to the eastward of Longitude 30° West and to the Northward of Latitude 43° North and the land areas bordering on, and the islands in, the above ocean area. Administrative command of all United States land and air forces stationed in the British Isles and Iceland will be exercised by the Commander, United States Army Forces in Great Britain. This officer will have authority to arrange details concerning the organization and location of task forces (organization of units in appropriate formation) and operational control with the War Office and the Air Ministry.

(3) *The NORTH ATLANTIC AREA.*

(a) Northern boundary, Latitude 43° North,

(b) Southern boundary, Latitude 20° North,

[14] (c) Western boundary, Longitude 40° West,

(d) Eastern boundary, the coasts of Spain, Portugal, and Africa, and Longitude 5° West, together with the islands and land areas contiguous thereto.

(e) Strategic direction of a United States naval force basing on Gibraltar will be exercised by the United Kingdom Chief of Naval Staff except when he specifically delegates it for a stated period as follows:

To the British Naval Commander-in-Chief, Mediterranean, for operations in the Western Mediterranean.

To the Commander-in-Chief, United States Atlantic Fleet, for operations in the Central Atlantic.

(f) The Commander of United States naval forces basing in Gibraltar will be responsible for administrative matters to the Commander-in-Chief, United States Atlantic Fleet.

(4) *The SOUTH ATLANTIC AREA* comprises:

(a) The area between Latitudes 20° North and 25° South, bounded on the west by Longitude 30° West and on the east by the African Coast.

(b) The South Atlantic Ocean, south of Latitude 25° South, between Longitudes 74° West and 33° East, together with the islands and land areas contiguous thereto.

(5) *The MEDITERRANEAN AND MIDDLE EAST AREAS* comprise the Mediterranean Sea east of Longitude 5° West, the Suez Canal, and the islands and countries adjoining them, including the present theatres of operations in North and East Africa. The Black Sea, Iraq, and Aden are also included in this area.

[15] (6) *The INDIA AND EAST INDIES AREA* comprises:

(a) India.

(b) Indian Ocean, including the Red Sea and Persian Gulf, bounded on the West by the coasts of Africa and Longitude 33° East, and on the East by the western boundaries of the Far East Area and the Australian Station.

(c) The islands in the above ocean area.

17. *Collaboration in Planning.* a. The High Commands of the United States and United Kingdom will collaborate continuously in the formulation and execution of strategical policies and plans which shall govern the conduct of the war. They and their respective commanders in the field, as may be appropriate, will similarly collaborate in the planning and execution of such operations as may be undertaken jointly by United States and British forces. This arrangement will apply also to such plans and operations as may be undertaken separately, the extent of collaboration required in each particular plan or operation being agreed mutually when the general policy has been decided.

b. To effect the collaboration outlined in the preceding sub-paragraph, and to ensure the coordination of administrative action and command between the United States and British Military Services, the United States and United Kingdom will exchange Military Missions. These Missions will comprise one senior officer of each of the Military Services, with their appropriate staffs. The functions of these Missions will be as follows:

(1) To represent jointly, as a corporate body, their own Chiefs of Staff (the Chief of Naval Operations being considered as such), vis-a-vis the group of Chiefs of Staff of the Power to which they are accredited, for the purpose of collaboration in the [16] formulation of Military policies and plans governing the conduct of the war in areas in which that Power assumes responsibility for strategic direction.

(2) In their individual capacity to represent their own individual Military Services vis-a-vis the appropriate Military Services of the Power to which they are accredited, in matters of mutual concern in the areas in which that Power assumes responsibility for strategic direction.

c. The personnel of either Mission shall not become members of any regularly constituted body of the government of the Power to which they are accredited. Their staffs will, however, work in direct cooperation with the appropriate branches and committees of the staff of the Power to which they are accredited.

d. The United States, as may be necessary, will exchange Liaison officers with Canada, Australia, and New Zealand for effectuating direct cooperation between United States and Dominion forces.

e. To promote adequate collaboration and prompt decision, a military transportation service will be established between England and the United States. Ships and airplanes will be assigned to this service by the United States and the United Kingdom as may be found necessary.

f. Existing Military intelligence organizations of the two powers will operate as independent intelligence agencies, but will maintain close liaison with each other in order to ensure the full and prompt exchange of pertinent information

concerning war operations. Intelligence liaison will be established not only through the Military Missions but also between all echelons of command in the field with respect to matters which affect their operations.

[17]

#### *Communications*

18. The United States and the United Kingdom will establish in London the "Associated Communication Committee" which is to be constituted as follows:

a. A representative of the United States Army and a representative of the United States Navy, who are members of the staff of the United States Military Mission in London.

b. Representatives of the British Combined Signals Board in the United Kingdom.

19. The Associated Communications Committee will be the supreme controlling body with relation to intercommunications by radio (W/T), wire, visual, and sound affecting the armed services and the merchant marines of the two nations.

#### *Control and Protection of Shipping*

20. The British authorities will issue directions for the control and protection of shipping of the Associated Powers within the areas in which British authorities assume responsibility for the strategic direction of Military forces. United States authorities will issue directions for the control and protection of shipping of the Associated Powers within the areas in which the United States authorities assume responsibility for the strategic direction of Military forces.

21. United States and British shipping scheduled to pass from an area assigned to one Power into an area assigned to the other Power, will be controlled and protected by agreement between the respective naval authorities. The British Admiralty is the supreme authority in the control of shipping in the North Atlantic bound to and from the United Kingdom.

22. The British Naval Control Service Organization will continue in the exercise of its present functions and methods in all regions pending establishment of effective United States Agencies in United States areas. The Chief of Naval Operations, immediately on entry of the United States into the war, will arrange for the control and protection of shipping of United [18] States registry or charter within United States Areas. Requests from the British Naval Control Service Organization for protection by United States forces within United States areas will be made to the Chief of Naval Operations.

23. *Special Relationship between Canada and the United States.* Joint Agreements are being drawn up by the Permanent Joint Board on Defense, United States-Canada, regarding the cooperation of the Armed forces of the United States and Canada in the areas in which the United States has strategic direction. When completed, the substance of these agreements, (Short Title ABC-22), will be incorporated in this plan.

[19]

#### SECTION VI. GENERAL TASKS

24. *Joint General Task.* In cooperation with the other Associated Powers, defeat the Axis Powers, and guard United States national interests, by:

a. Reducing Axis economic power to wage war, by blockade, raids, and a sustained air offensive;

b. Destroying Axis military power by raids and an eventual land, naval, and air offensive;

c. Protecting the sea communications of the Associated Powers;

d. Preventing the extension in the Western Hemisphere of European or Asiatic military power; and by

e. Protecting outlying Military base areas and islands of strategic importance against land, air, or sea-borne attack.

[20]

#### SECTION VII. TASKS

25. The tasks of the Army and Navy, as set forth in this section, are those listed in, or derived from, the tasks of ABC-1, Annex III.

26. These tasks as stated do not include the assistance which may be furnished by the Armed Forces of Latin-American Republics. Such assistance may reduce the total of forces required but will not change the character of the operations.



*The Western Atlantic Area*

27. *Definition.* The Atlantic Ocean Area, together with Islands and contiguous continental land areas north of latitude 25° South, and west of Longitude 30° West except the area between Latitudes 20° North and 43° North which lies east of Longitude 40° West.

28. *Army Tasks.* a. In conjunction with Naval forces, protect the territory of the Associated Powers and prevent the extension of Axis military power into the Western Hemisphere by destroying enemy expeditionary forces and by denying use to the enemy of existing or potential air, land, and Naval bases in that Hemisphere.

b. In conjunction with naval forces, support Latin American Republics against invasion or political domination by the Axis Powers by defeating or expelling enemy forces or forces supporting the enemy in the Western Hemisphere.

c. Support the naval forces in the protection of the sea communications of the Associated Powers and in the destruction of Axis sea communications by offensive action against enemy forces or commerce located within tactical operating radius of occupied air bases.

d. Relieve British forces in Curacao and Aruba.

e. Provide defensive garrisons for Newfoundland, Bermuda, Jamaica, Trinidad, St. Lucia, Antigua, and British Guiana.

[21] f. In cooperation with the Navy defend Coastal Frontiers, Defense Command Areas and specified localities in categories of defense prescribed in paragraph 47.

g. Build up forces in the United States for eventual offensive action against Germany.

h. Prepare to relieve Marine Forces in the Azores and Cape Verde Islands if such garrisons have been established.

29. *Army Forces.* a. 1941 Troop basis plus all augmentations, less detachments.

b. Local defense forces.

c. One reinforced Corps of three divisions, including appropriate Air forces maintained in the United States as a reserve for the support of overseas garrisons and Latin American Republics.

Note: For overseas movements see paragraph 51.

30. *Navy Tasks.* a. Protect the sea communications of the Associated Powers by escorting, covering, and patrolling, and by destroying enemy raiding forces.

b. Destroy Axis sea communications by capturing or destroying vessels trading directly or indirectly with the enemy.

c. Protect the territory of the Associated Powers and prevent the extension of enemy military power into the Western Hemisphere, by destroying hostile expeditionary forces and by supporting land and air forces in denying the enemy the use of land positions in that hemisphere.

d. In cooperation with the Army defend Coastal Frontiers and specified localities in categories of defense prescribed in paragraph 47.

[22] e. Protect and route shipping in the Coastal Zones.

f. Prepare to occupy the Azores and the Cape Verde Islands.

31. *Navy Forces.* a. The Atlantic Fleet, less detachments.

b. Naval Coastal Frontier Forces.

*The Pacific Area.*

32. *Definition.* The Pacific Ocean Area, together with islands and contiguous continental land areas, is as follows:

a. North of Latitude 30° North and west of Longitude 140° East.

b. North of the equator and east of Longitude 140° East.

c. South of the equator and east of Longitude 180° to South American coast and Longitude 74° West.

33. *Army Tasks.* a. In conjunction with naval forces, protect the territory of the Associated Powers and prevent the extension of Axis military power into the Western Hemisphere by destroying enemy expeditionary forces and by denying use to the enemy of existing or potential air, land, and naval bases in that Hemisphere.

b. In conjunction with naval forces, support Latin American Republics against invasion or political domination by the Axis Powers by defeating or expelling enemy forces or forces supporting the enemy in the Western Hemisphere.

[23] c. Support the naval forces in the protection of the sea communications of the Associated Powers and in the destruction of Axis sea communications by offensive action against enemy forces or commerce located within tactical operating radius of occupied air bases.

d. In cooperation with the Navy defend Coastal Frontiers, Defense Command Areas and specified localities in categories of defense prescribed in paragraph 47.

34. *Army Forces.* a. Local defense forces.

b. One reinforced Division, including appropriate air forces maintained in the United States as a reserve for the support of Latin American Republics on the West Coast of South America.

Note: For overseas movements see paragraph 51.

35. *Navy Tasks.* a. Support the forces of the Associated Powers in the Far East by diverting enemy strength away from the Malay Barrier through the denial and capture of positions in the Marshalls, and through raids on enemy sea communications and positions.

b. Destroy Axis sea communications by capturing or destroying vessels trading directly or indirectly with the enemy.

c. Protect the sea communications of the Associated Powers within the Pacific Area.

d. Support British naval forces in the area south of the equator, as far west as Longitude 155° East.

e. Protect the territory of the Associated Powers within the Pacific area, and prevent the extension of enemy military power into the Western Hemisphere, by destroying [24] hostile expeditions and by supporting land and air forces in denying the enemy the use of land positions in that Hemisphere.

f. Prepare to capture and establish control over the Caroline and Marshall Island area.

g. Defend Midway, Johnston, Palmyra, Samoa and Guam.

h. In cooperation with the Army defend Coastal Frontiers and specified localities in categories of defense prescribed in paragraph 47.

i. Route shipping in the Pacific Area.

36. *Navy Forces.* a. The Pacific Fleet, less detachments.

b. Naval Coastal Frontier Forces.

#### *The Far East Area*

37. *Army Tasks.* In cooperation with the Navy defend the Philippine Coastal Frontier—Category of Defense "E".

38. *Army Forces.* Local Defense Forces, augmented only by such personnel and facilities as are available locally.

39. *Navy Tasks.* a. Raid Japanese sea communications and destroy Axis forces.

b. Support the land and air forces in the defense of the territories of the Associated Powers. (The responsibility of the Commander-in-Chief, United States Asiatic Fleet, for supporting the defense of the Philippines remains so long as that defense continues.)

[25] c. Destroy Axis sea communications by capturing or destroying vessels trading directly or indirectly with the enemy.

d. Protect sea communications of the Associated Powers by escorting, covering, and patrolling, and by destroying enemy raiding forces.

e. In cooperation with the Army defend the Philippine Coastal Frontier—Category of Defense "E".

40. *Navy Forces.* a. The Asiatic Fleet.

#### *United Kingdom and British Home Waters*

41. *Definition.* a. Waters to the eastward of Longitude 30° West and to the Northward of Latitude 43° North.

b. Land areas bordering on, and islands in the above ocean area.

42. *Army Tasks.* a. In cooperation with the Royal Air Force conduct offensive air operations primarily against objectives in Germany, and against attempted invasion or blockade as demanded by the situation.

b. Provide for the ground defense of occupied bases and air defense of those general areas in the British Isles in which bases used primarily by United States Naval forces are located, and subsequently of such other areas as may be agreed upon.

c. Provide a token force for the defense of the British Isles.

[26] d. Relieve, as soon as practicable, the British garrison in Iceland and in cooperation with the Navy defend that island—Category of Defense "D".

43. *Army Forces.* Subject to the availability of trained and equipped forces:

a. *British Isles.*

3 Heavy Bombardment Groups

2 Medium Bombardment Groups

3 Pursuit Groups

Approximately 10 Anti-aircraft Regiments

Approximately 10 Infantry Battalions (Bases)

One reinforced Regiment (Token Force)

b. *Iceland.*

One reinforced Division.

Note: For overseas movements see paragraphs 51.

44. *Navy Tasks and Forces.* a. *Northwest Escort Force.*

*Task.* Escort Convoys in the Northwest Approaches, acting under the strategic direction of the British Commander-in-Chief of the Western Approaches.

b. *Submarine Force Three.*

*Task.* Raid enemy shipping in an area to be designated later, acting under the strategic direction of the British Vice Admiral, Submarines.

#### North Atlantic Area

[27] 45. *Definition.* The North Atlantic Area is defined as follows:

a. Northern boundary, Latitude 43° North.

b. Southern boundary, Latitude 20° North.

c. Western boundary, Longitude 40° West.

d. Eastern boundary, the Coasts of Spain, Portugal, and Africa, and Longitude 5° West.

46. *Navy Tasks and Forces.* a. *Submarine Force Two.*

*Task.* Raid enemy shipping in the Mediterranean under the strategic direction of the Commander-in-Chief, Mediterranean, acting through the Flag Officer Commanding North Atlantic.

*Note:* As soon as the situation in the Pacific permits their transfer to the Atlantic, United States naval forces may be assigned the following tasks in this area, unless the strategic situation in the Atlantic at that time dictates a different decision.

b. Protect the sea communications of the Associated Powers by escorting, covering, and patrolling, and by destroying enemy raiding forces.

c. Destroy Axis sea communications by capturing or destroying vessels trading directly or indirectly with the enemy.

d. Raid Axis sea communications, territories and forces in the Western Mediterranean.

[28] 47. *Categories of Defense.* The Categories of Defense listed in this paragraph apply to all Defense Command Areas, Coastal Frontiers, Naval Coastal Frontiers and isolated positions.

Northeast Defense Command and North Atlantic Coastal Frontier, except United States Bases in Newfoundland.....	Category B
United States Bases in Newfoundland.....	Category C
Southern Defense Command and Southern Coastal Frontier.....	Category B
Caribbean Defense Command and Panama and Caribbean Coastal Frontiers.....	Category D
Western Defense Command and Pacific Coastal Frontier, except Alaska.....	Category B
Alaska, Less Unalaska.....	Category C
Unalaska.....	Category D
Hawaiian Coastal Frontier.....	Category D
Philippine Coastal Frontier.....	Category E
<i>Note:</i> No Army reinforcements will be sent to the Philippine Coastal Frontier.	
Bermuda.....	Category C
Iceland.....	Category D
Midway, Johnston, Palmyra.....	Category D
Guam.....	Category F

48. *Joint Plans to be prepared.* The provisions of paragraph 42 e. "Joint Action of the Army and the Navy" in conflict with the provisions of this paragraph will be disregarded.

a. Joint Coastal Frontier Defense Plans.

b. Joint Sector Defense Plans, except that the Sector Defense Plans for Newfoundland, Nova Scotia, and the British Columbia Sectors will be made as required by ABC-22.

c. Joint Subsector Defense Plans and Defensive Coastal Area Plans as directed by the Joint Coastal Frontier Defense Plans.

d. Joint Embarkation Plans for the embarkation of the Army units, specified in paragraph 51 a, to be prepared by the Commanding Generals, Army Ports of Embarkation and the Commandants of the Naval Districts in which these ports are located.

[29]

SECTION VIII. OVERSEAS MOVEMENTS

49. *Army Tasks.* Move troops to ports of embarkation as required.

50. *Navy Tasks.* Provide sea transportation for the initial movement and the continued support of Army and Navy forces overseas. Man and operate the Army Transport Service.

51. *Overseas Movements of Army Troops.* The plan in this paragraph 51 is based on the assumption that M-day will occur prior to September 1, 1941. Movements on the dates given in certain sub-paragraphs will not be made unless M-day has occurred before such date.

a. The Navy will assemble material and make specific plans for the troop movements specified in this subparagraph a.

(1) *NEW YORK to ICELAND*, 26,500 troops, 73 aircraft.

First contingent—10,500 troops embark on 24-M.

Second contingent—16,000 troops embark on 57-M.

These two movements will be made by British transports if arrangements can be effected. If not, this plan contemplates use of United States transports.

(2) *NEW YORK to ENGLAND*, 7,000 troops, embark on 10-M.

(3) *NEW YORK to IRELAND*, 8,000 troops, embark on 10-M.

These two forces, sub-paragraphs (2) and (3), will move in one convoy. The Northwest Escort Force will move with this convoy.

(4) *NEW YORK to BERMUDA*, 3,700 troops, 41 aircraft, embark on 18-M. Eight aircraft will fly to destination, 33 aircraft will be transported. Part of this force may be moved before M-day.

[30] (5) *NEW YORK to ENGLAND*, 8,000 troops, 73 aircraft, embark September 1, 1941. 16 aircraft will be transported, 57 aircraft will fly to destination.

(6) *NEW YORK to IRELAND*, 7,000 troops, 105 aircraft, embark October 1, 1941. Aircraft will be transported.

(7) *NEW YORK to ENGLAND*, 6,600 troops, 60 aircraft, embark October 1, 1941. 57 aircraft will fly to destination, three aircraft will be transported.

These two forces, sub-paragraphs (6) and (7), will move in one convoy.

(8) *NEW YORK to IRELAND*, 11,600 troops, 200 aircraft embark November 1, 1941. Aircraft will be transported.

(9) *NEW YORK to ENGLAND*, 7,000 troops, 38 aircraft, embark January 1, 1942. 35 aircraft will fly to destination, 3 aircraft will be transported.

(10) *NEW YORK to ENGLAND*, 13,000 troops, 76 aircraft, embark on February 1, 1942. 70 Aircraft will fly to destination, six aircraft will be transported.

(11) *GALVESTON to CURACAO-ARUBA*, 6,000 troops, embark on 15-M.

(12) *GALVESTON to TRINIDAD*, 12,500 troops embark on 15-M.

(13) *GALVESTON to PANAMA*, 6,400 troops, of which 3,300 embark on 20-M. The remainder will be transported progressively as ships become available. Part of this force may be moved before M-day.

(14) *GALVESTON to PUERTO RICO*, 12,600 troops, of which 4,000 embark 20-M. The remainder will be transported progressively as ships become available. Part of this force may be moved before M-day.

[31] (15) *SEATTLE to ALASKA*, 23,000 troops, of which 1,100 embark on 10-M. The remainder will be transported progressively as ships become available. Part or all of these troops may be moved before M-day.

(16) *SAN FRANCISCO to HAWAII*, 23,000 troops, of which 15,000 embark on 10-M. The remainder will be transported progressively as ships become available. Part of these troops may be moved before M-day.

b. The movements of the troops in this sub-paragraph b are contingent upon unpredictable eventualities. The Navy will not prepare material nor make specific plans for these movements in advance of M-day.

(1) *GALVESTON to WEST COAST OF SOUTH AMERICA*, 24,000 troops, 80 aircraft will prepare to embark at Galveston on 45-M. If the Panama Canal is not open, these troops will embark at San Francisco.

(2) *NEW YORK and GALVESTON to EAST COAST of LATIN AMERICA*, 86,000 troops, 56 aircraft, will prepare to embark 90-M. The 56 aircraft may be flown to destination.

(3) *NEW YORK and GALVESTON to TRANSATLANTIC DESTINATIONS*, 83,000 troops will be prepared to embark 20-M; desired minimum rate of movement 60,000 troops per month.

(4) *EXPEDITIONARY FORCE*, One Army, two Corps, ten Divisions, will be prepared to embark at East Coast and Gulf ports beginning 180-M.

#### SECTION IX. SUPPORTING MEASURES

[32] 52. *Theaters of Operation.* The designation and delimitation of additional land and sea theaters of operations to meet the developments of the situation covered by this Plan will be announced when the Plan is put into effect.

53. *Time of Execution.* M-Day is the time origin for the execution of this Plan. M-Day may precede a declaration of war or the occurrence of hostile acts. As a precautionary measure, the War and Navy Departments may initiate or put into effect certain features of this Plan prior to M-Day.

54. *Personnel.* The Army and Navy requirements for increased personnel will be met by the operation of the Selective Training and Service Act of 1940.

55. *Ports of Embarkation.* The Army will establish, when required, additional ports of embarkation at:

New Orleans, La. .  
Galveston, Texas  
Boston, Mass.  
Charleston, S. C.

56. *Material.* The United States will continue to furnish material aid to the United Kingdom, but for the use of itself and its other associates, will retain material in such quantities as to provide for security and best to effectuate United States-British joint plans for defeating Germany and her Allies. Subject to the foregoing, the material to fill the requirements of the Army and Navy under this plan will come from existing reserves of the respective services and from production sources developed under Army and Navy Procurement Plans. In all cases where surveys indicate that reserves and existing production will not meet requirements, the War and Navy Departments will [33] each be responsible for providing the additional production necessary to meet deficiencies of their respective services, except in cases where one Department furnishes the other with the material involved.

57. *Supply Levels.* Supply levels will be maintained for forces operating in the areas or positions as indicated by the tentative figures given in this paragraph. Final figures pertaining to building up initial levels will be established after a detailed joint examination of the problems involved.

a. *Supplies other than ammunition.*

- |  |   |
|--|---|
| (1) Iceland.....                             | 30 days, build up to 60 days within six months.   |
| (2) British Isles.....                       | Except pursuit aircraft, 30 days, build up to 60 days within six months.<br><i>Pursuit Aircraft</i><br>60 days, build up to 120 days within six months. |
| (3) Panama and Caribbean Coastal Frontiers.  | 30 days, build up to 45 days within six months.   |
| (4) Newfoundland and Alaska (Less Unalaska). | 30 days, build up to 60 days within six months.   |
| (5) Unalaska.....                            | 60 days, build up to 90 days within six months.   |
| (6) Bermuda.....                             | Maintain at 30 days.  |
| (7) Hawaii.....                              | Maintain at 70 days.  |
| [34] (8) Philippines.....                    | As the situation may permit, the desirable standard being the maintenance of stocks at 90 days' supply.   |

b. *Ammunition for places listed under 57 a:*

- (1) For all troops included in a project; complete the project and then maintain at that level.
- (2) For ground troops not included in a project; establish and then maintain five times the mobilization allowance.
- (3) For Air Corps troops not included in a project (less pursuit aviation in British Isles): Ammunition for 30 days' operation; build up to 60 days within six months.
- (4) Pursuit aviation in the British Isles: Ammunition for 60 days' operations; build up to 120 days within six months.

58. *Industrial Planning.* For Industrial planning purposes, and with due regard to decisions that may be made with respect to supplies to other Associated Powers, the industrial capacity of the nation will be allocated in conformity with the following general policy:

a. The Army and the Navy shall each continue to plan for maximum industrial needs.

b. When the available capacity of the nation to produce does not meet the requirements of the Army, Navy, and Associated Powers, such priorities as necessary to support the strategic situation will be established by The Joint Board and administered by the Army and Navy Munitions Board, in keeping with national policy.

[35] c. When plans contemplate that one Service procure for and deliver material to the other Service, the manufacturing facilities needed to produce such material shall be taken into consideration when a division of capacity is made. Under this provision, all ship-building plants will be allocated to the Navy, and the Navy will furnish the Army with such overseas transportation as the Army may require, consistent with national strategic needs as a whole.

59. *Supporting Legislative Program.* The War and Navy Departments jointly shall have prepared by appropriate agencies, such drafts of legislation, Presidential Proclamations, and Executive Orders affecting both the Army and the Navy as are deemed necessary for the execution of this Joint Plan.

60. *Exertion of Financial and Economic Pressure.* The Administrator of Export Control, jointly with the War and Navy Departments is to prepare plans and programs for the application of economic pressure such as may be obtained through control of commodities, transportation, communication, financial relationships and all related means.

61. *Cooperation with Other Departments of the Government.* The War and Navy Departments, jointly with other departments or agencies of the Government, shall have prepared plans or programs covering the following subjects:

- a. Intelligence Service.
- b. Censorship and Publicity.
- c. Mobilization of Resources.

SECTION X. DIPLOMATIC MEASURES

[36] 62. With respect to Latin American Republics, confirmation should be sought that each State will make available to the armed forces of the United

States, immediately as the necessity arises in carrying out operations for Hemisphere Defense, or in behalf of any State, the use of its available sea, air, and land bases.

63. A special agreement should be sought with Brazil to the effect that the defense of the Western Hemisphere and the protection of its sea communications may require use by the United States of Brazilian sea, air and land bases and commercial port facilities for the projection of naval, land or air operations to the African continent. The most important areas in this respect are the coastal zones and territorial waters extending from Belem to Bahia and including the Island of Fernando do Noronha.

64. Diplomatic and economic pressure should be directed towards securing the acquiescence of the powers concerned for the protective occupation when necessary of Eire, the Azores, the Cape Verde Islands, and French North Africa.

65. Diplomatic and economic support should be given to Governments in exile, to China, to neutrals and to populations in occupied territory in order to encourage opposition to the Axis Powers.

66. Acquiescence of the Netherlands Government in London for protective occupation of Curacao and Aruba will be secured by the British Government.

[37]

ANNEX I. COASTAL FRONTIERS

Reference: (a) Joint Action of the Army and the Navy, 1935.

1. For purposes of this Plan, this ANNEX I to Joint Army and Navy Basic War Plan—RAINBOW No. 5 temporarily amends Section IV of reference (a), as indicated herein.

2. Change paragraph 33 of reference (a) to read:

"33. Joint organization and command.

"a. Coastal divisions with geographical coterminous boundaries within which an Army officer and a Naval officer will exercise command over the Army forces and the Navy forces, respectively, assigned for the defense of these divisions, have been established in order to provide a joint organization and to ensure the effective coordination of Army and Navy forces employed in coastal frontier defense. These coastal divisions comprise coastal frontiers, sectors, and subsectors. The system of coastal frontiers includes certain outlying land, island and sea areas, as well as the coasts of continental United States. The joint organization, together with the commanders responsible for the execution of security measures on and after M-day and the necessary peacetime planning therefor, are as stated below.

NOTE: The preceding sub-paragraph, for purposes of this plan, modifies Chapter V, paragraph 26 a, Section I, of reference (a).

"b. A Defense Command is a geographical area within which an Army officer is responsible for the coordination or preparation, and for the execution of all plans for the employment of Army forces and installations lying within the command boundaries; where pertinent, a Defense Command includes one or more coastal frontiers and may include isolated localities. (See map attached showing defense commands in continental United States.)

"c. Normally a naval coastal frontier includes the coastal zone adjacent to the coastal frontier. In certain cases, two naval coastal frontiers may be included in a coastal frontier; in other cases the naval coastal frontier includes waters which extend beyond the limits of the coastal frontier.

[38] "d. The provisions of ABC-22 may prescribe the extension of the North Atlantic coastal frontier and the Pacific coastal frontier to include part of the territory and coastal waters of Canada.

"e. Coordination between Army and Navy forces in coastal frontier operations shall be by the method of mutual cooperation, subject to the provisions of paragraph 9 b."

3. Change paragraph 34 of reference (a) to read as follows:

"34. North Atlantic coastal frontier.

"a. Boundaries.

Northern.—Northern boundary of the United States, but including United States bases in Newfoundland. This may later be modified by ABC-22.

Southern.—Diamond Shoals Lightship, Hatteras Inlet inclusive, southern and western boundary of Dare County (N. C.), Albemarle Sound, Chowan River, Virginia—North Carolina boundary to the west, all inclusive.

## "b. Commanders.

Army.—The Commanding General, Northeast Defense Command, or an officer, designated by him.

Navy.—The Commandant, Third Naval District, who is designated as the Commander North Atlantic naval coastal frontier. This officer also commands the naval coastal frontier force, composed of the naval coastal force under his immediate command, and the naval local defense forces of the First, Third, Fourth, and Fifth Naval Districts under the command of the commandants of the naval districts concerned. The officers named will arrange for the joint tactical employment in cooperation with the Army, of the naval forces assigned to their respective commands.

[39] "c. Sectors.—The North Atlantic coastal frontier is divided into the following defense sectors:

## (1) Newfoundland sector.

(a) Boundaries: These may later be established by ABC-22.

The sector now consists of the United States bases in Newfoundland.

## (b) Commanders.

Army.—As designated by the Commanding General, Northeast Defense Command.

Navy.—Commander, Naval Operating Base, Newfoundland.

## (2) New England sector.

## (a) Boundaries.

Northern.—Northern boundary of the United States.

Southern.—Nantucket Shoals Lightship, exclusive; Block Island, inclusive; Rhode Island-Connecticut boundary.

## (b) Commanders.

Army.—As designated by Commanding General, Northeast Defense Command.

Navy.—The Commandant, First Naval District.

(c) This sector is subdivided into the Portland, Boston, and Newport subsectors, with boundaries as follows:

[40] 1. Between the Portland and the Boston subsectors:  
Northern boundary of Massachusetts.

2. Between the Boston and the Newport subsectors: Pollock Rip Slue Lightship, Monomy Light, Bishop and Clerk's Light, Cotuit Bay, Bourne, Taunton, northern boundary of Rhode Island, all to Boston subsector.

## (3) New York sector.

## (a) Boundaries.

Northern.—Nantucket Shoals Lightship, inclusive; Block Island, exclusive; Rhode Island-Connecticut boundary.

Southern.—Point Pleasant, Bordentown, both exclusive; Trenton, inclusive.

## (b) Commanders.

Army.—As designated by the Commanding General, Northeast Defense Command.

Navy.—Commandant, Third Naval District.

(c) This sector is subdivided into the Long Island and New Jersey subsectors with boundary as follows:

Between subsectors: The Sandy Hook Peninsula and lower New York Bay to the Long Island subsector.

## (4) Delaware-Chesapeake sector.

## (a) Boundaries.

Northern.—Point Pleasant, Bordentown, both inclusive; Trenton exclusive.

[41] Southern.—Diamond Shoal Lightship, Hatteras Inlet, inclusive; southern and western boundary of Dare County (N. C.), Albemarle Sound, Chowan River; Virginia-North Carolina boundary to the west, all inclusive. This sector will be subdivided into the Delaware and the Chesapeake subsectors, with the boundary as Winter Quarter Shoal Lightship (to Delaware subsector), southern and western boundary of Delaware.



## (b) Commanders.

Army.—As designated by the Commanding General, Northeast Defense Command.

Navy.—There is no naval commander of this sector. The Commandant, Fourth Naval District, commands the naval local defense force in the Delaware subsector, and the Commandant, Fifth Naval District, commands the naval local defense force in the Chesapeake subsector. The Commandant, Fifth Naval District, coordinates operations and war planning of the naval local defense forces of the Fourth and Fifth Naval Districts."

## 4. Change paragraph 35 of reference (a) to read as follows:

"35. Southern coastal frontier.

## "a. Boundaries.

Northern.—Diamond Shoal Lightship, Hatteras Inlet, exclusive; southern and western boundary of Dare County (N. C.); Albemarle Sound, Chowan River; Virginia-North Carolina boundary to the west, all exclusive.

[42] Southern.—The Rio Grande. The coastal zone extends southeastward and southward to the northwestern boundary of the Caribbean naval coastal frontier, so as to include the Gulf of Mexico and such parts of Bahaman waters and the Caribbean Sea as to lie to the northward of that boundary.

## "b. Commanders.

Army.—The Commanding General, Southern Defense Command, or an officer designated by him.

Navy.—The Commandant, Sixth Naval District, who is designated as the Commander Southern naval coastal frontier. This officer exercises command over the naval coastal frontier force, composed of the naval coastal force under his immediate command, and the naval local defense forces of the Sixth, Seventh, and Eighth Naval Districts under the immediate command of the commandants of the naval districts concerned. The officers named will arrange for the joint tactical employment, in cooperation with the Army, of the naval forces assigned to their respective commands.

"c. Sectors.—This frontier will be subdivided into defense sectors of Carolina, Florida, and Gulf, corresponding territorially to the Sixth, Seventh and Eighth Naval Districts, respectively."

## 5. Insert in reference (a) the following new paragraphs:

"35A. Caribbean coastal frontier.

## "a. Boundaries.

All United States territories and possessions, and United States military and naval reservations and activities on shore located within an area bounded as follows:

[43] Beginning at latitude 18°05' North, longitude 87°32' West thence by a line bearing 63° true to the 25th parallel of latitude, thence by the 25th parallel of latitude to the 65th meridian of longitude, thence by a line direct to latitude 2° North, longitude 49° West, thence by a line direct to the place beginning. The coastal zone includes all of the waters within these boundaries, as well as the sea lanes and focal points beyond, but near, the eastern boundary.

## "b. Commanders.

Army.—The Commanding General, Caribbean Defense Command, or an officer designated by him.

Navy.—The Commandant, Tenth Naval District, who is designated as the Commander, Caribbean naval coastal frontier. This officer also commands the naval local defense force, and will arrange for its joint tactical and strategical employment in cooperation with the Army.

"c. Sectors.—The Caribbean coastal frontier is divided into the following defense sectors:

## (1) Guantanamo sector.

(a) Boundaries.—The area within the Caribbean coastal frontier lying westward of a line passing through Cape Isabela and Beata Point, Hispaniola, extended to cut the northern and the southwestern coastal frontier boundaries.

(b) Commanders.

Army.—As designated by the Commanding General, Caribbean Defense Command.

[44] Navy.—Commander, Naval Operating Base, Guantanamo, Cuba.

(2) Puerto Rico sector.

(a) Boundaries.—The area within the Caribbean coastal frontier lying eastward of the eastern boundary of the Guantanamo sector, and northward of the 15th parallel of north latitude.

(b) Commanders.

Army.—As designated by the Commanding General, Caribbean Defense Command.

Navy.—The Commandant, Tenth Naval District.

(3) Trinidad sector.

(a) Boundaries.—The area within the Caribbean coastal frontier lying eastward of the eastern boundary of the Guantanamo sector, and southward of the 15th parallel of north latitude.

(b) Commanders.

Army.—As designated by the Commanding General, Caribbean Defense Command.

Navy.—The Commander, Naval Operating Base, Trinidad."

6. Insert in reference (a) the following new paragraph:

"35B. Panama coastal frontier.

[45] "a. Boundaries.

All United States territories and possessions, and United States military and naval reservations and activities on shore located within the following area: British Honduras, Guatemala, Honduras, El Salvador, Nicaragua, Costa Rica, Panama, Colombia, and Ecuador; all land areas between the southwestern boundary of the Caribbean coastal frontier and the coasts of Central and South America; and all land areas between the coasts of Central and South America and a broken line drawn from the Mexico-Guatemala border to a point in latitude 5° South, longitude 95° West, and thence to Peru-Ecuador border. The coastal zone includes all the waters within these boundaries, as well as the sea lanes beyond, but near, the western and southern boundaries.

"b. Commanders.

Army.—The Commanding General, Caribbean Defense Command, or an officer designated by him.

Navy.—The Commandant, Fifteenth Naval District, who is designated as the Commander, Panama naval coastal frontier. This officer also commands the naval local defense force, and will arrange for its joint tactical and strategical employment in cooperation with the Army.

"c. Sectors.

The Panama coastal frontier is divided into the following defense sectors:

(1) Atlantic sector.

(a) Boundaries.—The area within the Panama coastal frontier lying between the northeastern boundary and the continental divide.

(b) Commanders.

Army.—As designated by Commanding General Caribbean Defense Command.

Navy.—The Commandant, Fifteenth Naval District.

(2) Pacific sector.

(a) Boundaries.—The area within the Panama coastal frontier lying between the continental divide and the western and southern boundaries.

(b) Commanders.

Army.—As designated by the Commanding General, Caribbean Defense Command.

Navy.—The Commandant, Fifteenth Naval District."

7. Insert in reference (a) the following new paragraph:

"35C. The Caribbean defense command includes all the land and water areas lying within the boundaries of the Caribbean coastal frontier and the Panama coastal frontier."

8. Change paragraph 36 of reference (a) to read:

"36. Pacific coastal frontier.

"a. Boundaries.

Northern.—Northern boundary of Washington except that Alaska is part of the Pacific coastal frontier. This frontier may later be changed as required by ABC-22.

[47] Southern.—Southern boundary of the United States. The coastal zone extends southeastward to abreast the southern boundary of Mexico.

(1) Pacific naval coastal frontiers.—The Pacific coastal frontier is divided into two naval coastal frontiers, i. e., the Pacific Southern naval coastal frontier, and the Pacific Northern naval coastal frontier. The boundary between the two naval coastal frontiers is the northern boundary of California.

"b. Commanders.

Army.—The Commanding General, Western Defense Command, or an officer designated by him.

Navy.—

(1) The Commandant, Twelfth Naval District, who is also designated as the Commander, Pacific Southern naval coastal frontier.

(2) The Commander, Pacific Southern naval coastal frontier, also commands the Pacific Southern naval coastal frontier force, composed of the naval coastal force under his immediate command and the naval local defense forces of the Eleventh and Twelfth Naval Districts under the command of the commandants of the naval districts concerned.

(3) The Commander, Pacific Northern naval coastal frontier, is the Commandant, Thirteenth Naval District. This officer also commands the naval local defense force assigned to his district.

(4) The Commander, Pacific Southern naval coastal frontier, and the Commander, Pacific Northern naval coastal frontier, will arrange for the joint tactical employment, in cooperation with the Army, of the naval forces assigned to their respective commands.

[48] "c. Sectors.—This frontier is subdivided into the Southern California, Northern California, Northwestern, and Alaskan sectors, as follows:

(1) Boundary between the Southern California and Northern California sectors, Santa Maria River.

(2) Boundary between the Northern California and the Northwestern sector is the northern boundary of California.

(3) Northern boundary of the Northwestern sector is the northern boundary of Washington.

(4) The boundaries of Alaska define the Alaskan sector.

"d. Sectors of this frontier are further subdivided into subsectors with boundaries as follows:

(1) San Diego subsector: Mexican boundary to San Mateo Point, inclusive.

(2) San Pedro subsector: San Mateo Point, exclusive, to Santa Maria River, exclusive.

(3) Monterey subsectors: Santa Maria River, inclusive, to Pigeon Point, inclusive.

(4) San Francisco subsector: Pigeon Point, exclusive, to northern boundary of California.

(5) Columbia River subsector: Northern boundary of California to Moclips, Wash., inclusive.

(6) Seattle subsector: Moclips, Wash., exclusive, to northern boundary of Washington.

[49] (7) Sitka naval subsector: Alaska east of longitude 141° West.

(8) Kodiak naval subsector: Alaska west of longitude 141° West.

9. Insert in reference (a) the following new paragraphs:

"36A. Hawaiian coastal frontier.

"a. Boundaries.

The Hawaiian coastal frontier consists of Oahu, and all of the land and sea areas required for the defense of Oahu. The coastal zone extends to a distance of 500 miles from all the Hawaiian Islands, including Johnston and Palmyra Islands and Kingman Reef.

"b. Commanders.

Army.—The Commanding General, Hawaiian Department.

Navy.—The Commandant, Fourteenth Naval District, who is designated as the Commander, Hawaiian naval coastal frontier. This officer also commands the assigned naval local defense force, and will arrange for its joint tactical and strategical employment, in cooperation with the Army.

"36B. Philippine coastal frontier.

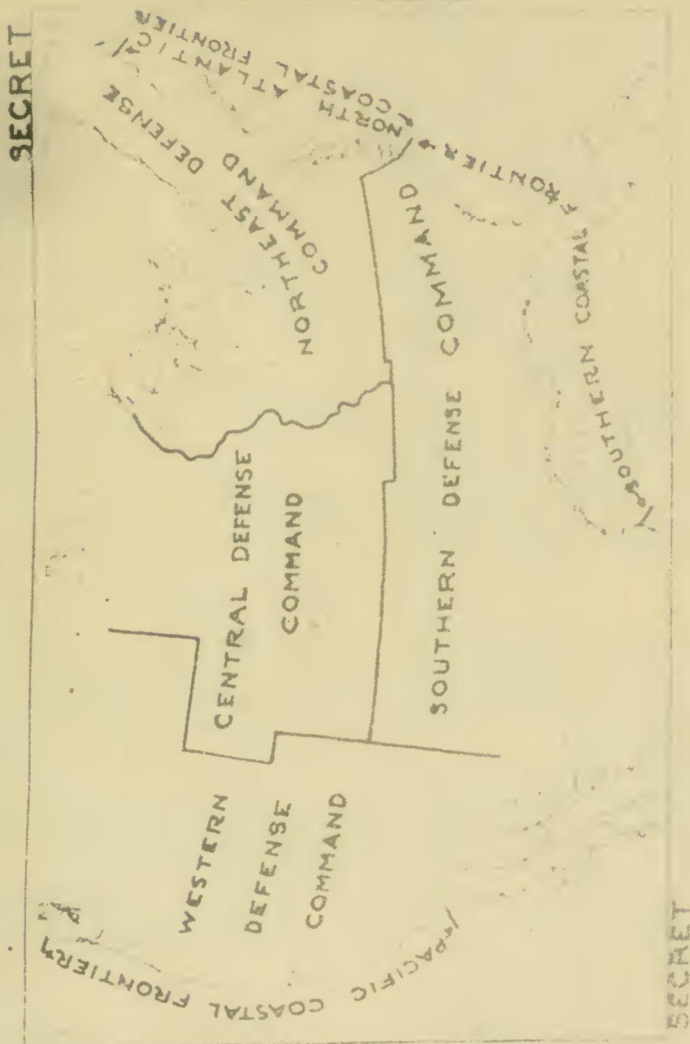
"a. Boundaries.

The Philippine coastal frontier consists of Luzon, and all of the land and sea areas required for the defense of Luzon. The coastal zone includes all of the sea approaches to the coastal frontier.

[50] "b. Commanders.

Army.—The Commanding General, Philippine Department.

Navy.—The Commandant, Sixteenth Naval District, who is designated as the Commander, Philippine naval coastal frontier. This officer also commands the assigned naval local defense force, and will arrange for its joint tactical and strategical employment in cooperation with the Army.



## [1] APPENDIX II TO WPL-46, COMPOSITION OF FORCES

## [2] CHAPTER I. INTRODUCTION

2-101. APPENDIX II prescribes the initial composition of the Operating Forces and of the Naval Transportation Service.

2-102. a. Naval vessels and aircraft are listed by organization unit or number.

b. Coast Guard vessels are listed by name.

c. Units not listed in the current Operating Force Plan which are to be taken over by the Navy either temporarily or permanently are, for war planning purposes, designated in this Appendix II as "X" vessels in accordance with the system defined in WPL-10 (XAR 5, XAK 17, XPYc 20, etc.).

2-103. a. When the Coast Guard becomes a part of the Navy, Coast Guard vessels will continue to be designated by their Coast Guard names.

b. When vessels listed in the tables as "X" vessels come under Navy control, the Chief of Naval Operations (Director, Ship Movements Division) will assign to them names, symbols, and numbers in accordance with standard nomenclature (AP 60, AK 90, PY 50, etc.). The names will be recommended by the Chief of the Bureau of Navigation, and the symbols and numbers by the Chief of the Bureau of Ships.

2-104. Units appearing in the current Operating Force Plan are not assigned to Mobilization Districts, as most of these vessels have already been mobilized at the time of issue of this plan.

2-105. In the Tables of Appendix II, where capital letters appear under the heading "Sub-Group", these letters indicate the categories to which vessels and aircraft belong, as follows:

A—Navy vessels and aircraft in commission on M-day;

B—Navy vessels not in commission on M-day, including those under construction;

C—Vessels and aircraft belonging to other Departments of the Government to be commissioned in the Navy;

[3] D—Merchant vessels to be commissioned in the Navy, either Navy-owned or on a bare-boat charter basis;

E—Merchant vessels to be chartered on a time charter basis;

CG—Coast Guard vessels.

## [4] CHAPTER II. THE U. S. ATLANTIC FLEET

2-201. Table ATF-1 shows the initial composition of the U. S. ATLANTIC FLEET as of July 1, 1941.

2-202. a. SUBMARINE FORCE ONE will be composed of submarines, submarine tenders and submarine bases, not assigned to SUBMARINE FORCE TWO. Not less than five submarines must remain based on the SUBMARINE BASE, COCO SOLO.

b. SUBMARINE FORCE TWO will be composed of submarines destined for the NORTH ATLANTIC AREA.

2-203. When the units included in the ATLANTIC REINFORCEMENT, U. S. PACIFIC FLEET, arrive in the WESTERN ATLANTIC AREA, the Chief of Naval Operations will assign them to such existing or new task forces as may then be dictated by the existing strategic situation.

2-204. a. On M-day, or sooner if directed by the Chief of Naval Operations, the Commander in Chief, U. S. ATLANTIC FLEET, will assign for task duty, patrol planes, and patrol plane tenders required for their support, to the Task Forces indicated herein:

1. *To the NORTH ATLANTIC NAVAL COASTAL FRONTIER FORCE.*

18 VPB and necessary tenders;

2. *To the CARIBBEAN NAVAL COASTAL FRONTIER FORCE*

12 VPB and necessary tenders;

3. *To the PANAMA NAVAL COASTAL FRONTIER FORCE*

12 VPB and necessary tenders.

b. The aircraft units assigned as prescribed in the preceding sub-paragraph will remain under the administration of the Commander in Chief, U. S. ATLANTIC FLEET. Rotation of units may be made periodically at the discretion of the Commander in Chief.

[5] 2-205. TRANSPORT DIVISION ONE will be assigned temporarily to the Naval Transportation Service, as directed by the Chief of Naval Operations, for the transportation of Army troops.

2-206. Destroyers assigned to experimental work and sound school, and submarines assigned to submarine school and sound school will normally continue in these assignments and will be withdrawn for other duties only under exceptional circumstances.

TABLE ATF-1

Unit—Vessel	Symbol	No.	Notes
<b>BATTLESHIPS</b>			
Batdiv 3.....	BB	3	
Batdiv 5.....	BB	3	
<b>CRUISERS</b>			
CA 31.....	CA	1	
Crudiv 7.....	CA	4	
Crudiv 8.....	CL	4	
Crudiv 2.....	CL	4	
<b>DESTROYERS</b>			
AD 2, 12.....	AD	2	
DD 141, 187, 343.....	ODD	3	
Desdiv 54.....	ODD	4	
Desdiv 22.....	DD	4	
Desron 2.....	DD	9	
Desron 8.....	DD	9	
Desron 9.....	DD	8	1,850 tons.
Desron 13.....	DD	8	
<b>AIRCRAFT</b>			
Cardiv 3.....	CV	2	
CV 5.....	CV	1	
<b>Patwing 3</b>			
VP 31.....	VPB	12	
VP 32.....	VPB	12	
AVD 4, 9.....	AVD	2	
AVP 1, 9.....	AVP	2	
<b>Patwing 5</b>			
VP 51.....	VPB	12	
VP 52.....	VPB	12	
AVD 13.....	AVD	1	
AVP 3, 8.....	AVP	2	
<b>SUBMARINES</b>			
PG 53.....	PG	1	
<b>Subron 1</b>			
S/M Base, New London			
ASR 2.....	ASR	1	
Subdiv 11.....	OSS	8	
Subdiv 12.....	OSS	7	
<b>Erdiv 1</b>			
AG 24.....	AG	1	
SS 20, 48.....	OSS	2	
SS 204.....	SS	1	
<b>Subron 3</b>			
S/M Base, Coco Solo			
ASR 4.....	ASR	1	
Subdiv 31.....	OSS	6	
Subdiv 32.....	OSS	6	
<b>Subron 7</b>			
AS 5, 21.....	AS	2	
Subdiv 71.....	OSS	3	
Subdiv 72.....	OSS	7	
Mobile Submarine Repair Unit No. 2			
<b>S/M Base, St. Thomas</b>			
<b>TRAIN VESSELS</b>			
AF 1, 9.....	AF	2	AF 1 to be assigned in August, 1941.
AG 17.....	AG	1	
AKS 3.....	AKS	1	AKS 3 to be assigned in July, 1941.
AO 9, 11, 15, 16, 17, 18, 19, 26.....	AO	8	
AT 37, 66.....	AT	2	
AE 2.....	AE	1	To be assigned in August, 1941.
<b>MINECRAFT</b>			
<b>Minron 7</b>			
Mindiv 13.....	DMS	4	
Mindiv 14.....	AM	7	
<b>TRANSPORTS</b>			
Transdiv 1.....	AP	4	
Transdiv 11.....	APD	2	

TABLE ATF-1—Continued

Unit—Vessel	Symbol	No.	Notes
<i>PATROL CRAFT</i>			
Subhaserdiv 31.....	PC	5	
DUANE (CG).....	XPG	1	
INGHAM (CG).....	XPG	1	
CAMPBELL (CG).....	XPG	1	
SPENCER (CG).....	XPG	1	
HAMILTON (CG).....	XPG	1	
BIBB (CG).....	XPG	1	
NORTH STAR (CG).....	XPG	1	
NORTHLAND (CG).....	XPG	1	
AG 29.....	AG	1	
<i>UNCLASSIFIED</i>			
IX 20.....	IX	1	
<i>NAVAL OPERATING BASE, BERMUDA</i>			
<i>MOBILE BASE HOSPITAL NO. 1</i>			
<i>MARINE CORPS FORCES</i>			
First Marine Division.....			
First Marine Aircraft Group.....			
Fifth Defense Battalion.....			

[6]

## CHAPTER III. THE U. S. PACIFIC FLEET

2-301. a. Table PAF-1 shows the initial composition of the U. S. PACIFIC FLEET as of July 1, 1941.

b. Table PAF-2 shows the initial composition of the ATLANTIC REINFORCEMENT, U. S. PACIFIC FLEET.

2-302. a. On M-day, or sooner if directed by the Chief of Naval Operations, the Commander in Chief, U. S. PACIFIC FLEET will assign for task duty, patrol planes and submarines, and tenders required for their support, to the Task Forces indicated herein:

1. To the *PACIFIC NORTHERN NAVAL COASTAL FRONTIER FORCE*

12 VPB and necessary tenders,

2 SS and necessary tenders (for ALASKAN SECTOR);

2. To the *PACIFIC SOUTHERN NAVAL COASTAL FRONTIER FORCE*

12 VPB and necessary tenders.

b. The units assigned as prescribed in the preceding sub-paragraph will remain under the administration of the Commander in Chief, U. S. PACIFIC FLEET. Rotation of units may be made periodically at the discretion of the Commander in Chief.

2-303. Destroyers and submarines assigned to sound school will normally continue in these assignments and will be withdrawn for other duties only under exceptional circumstances.

TABLE PAF-1

Unit—Vessel	Sym- bol	No.	Notes
<i>BATTLESHIPS</i>			
Batdiv 1.....	BB	3	
Batdiv 2.....	BB	3	
Batdiv 4.....	BB	3	
<i>CRUISERS</i>			
Crudiv 4.....	CA	4	
Crudiv 6.....	CA	4	
Crudiv 9.....	CL	5	
<i>DESTROYERS</i>			
<i>Desflot 1</i>			
CL 7.....	CL	1	
AD 3, 4.....	AD	2	
Desron 1 (less one Desdiv).....	DD	5	
Desron 3, 5.....	DD	18	
<i>Desflot 2</i>			
CL 8.....	CL	1	
AD 11, 14.....	AD	2	
Desron 4, 6.....	DD	18	
Desdiv 50.....	ODD	4	



TABLE PAF-1—Continued

Unit—Vessel	Sym- bol	No.	Notes
<b>MINECRAFT</b>			
CM 4	CM	1	
Mindiv 1, 2	DM	8	
<b>AIRCRAFT</b>			
Cardiv 1	CV	2	
Cardiv 2 (less CV 5)	CV	1	
<b>Patwing 1</b>			
VP 11	VPB	12	
VP 12	VPB	6	
VP 13	VPB	5	
VP 14	VPB	12	
AV 1	AV	1	
AVD 6, 10	AVD	2	
AVP 4	AVP	1	
<b>Patwing 2</b>			
VP 21	VPB	12	
VP 22	VPB	12	
VP 23	VPB	12	
VP 24	VPB	12	
AV 4	AV	1	
AVD 11, 14	AVD	2	
AVP 7	AVP	1	
<b>Patwing 4</b>			
VP 41	VPB	6	
VP 42	VPB	6	
VP 43	VPB	6	To be formed about October 1, 1941.
VP 44	VPB	6	
AVD 2, 12	AVD	2	
AVP 5, 6	AVP	2	
<b>SUBMARINES</b>			
CL 9	CL	1	
<b>Subron 2</b>			
AS 3	AS	1	
ASR 5	ASR	1	
Subdiv 21	SS	6	
Subdiv 22	SS	6	
<b>Subron 4</b>			
S/M Base, Pearl Harbor			
DD 336	ODD	1	
AM 30	AM	1	
ASR 1	ASR	1	
Subdiv 41	OSS	6	
Subdiv 42	SS	4	Includes SM 1.
Subdiv 43	SS	5	
<b>Subron 6</b>			
Subdiv 61	SS	3	
Subdiv 62	SS	3	
<b>BASE FORCE</b>			
<b>TRAIN VESSELS</b>			
AE 1	AE	1	To be assigned in August, 1941.
AF 7, 8, 11	AF	3	
AG 16, 31	AG	2	
AH 1	AH	1	
AKS 1, 2	AKS	2	
AM 3, 13, 16, 20, 24, 25, 26, 31, 43, 52	AM	10	
AO 1, 3, 4, 5, 12, 20, 21, 22, 23, 24, 25, 27, 28, 29	AO	14	
AR 1, 4	AR	2	
ARb 1	ARb	1	EXAD 13.
ARD 1	ARD	1	
AT 12, 23, 33, 34, 64, 65	AT	6	
<b>TRANSPORTS</b>			
Transdiv 2	AP	2	
Transdiv 4	AP	2	
Transdiv 12	APD	4	
<b>MINE SQUADRON 5</b>			
DMS 13	DMS	1	
Mindiv 4	DMS	4	
Mindiv 5	DMS	4	
Mindiv 6	DMS	4	
<b>NAVAL STATION, GUAM</b>			
<b>NAVAL STATION, SAMOA</b>			
Seventh Defense Battalion			
<b>MARINE CORPS FORCES</b>			
Second Marine Division			
Second Marine Aircraft Group			
Second Defense Battalion			
Sixth Defense Battalion			

TABLE PAF-2. THE ATLANTIC REINFORCEMENT

Unit--Vessel	Symbol	No.	Notes
<i>CRUISERS</i> Crudiv 5.....	CA	4	

## CHAPTER IV. THE SOUTHEAST PACIFIC FORCE

2-401. Table SEP-1 shows the initial composition of the SOUTHEAST PACIFIC FORCE as of July 1, 1941.

TABLE SEP-1

Unit--Vessel	Symbol	No.	Notes
<i>CRUISERS</i> Crudiv 3.....	CL	2	
<i>DESTROYERS</i> Desdiv 1 or 2.....	DD	4	As assigned by CinCpac.

## CHAPTER V. THE U. S. ASIATIC FLEET

2-501. The Table ASF-1 shows the composition of the U. S. ASIATIC FLEET.  
2-502. One stores ship (AF) and one cargo ship (AK) of the NAVAL TRANSPORTATION SERVICE, upon arrival in the FAR EAST AREA may be retained by the Commander in Chief, U. S. ASIATIC FLEET.

TABLE ASF-1

Unit--Vessel	Symbol	No.	Notes
<i>CRUISERS</i> CA 30.....	CA	1	
CL 12.....	CL	1	
<i>DESTROYERS</i> AD 9.....	AD	1	
Desron 29.....	ODD	13	
<i>AIRCRAFT</i> Patwing 10			
VP 101.....	VPB	12	
VP 102.....	VPB	12	
AV 3.....	AV	1	
AVD 1, 7.....	AVD	2	
AVP 2.....	AVP	1	
<i>SUBMARINES</i> Subron #0			
AS 9, 20.....	AS	2	
ASR 6.....	ASR	1	
Subdiv 201.....	OSS	6	
Subdiv 202.....	SS	4	
Subdiv 203.....	SS	7	
<i>PATROL CRAFT</i> PG 21, 22.....	PG	2	
PR 3, 4, 6, 7, 8.....	PR	5	
PY 10.....	PY	1	
<i>TRAIN</i> AO 6, 13.....	AO	2	
AT 32.....	AT	1	
Mindiv 3.....	AM	2	
Mindiv 9.....	AM	4	
<i>MARINE CORPS FORCES</i> Marine Detachments			
Fourth Marines (Shanghai).....			
Marine Detachments (North China).....			
Marine Detachments (Philippines).....			

## [7] CHAPTER VI. U. S. NAVAL FORCES, NORTH EUROPE

2-601. The Tables for the U. S. NAVAL FORCES, NORTH EUROPE, show the initial composition as of July 1, 1941.

## a. THE NORTHWEST ESCORT FORCE—TABLE NE-1.

1. Units of this table not prepared for overseas service will be temporarily assigned to the U. S. ATLANTIC FLEET for training and material preparation.

## b. SUBMARINE FORCE THREE—TABLE NE-2.

TABLE NE-1. THE NORTHWEST ESCORT FORCE

Unit—Vessel	Symbol	No.	Notes
<i>DESTROYERS</i>			
AD 15.....	AD	1	
Desron 7.....	DD	9	
Desrons 30, 31.....	ODD	18	
DD 341, Desdiv 53.....	ODD	5	
Desdiv 21.....	DD	4	
Mobile Destroyer Repair Units 1, 2.....			
<i>AIRCRAFT</i>			
<i>Patwing 7</i>			
VP 71.....	VPB	12	
VP 72.....	VPB	12	
VP 73.....	VPB	9	
VP 74.....	VPB	9	
AV 5.....	AV	1	
AVD 3, 8.....	AVD	2	
<i>Patwing 8</i>			
VP 81.....	VPB	12	
VP 82.....	VPB	12	
VP 83.....	VPB	12	
VP 84.....	VPB	12	
AVD 5.....	AVD	1	
<i>Patwing 9</i>			
VP 91.....	VPB	12	} This wing will be formed following completion of Patwing 8.
VP 92.....	VPB	12	
VP 93.....	VPB	12	
VP 94.....	VPB	12	
Mobile Aircraft Repair Units 1, 2.....			
<i>AIR VESSELS</i>			
AM 73, 74, 75, 77.....	AM	4	
AMc 36, 42, 43, 46, 47, 50.....	AMc	6	
<i>MARINE CORPS FORCES</i>			
Eleventh Provisional Marine Company.....			

TABLE NE-2. SUBMARINE FORCE THREE

Unit—Vessel	Sym- bol	No.	Notes
<i>SUBMARINES</i>			
<i>Subron 5</i>			
AS 13.....	AS	1	
ASR 3.....	ASR	1	
Subdiv 51.....	OSS	7	
Subdiv 52.....	OSS	4	
Subdiv 53.....	OSS	6	
Mobile Submarine Repair Unit No. 3.....			

## CHAPTER VII. VESSELS OPERATING UNDER THE CHIEF OF NAVAL OPERATIONS

2-701. The Table CNO-1 shows the vessels assigned to special duty under the Chief of Naval Operations.

TABLE CNO-1.

Unit—Vessel	Sym- bol	No.	Notes
AG 1.....	AG	1	
AG 23.....	AG	1	SecNav Yacht.
AG 25, 26.....	AG	2	President's Yacht and tender.
AG 30, 32.....	AG	2	Survey vessels.
AM 40.....	AM	1	BuOrd duty.
PG 52.....	PG	1	Tender for PT's and PTC's.
MTB Squadron 1.....	PT	6	
MTB Squadron 2.....	PT	7	
Subchaser Squadron 1.....	PTC	4	
COMANCHE (CG).....	XPY	1	Greenland Patrol.
ALGONQUIN (CG).....	XPY	1	Greenland Patrol.
MODOC (CG).....	XPG	1	Greenland Patrol.
RARITAN (CG).....	XYT	1	Greenland Patrol.
IX 50.....	IX	1	Greenland Patrol.
SS 206 to 211 incl.....	SS	6	In commission or to be commis- sioned and to operate either un- der the CNO or CinClant for temporary duty. To be assigned to U. S. PACIFIC FLEET.

## [8] CHAPTER VIII. NAVAL COASTAL FRONTIER FORCES

2-801. The tables in this Chapter VIII show the assignments to the NAVAL COASTAL FRONTIER FORCES.

2-802. Units that are not listed in these tables but which have otherwise been assigned by the Chief of Naval Operations to Naval Districts, outlying Naval Stations, or to activities excluded from Naval Districts, will continue in such commands. Commandants of Naval Districts and outlying Naval Stations will assign such units under their commands to Naval Local Defense Forces or to Naval District Craft (see General Order No. 143) in accordance with the following general rules:

## a. TO THE NAVAL LOCAL DEFENSE FORCES

1. Units other than auxiliary type (see "Standard Nomenclature, Ships' Data, U. S. Naval Vessels").

2. Units of the Auxiliary Type required for execution of the tasks of Naval Local Defense Forces.

3. District Craft (see "Standard Nomenclature, Ships' Data, U. S. Naval Vessels"), as follows: YN, YNg, YMS, YP; those YT assigned for net and boom services; and other classes at the discretion of the Commandant.

## b. TO NAVAL DISTRICT CRAFT

1. Units not assigned to the Naval Local Defense Force.

c. 1. Units of the Naval Local Defense Force and of the Naval District Craft will be placed in the status "in service not in commission", or in the status "in commission" as prescribed by article 636 (1), (2), U. S. Navy Regulations, in accordance with the current Operating Force Plan in effect, or in specific cases as directed by the Chief of Naval Operations.

2. Units taken over from private sources will be placed "in service not in commission", or "in commission", depending upon the status in which units of the same classification appearing in the current Operating Force Plan, are operating.

[9] 2-803. a. Units of the Coast Guard not otherwise assigned in succeeding paragraphs or in the tables of Appendix II, will be employed in the Naval Local Defense Forces of the Naval Districts in which they are based at the time the Coast Guard is transferred to the Navy, in the manner prescribed in the "United States Coast Guard District Manual, 1940." Commandants of Naval Districts will understand that, on assuming command of Coast Guard units, they also assume responsibility for the discharge of essential Coast Guard functions. Prior to M-day, Commandants of Naval Districts, in cooperation with local Coast Guard commanders, will plan the war operations of the Coast Guard.

b. Lighthouse tenders will normally be employed in their peace-time duties, as modified by war requirements of the Army and Navy.

2-804. a. The tables show the assignments to the Naval Coastal Frontier Forces in tabular form.

1. Unit (vessel, aircraft, or organization unit)..... Column (1).

- 2. Sub-group----- Column (2).
- 3. From (indicating the fleet from which the unit is to be detached, the Naval District in which a private vessel is to be taken over, or that the assignment will be made by the Chief of Naval Operations)----- Column (3).
- 4. Mobilization District (indicating the Naval District in which the vessel is to be mobilized)----- Column (4).

b. The symbol XAGs indicates a station ship.

2-805. Units to be taken over will be manned by Navy crews in the Naval Districts indicated in Column (3) of the tables, and moved under the direction of the Commandant of that Naval District to the Mobilization District indicated in Column (4), where mobilization will take place.

[10] 2-806. It is undesirable to take over for use in Naval Coastal Frontier Forces vessels that will remain idle for a long period on account of inability to convert, equip, or man them. Commandants of Naval Districts in which units are taken over (Column (3)) will, therefore, arrange to do so after consideration of the following:

- a. Personnel available to take over and man the unit for movement to the Mobilization;
- b. Conversion yards available and readiness to start conversion;
- c. Equipment available;
- d. Personnel available to man the unit upon completion of conversion;
- e. The desirability of placing the unit in immediate service with little or no conversion.

2-807. Commandants charged with taking over and mobilizing Naval Coastal Frontier Forces will give the same priority to units assigned to the Naval Coastal Frontier Forces of other Districts as they give to units assigned to the Naval Coastal Frontier Forces within their own Districts.

TABLE NACF.—NORTH ATLANTIC NAVAL COASTAL FRONTIER

Unit—Vessel (1)	Sub-group (2)	From (3)	Mob. Dist. (4)	Notes (5)
<b>NAVAL COASTAL FORCE</b>				
<i>Navy Vessels</i>				
PE 19, 27, 48, 55, 56.....	5 PE	A		} Administration in U. S. ATLANTIC FLEET.
PY 12, 13, 15, 16.....	4 PY	A		
PG 17, 18, 54.....	3 PG	A		
VPB.....	18 VPB	A	USAF	
AV or AVD or AVP.... number as required...	A	A	USAF	
ZNP.....	6 ZNP	A	IV ND	
<i>Coast Guard Aircraft based at:</i>				
Air Station, Salem, Mass.....				
Air Station, New York, N. Y.....				
Air Station, Elizabeth City, N. C.....				
<i>Vessels from Other Sources</i>				
XPG 1.....	1 XPG	D	CNO	I
XPG 2, 3.....	2 XPG	D	CNO	III
<b>NAVAL LOCAL DEFENSE FORCE—FIRST NAVAL DISTRICT</b>				
<i>Navy Vessels</i>				
Units assigned in accordance with paragraph 2-802, Appendix II.				
Units of the Naval Coastal Force which may be assigned by the Commander, North Atlantic Naval Coastal Frontier.				
<i>Coast Guard Vessels</i>				
Units assigned in accordance with paragraph 2-803, Appendix II.				
<i>Vessels from Other Sources</i>				
XYP 1 to 30 incl.....	30 XYP	D	I ND	I
XAGs 1, 2.....	2 XAGs	D	I ND	I
XAM 1 to 4 incl.....	4 XAM	D	I ND	I
XAMb 1 to 9 incl.....	9 XAMb	D	I ND	I
XAMc 1, 2.....	2 XAMc	D	I ND	I
<i>Units Ashore</i>				
As indicated in I ND Plan O-5, RAINBOW No. 5.				
<i>Marine Corps Forces</i>				
Garrisons as assigned in Marine Corps Plan C-2, RAINBOW No. 5.				

TABLE NACF.—NORTH ATLANTIC NAVAL COASTAL FRONTIER—Continued

Unit—Vessel (1)	Sub- group (2)	From (3)	Mob. Dist. (4)	Notes (5)
<b>NAVAL LOCAL DEFENSE FORCE—THIRD NAVAL DISTRICT</b>				
<i>Navy Vessels</i>				
Units assigned in accordance with paragraph 2-802, Appendix II.				
Units of the Naval Coastal Force which may be assigned by the Commander, North Atlantic Naval Coastal Frontier.				
<i>Coast Guard Vessels</i>				
Units assigned in accordance with paragraph 2-803, Appendix II.				
<i>Vessels from Other Sources</i>				
XYP 31.....	1 XYP	D	III ND	III
XAM 5 to 13 incl.....	9 XAM	D	I ND	I
XAMb 10 to 14 incl.....	5 XAMb	D	I ND	I
XAMc 3 to 16 incl.....	14 XAMc	D	I ND	I
<i>Units Ashore</i>				
As indicated in III ND Plan O-5, RAINBOW No. 5.				
<i>Marine Corps Forces</i>				
Garrisons as assigned in Marine Corps Plan C-2, RAINBOW No. 5				
<b>NAVAL LOCAL DEFENSE FORCE—FOURTH NAVAL DISTRICT</b>				
<i>Navy Vessels</i>				
Units assigned in accordance with paragraph 2-802, Appendix II.				
Units of the Naval Coastal Force which may be assigned by the Commander, North Atlantic Naval Coastal Frontier.				
<i>Coast Guard Vessels</i>				
Units assigned in accordance with paragraph 2-803, Appendix II.				
<i>Vessels from Other Sources</i>				
XCMc 1.....	1 XCMc	D	I ND	I
XPYc 1, 2.....	2 XPYc	D	III ND	III
XPYc 3, 4.....	2 XPYc	D	IV ND	IV
XAGs 3.....	1 XAGs	D	IV ND	IV
XAM 14 to 21 incl.....	8 XAM	D	I ND	I
XAMb 15 to 24 incl.....	10 XAMb	D	I ND	I
XAMc 17 to 19 incl.....	3 XAMc	D	IV ND	IV
XAMc 20.....	1 XAMc	D	I ND	I
<i>Units Ashore</i>				
As indicated in IV ND Plan O-5, RAINBOW No. 5.				
<i>Marine Corps Forces</i>				
Garrisons as assigned in Marine Corps Plan C-2, RAINBOW No. 5				
<b>NAVAL LOCAL DEFENSE FORCE—FIFTH NAVAL DISTRICT</b>				
<i>Navy Vessels</i>				
Units assigned in accordance with paragraph 2-802, Appendix II.				
Units of the Naval Coastal Force which may be assigned by the Commander, North Atlantic Naval Coastal Frontier.				
<i>Coast Guard Vessels</i>				
Units assigned in accordance with paragraph 2-803, Appendix II.				
<i>Vessels from Other Sources</i>				
XCMc 2.....	1 XCMc	D	V ND	V
XYP 32 to 38 incl.....	7 XYP	D	V ND	V
XAGs 4.....	1 XAGs	D	V ND	V
XAM 22, 23.....	2 XAM	D	I ND	I
XAMc 21 to 31 incl.....	11 XAMc	D	V ND	V
<i>Units Ashore</i>				
As indicated in V ND Plan O-5, RAINBOW No. 5.				
<i>Marine Corps Forces</i>				
Garrisons as assigned in Marine Corps Plan C-2, RAINBOW No. 5.				

TABLE SCF.—SOUTHERN NAVAL COASTAL FRONTIER

Unit—Vessel (1)	Sub- group (2)	From (3)	Mob. Dist. (4)	Notes (5)
<b>NAVAL COASTAL FORCE</b>				
<i>Navy Vessels</i>				
None				
<i>Coast Guard Vessels</i>				
MOJAVE, TAMPA	240'	2 XPG	CG	VI ND
TALLAPOOSA	Misc.	1 XPY	CG	VI ND
MOHAWK	165'	1 XPY	CG	IV ND
<i>Coast Guard Aircraft based at:</i>				
Air Station, Charleston, S. C.				
Air Station, Miami, Fla.				
Air Station, St. Petersburg, Fla.				
Air Station, Biloxi, Miss.				
<i>Vessels from Other Sources</i>				
XPG 4		1 XPG	D	CNO
XPY 1 to 4 incl.		4 XPY	D	III ND
<b>NAVAL LOCAL DEFENSE FORCE—SIXTH NAVAL DISTRICT</b>				
<i>Navy Vessels</i>				
Units assigned in accordance with paragraph 2-802, Appendix II.				
Units of the Naval Coastal Force which may be assigned by the Commander, Southern Naval Coastal Frontier.				
<i>Coast Guard Vessels</i>				
Units assigned in accordance with paragraph 2-803, Appendix II.				
<i>Vessels from Other Sources</i>				
XCMc 3		1 XCMc	D	III ND
XPYc 5, 6		2 XPYc	D	III ND
XYP 39 to 44 incl.		6 XYP	D	VI ND
XAGs 5, 6		2 XAGs	D	VI ND
XAM 24 to 27 incl.		4 XAM	D	I ND
XAMb 25 to 31 incl.		7 XAMb	D	I ND
XAMb 32 to 34 incl.		3 XAMb	D	VI ND
<i>Units Ashore</i>				
As indicated in VI ND Plan O-5, RAINBOW No. 5.				
<i>Marine Corps Forces</i>				
Garrisons as assigned in Marine Corps Plan C-2, RAINBOW No. 5.				
<b>NAVAL LOCAL DEFENSE FORCE—SEVENTH NAVAL DISTRICT</b>				
<i>Navy Vessels</i>				
Units assigned in accordance with paragraph 2-802, Appendix II.				
Units of the Naval Coastal Force which may be assigned by the Commander, Southern Naval Coastal Frontier.				
<i>Coast Guard Vessels</i>				
Units assigned in accordance with paragraph 2-803, Appendix II.				
<i>Vessels from Other Sources</i>				
XPYc 7, 8		2 XPYc	D	I ND
XPYc 9, 10		2 XPYc	D	III ND
XAGs 7, 8		2 XAGs	D	VII ND
XAM 28, 29		2 XAM	D	I ND
XAMb 35, 36		2 XAMb	D	I ND
XAMc 32 to 35 incl.		4 XAMc	D	I ND
<i>Units Ashore</i>				
As indicated in VII ND Plan O-5, RAINBOW No. 5.				
<i>Marine Corps Forces</i>				
Garrisons as assigned in Marine Corps Plan C-2, RAINBOW No. 5.				
<b>NAVAL LOCAL DEFENSE FORCE—EIGHTH NAVAL DISTRICT</b>				
<i>Navy Vessels</i>				
Units assigned in accordance with paragraph 2-802, Appendix II.				
Units of the Naval Coastal Force which may be assigned by the Commander, Southern Naval Coastal Frontier.				
<i>Coast Guard Vessels</i>				
Units assigned in accordance with paragraph 2-803, Appendix II.				

TABLE SCF.—SOUTHERN NAVAL COASTAL FRONTIER—Continued

Unit—Vessel (1)	Sub- group (2)	From (3)	Mob. Dist. (4)	Notes (5)
<b>NAVAL LOCAL DEFENSE FORCE—EIGHTH NAVAL DISTRICT—Continued</b>				
<i>Vessels from Other Sources</i>				
XCMc 4.....	1 XCMc	D	III ND	III
XPYc 11 to 18 incl.....	8 XPYc	D	IX ND	VIII
XYP 45 to 50 incl.....	6 XYP	D	VIII ND	VIII
XYP 51 to 55 incl.....	5 XYP	D	V ND	V
XAGs 9 to 11 incl.....	3 XAGs	D	VIII ND	VIII
XAMb 37 to 40 incl.....	4 XAMb	D	VIII ND	VIII
XAMc 36 to 40 incl.....	5 XAMc	D	I ND	I
XAMc 41 to 43 incl.....	3 XAMc	D	VI ND	VI
<i>Units Ashore</i>				
As indicated in VIII ND Plan O-5, RAINBOW No. 5.				
<i>Marine Corps Forces</i>				
Garrisons as assigned in Marine Corps Plan C-2, RAINBOW No. 5.				

TABLE CACF.—CARIBBEAN NAVAL COASTAL FRONTIER

<b>NAVAL LOCAL DEFENSE FORCE</b>				
<i>Navy Vessels</i>				
Units assigned in accordance with paragraph 2-802, Appendix II.				
ODD Desron 33 less Desdiv 67.....	5 ODD	A		Administration in U. S. ATLANTIC FLEET.
PY 18.....	1 PY	A		
VPB.....	12 VPB	A	USAF	
AV or AVP or AVD.....	number as required	A	USAF	
<i>Coast Guard Vessels</i>				
Units assigned in accordance with paragraph 2-803, Appendix II.				
<i>Vessels from Other Sources</i>				
XPG 6, 7.....	2 XPG	D	CNO	X
XPC 2, 3, 4.....	3 XPC	D	III ND	III
XPY 8.....	1 XPY	D	V ND	V
XPY 9, 10.....	2 XPY	D	III ND	III
XPYc 24 to 27 incl.....	4 XPYc	D	III ND	III
XPYc 28 to 31 incl.....	4 XPYc	D	I ND	I
XYP 128 to 131 incl.....	4 XYP	D	IV ND	IV
XAM 42 to 47 incl.....	6 XAM	D	I ND	I
XAMb 61 to 66 incl.....	6 XAMb	D	V ND	V
XAMb 67 to 70 incl.....	4 XAMb	D	I ND	I
XAMc 93 to 102 incl.....	10 XAMc	D	I ND	I
<i>Units Ashore</i>				
As indicated in X ND Plan O-5, RAINBOW No. 5.				
<i>Marine Corps Forces</i>				
Garrisons as assigned in Marine Corps Plan C-2, RAINBOW No. 5.				
Fourth Defense Battalion, Naval Operating Base, Guantanamo, Cuba.				

TABLE PACF.—PANAMA NAVAL COASTAL FRONTIER

<b>NAVAL LOCAL DEFENSE FORCE</b>				
<i>Navy Vessels</i>				
Units assigned in accordance with paragraph 2-802, Appendix II.				
ODD Desdiv 67.....	4 ODD	A		Administration in U. S. ATLANTIC FLEET.
PG 50.....	1 PG	A		
VPB.....	12 VPB	A	USAF	
AV or AVP or AVD.....	number as required	A	USAF	
<i>Coast Guard Vessels:</i>				
Units assigned in accordance with paragraph 2-803, Appendix II.				
<i>Vessels from Other Sources:</i>				
XCMc 6.....	1 XCMc	D	V ND	V
XPC 5, 6.....	2 XPC	D	III ND	III
XPY 11 to 14 incl.....	4 XPY	D	IX ND	VIII
XPYc 32 to 43 incl.....	12 XPYc	D	III ND	III
XPYc 44 to 46 incl.....	3 XPYc	D	V ND	V



TABLE PACF.—PANAMA NAVAL COASTAL FRONTIER—Continued

Unit—Vessel (1),	Sub- group (2)	From (3)	Moh. Dist. (4)	Notes (5)
<b>NAVAL LOCAL DEFENSE FORCE—Continued</b>				
<i>Vessels from Other Sources—Continued</i>				
XPYc 47 .....	1 XPYc	D	VI ND	VI
XYP 132 to 141 .....	10 XYP	D	IV ND	IV
XAGs 16, 17 .....	2 XAGs	D	VIII ND	VIII
XAM 48 to 50 incl .....	3 XAM	D	I ND	I
XAMb 71, 72 .....	2 XAMb	D	V ND	V
XAMc 103 to 116 incl .....	14 XAMc	D	V ND	V
<i>Units Ashore</i>				
As indicated in XV ND Plan 0-5, RAINBOW No. 5.				
<i>Marine Corps Forces</i>				
Garrisons as assigned in Marine Corps Plan C-2, RAINBOW No. 5.				

TABLE PSCF.—PACIFIC SOUTHERN NAVAL COASTAL FRONTIER

<b>NAVAL COASTAL FORCE</b>				
<i>Navy Vessels</i>				
ODD Desdiv 70, 83 .....	8 ODD	A		Administration in U. S. PACIFIC FLEET.
PE 32, 38 .....	2 PE	A		
PY 14 .....	1 PY	A		
VPB .....	12 VPB	A	USPF	
AV or AVD or AVP number as required .....		A	USPF	
<i>Coast Guard Vessels</i>				
TANEY .....	327' 1 XPG	CG	IXV ND	XI
SHAWNEE .....	Misc. 1 XPY	CG	XII ND	XII
<i>Coast Guard Aircraft based at:</i>				
Air Station, San Francisco, Calif. ....				
Air Station, San Diego, Calif. ....				
<i>Vessels from Other Sources</i>				
XPG 5 .....	1 XPG	D	CNO	XII
XPY 5, 6, 7 .....	3 XPY	D	XI ND	XI
<b>NAVAL LOCAL DEFENSE FORCE—ELEVENTH NAVAL DISTRICT:</b>				
<i>Navy Vessels:</i>				
Units assigned in accordance with paragraph 2-802, Appendix II.				
Units of the Naval Coastal Force which may be assigned by the Commander, Pacific Southern Naval Coastal Frontier.				
<i>Coast Guard Vessels:</i>				
Units assigned in accordance with paragraph 2-803, Appendix II.				
<i>Vessels from Other Sources:</i>				
XCMc 5 .....	1 XCMc	D	III ND	III
XPC 1 .....	1 XPC	D	XI ND	XI
XYP 93 to 97 incl .....	5 XYP	D	XI ND	XI
XAGs 14 .....	1 XAGs	D	XI ND	XI
XAM 39 to 41 incl .....	3 XAM	D	XI ND	XI
XAMb 51 to 60 incl .....	10 XAMb	D	XI ND	XI
XAMc 65 to 86 incl .....	22 XAMc	D	XI ND	XI
<i>Units Ashore:</i>				
As indicated in XI ND Plan 0-5, RAINBOW No. 5.				
<i>Marine Corps Forces:</i>				
Garrisons as assigned in Marine Corps Plan C-2, RAINBOW No. 5.				
<b>NAVAL LOCAL DEFENSE FORCE—TWELFTH NAVAL DISTRICT</b>				
<i>Navy Vessels</i>				
Units assigned in accordance with paragraph 2-802, Appendix II.				
Units of the Naval Coastal Force which may be assigned by the Commander, Pacific Southern Naval Coastal Frontier.				
<i>Coast Guard Vessels</i>				
Units assigned in accordance with paragraph 2-803, Appendix II.				
<i>Vessels from Other Sources</i>				
XYP 98 to 127 incl .....	30 XYP	D	XII ND	XII
XAGs 15 .....	1 XAGs	D	XII ND	XII
XAMc 87 to 92 incl .....	6 XAMc	D	XII ND	XII

TABLE NACF.—NORTH ATLANTIC NAVAL COASTAL FRONTIER—Continued

Unit—Vessel (1)	Sub- group (2)	From (3)	Mob. Dist. (4)	Notes (5)
<b>NAVAL LOCAL DEFENSE FORCE—TWELFTH NAVAL DISTRICT—Continued</b>				
<i>Units Ashore</i> As indicated in XII ND Plan O-5, RAINBOW No. 5.				
<i>Marine Corps Forces</i> Garrisons as assigned in Marine Corps Plan C-2, RAINBOW No. 5.				

TABLE PNCF.—PACIFIC NORTHERN NAVAL COASTAL FRONTIER

<b>NAVAL LOCAL DEFENSE FORCE—THIRTEENTH NAVAL DISTRICT</b>				
<i>Navy Vessels</i> Units assigned in accordance with paragraph 2-802, Appendix II.				
ODD Desdiv 82.....	5 ODD	A		} Administration in U. S. PACIFIC FLEET.
PG 51.....	1 PG	A		
PE 57.....	1 PE	A		
SS.....	2 SS	A	USPF	
ASR.....	1 ASR	A	USPF	
VPB.....	12 VPB	A	USPF	
AV or AVD or AVF number as required.....		A	USPF	
<i>Coast Guard Vessels</i> Units assigned in accordance with paragraph 2-803, Appendix II.				
AURORA.....	165' B 1 XPC	CG	XI ND	XIII
<i>Coast Guard Aircraft based at:</i> Air Station, Port Angeles, Wash.				
<i>Vessels from Other Sources</i>				
XPYc 19 to 23 incl.....	5 XPYc	D	XI ND	XIII
XYP 56 to 92 incl.....	37 XYP	D	XIII ND	XIII
XAGs 12, 13.....	2 XAGs	D	XIII ND	XIII
XAM 30 to 38 incl.....	9 XAM	D	XI ND	XIII
XAMb 41 to 50 incl.....	10 XAMb	D	XIII ND	XIII
XAMc 44 to 64 incl.....	21 XAMc	D	XIII ND	XIII
XAOB 1, 2.....	2 XAOB	D	CNO	XIII
<i>Units Ashore</i> As indicated in XIII ND Plan O-5, RAINBOW No. 5.				
<i>Marine Corps Forces</i> Garrisons as assigned in Marine Corps Plan C-2, RAINBOW No.				

TABLE HCF.—HAWAIIAN NAVAL COASTAL FRONTIER

<b>NAVAL LOCAL DEFENSE FORCE</b>				
<i>Navy Vessels</i> Units assigned in accordance with paragraph 2-802, Appendix II.				
ODD Desdiv 80.....	4 ODD	A		
PG 19.....	1 PG	A		
<i>Coast Guard Vessels</i> Units assigned in accordance with paragraph 2-803, Appendix II.				
DAPHNE.....	165' B 1 XPC	CG	XII ND	XII
<i>Vessels from Other Sources</i>				
XCMc 7.....	1 XCMc	D	V ND	V
XYP 142 to 167 incl.....	26 XYP	D	XIV ND	XIV
XAM 51 to 55 incl.....	5 XAM	D	I ND	I
XAM 56.....	1 XAM	D	XII ND	XII
XAMc 117 to 119 incl.....	3 XAMc	D	XIV ND	XIV
XAOB 3, 4.....	2 XAOB	D	CNO	XIV
XYF 1 to 5 incl.....	5 XYF	D	XIV ND	XIV
<i>Units Ashore</i> As indicated in XIV ND Plan O-5, RAINBOW No. 5.				
<i>Marine Corps Forces</i> Garrisons as assigned in Marine Corps Plan C-2, RAINBOW No. 5.				
First Defense Battalion.....				
Third Defense Battalion.....				

TABLE PhCF.—PHILIPPINE NAVAL COASTAL FRONTIER

Unit—Vessel (1)	Sub- group (2)	From (3)	Mob. Dist. (4)	Notes (5)
<b>NAVAL LOCAL DEFENSE FORCE—SIX-TEENTH NAVAL DISTRICT</b> Such suitable vessels as are locally available and additional vessels and aircraft as assigned by Commander in Chief, U. S. ASIATIC FLEET. <i>Units Ashore</i> As indicated in XVI ND Plan O-5, RAINBOW No. 5. <i>Marine Corps Forces</i> Garrisons as assigned in Marine Corps Plan C-2, RAINBOW No. 5.				

[11] CHAPTER IX. NAVAL TRANSPORTATION SERVICE

2-901. The Sections and Tables prescribing the composition of forces of the Naval Transportation Service will be issued as a change to this plan.

## EXHIBIT NO. 130

Confidential

PARAPHRASE OF CODE MESSAGE RECEIVED AT THE WAR DEPARTMENT AT 22:55,  
OCTOBER 27, 1941.

M'HELL, October 27, 1941.

British sources report 2 Japanese aircraft carriers, one of which is the Kaga, operating in Mandated Islands. Following planes reported there:

Wotje—8 flying boats

Jaluit—8 flying boats, 12 fighters

Truk—6 fighters, 6 heavy bombers

Salpao—8 fighters, 6 heavy bombers

Fuka—8 flying boats

General southward movement of Japanese shipping in Western Pacific reported by British.

BRINK  
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Distribution:

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 Far Eastern Section

Department of State. Received Nov. 29, 1941. Division of Communications and Records.

STRICTLY CONFIDENTIAL.

Paraphrase

November 21, 1941.

The following was received from the Naval Observer at Wellington November 19, 1941:

"Source New Zealand Naval Intelligence: On November 16 and 17 planes, apparently Japanese, were sighted over Gilberts. One day twin engine monoplane next flying boat, course generally north and south with speed 125. Indi-